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EXECUTIVE COMMITTEE OF  
THE MULTILATERAL FUND FOR THE  
IMPLEMENTATION OF THE MONTREAL PROTOCOL  
Sixty-seventh Meeting  
Bangkok, 16-20 July 2012

**REPORT ON BALANCES AND AVAILABILITY OF RESOURCES**

**Introduction**

1. This document presents a summary of the financial adjustments indicated by the bilateral and implementing agencies (IAs) as agreed by the Fund Secretariat. The document includes statistical data, as required by decisions 28/7 and 56/2, from projects for bilateral and IAs with balances that have been held for over the allowable 12-month period following completion of the project. The data presented are based on the reporting format that has been developed pursuant to decision 31/2 and include both obligated and un-obligated balances. Further to decision 41/92(b), this document also indicates the level of resources available to the Executive Committee in cash and promissory notes as recorded in the Status of contributions and disbursements (UNEP/OzL.Pro/ExCom/67/3). The total funds to be returned addressed in this report amount to US \$2,212,058 including support costs of US \$2,098,012 returned by the IAs and US \$114,046 from bilateral agencies to the 67<sup>th</sup> meeting.

**Funds being returned to the 67<sup>th</sup> meeting by Implementing Agencies**

2. Information provided by the IAs indicates that a total of US \$1,958,285 excluding agency support costs will be returned to the 67<sup>th</sup> meeting, as set out in Table 1.

Table 1

**FUNDS TO BE RETURNED BY THE IMPLEMENTING AGENCIES TO THE  
67<sup>th</sup> MEETING (US \$)**

Agency	Funds from completed projects	Funds from on-going projects	Total funds to be returned to the 67 <sup>th</sup> meeting
UNDP	1,190,304	0	1,190,304
UNEP	296,503	0	296,503
UNIDO	26,895	268,988	295,883

Pre-session documents of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol are without prejudice to any decision that the Executive Committee might take following issuance of the document.

Agency	Funds from completed projects	Funds from on-going projects	Total funds to be returned to the 67 <sup>th</sup> meeting
World Bank	175,595	0	175,595
<b>TOTAL</b>	<b>1,689,297</b>	<b>268,988</b>	<b>1,958,285</b>

3. Table 1 shows that UNDP and UNEP will return US \$1,190,304 and US \$296,503 respectively to the Fund from completed projects. UNEP's return relates to the 2011 CAP budget in line with decision 35/36(d) whereby Funds approved annually for the Country Assistance Programme (CAP) but not spent should be returned to the Multilateral Fund for re-programming at the second meeting of the Executive Committee in the year following that for which they had been approved. UNIDO will return US \$26,895 from completed projects and US \$268,988 from one on-going project as a follow up to Executive Committee decision 66/4(b)(iv) to request the cancellation by mutual agreement of the phase-out of HCFC-141b from the manufacturing of polyurethane rigid foam at Pavusin (CRO/FOA/59/INV/34) project. The World Bank will return US \$175,595 from three completed projects.

#### Projects with balances by year of completion

4. Table 2 presents the number of projects with balances that are still being held by the IAs and the years in which those projects were completed. It shows that for projects completed up to and including 31 May 2011 (at least over the last 12 months), IAs had balances that totalled US \$2,778,554 excluding support costs.

Table 2

#### BALANCES HELD BY YEAR OF PROJECT COMPLETION

Year completed	Number of projects and amount of balances reported by agency									
	UNDP		UNEP		UNIDO		World Bank		TOTAL	
	Number	(US \$)	Number	(US \$)	Number	(US \$)	Number	(US \$)	Number	(US \$)
2006	0	0	2	72,022	0	0	0	0	2	72,022
2007	4	93,761	1	8,000	1	46,285	0	0	6	148,046
2008	4	8,980	3	103,350	2	292,740	0	0	9	405,070
2009	7	179,966	2	16,281	9	250,982	0	0	18	447,229
1 January 2010 to 31 May 2010	0	0	0	0	1	1,146	0	0	1	1,146
<b>Projects completed over 2 years ago</b>	<b>15</b>	<b>282,707</b>	<b>8</b>	<b>199,653</b>	<b>13</b>	<b>591,153</b>	<b>0</b>	<b>0</b>	<b>36</b>	<b>1,073,513</b>
1 June 2010 to 31 December 2010	34	576,337	12	125,696	18	382,959	0	0	64	1,084,992
2011	0	0	14	616,688	1	3,361	0	0	15	620,049
<b>TOTAL</b>	<b>49</b>	<b>859,044</b>	<b>34</b>	<b>942,037</b>	<b>32</b>	<b>977,473</b>	<b>0</b>	<b>0</b>	<b>115</b>	<b>2,778,554</b>

5. At its 47<sup>th</sup> meeting, the Executive Committee requested the Fund Secretariat to continue to monitor multi-year annual tranches in the context of documents on implementation delays and project balances (decision 47/50(b)(ii)).

6. UNDP holds a balance amounting to US \$859,044, of which US \$195,860 is attributable to 18 multi-year agreements (MYA). It also has the highest number of projects with balances (49) followed by UNEP (34) and UNIDO (32). The World Bank has zero balances.

7. Three of the four agencies have projects that were completed over two years ago and have remaining balances. UNDP has the largest number of projects completed over two years ago, but has decreased from 23 to 15 projects. The level of balances on UNDP's projects over two years old has decreased from US \$362,381 to US \$282,707. Out of the 15 projects, five are Multi-year Agreements (MYAs) with US \$145,433 in obligated balances.

8. By decision 66/2(b) the Executive Committee decided to request agencies with long outstanding obligated balances on projects completed in 2005, 2006 and 2007 to return these balances as soon as possible. No project completed in 2005 with a balance has been reported to the 67<sup>th</sup> meeting. With regards to projects completed in 2006 and 2007 UNDP maintained the explanation provided in the past meetings that balances over two years are considered still obligated because the financial procedures of UNDP require that a final budget revision be issued to mark the formal closure of a project between UNDP and the executing agency and to close the project in UNDP's systems. Until this step is taken and the executing agency is considered to have access to these funds and therefore these are considered obligated.

9. In its response to the Secretariat's request to justify why balances under eight projects completed in 2007 and 2008 are still obligated and would not be returned to the 67<sup>th</sup> meeting, and to give an indication on when the balances under these projects would be returned to the Fund, UNDP provided additional explanation indicating that it continues in its efforts to obtain the signed final budgets from the executing agencies and that the balances will be returned as soon as the final revisions are issued and projects closed. Out of eight projects completed in 2007 and 2008, UNDP expects to return balances for seven projects to the 68<sup>th</sup> meeting. UNDP is still working with the country office and government to clear an outstanding item for the remaining project and expects that this will be resolved and the project closed in time to return any remaining balance by the 69<sup>th</sup> meeting. UNDP indicated that it was working to financially close the seven projects completed in 2009 and can confirm at this stage that balances for at least two projects of the seven will be returned to the 68<sup>th</sup> meeting. Follow up for closure of all completed projects is also ongoing to ensure balances for projects completed in 2010 and 2011 can also be returned.

10. The number of UNEP projects completed over two years ago has decreased from 13 to eight projects. UNEP's balance on completed projects has decreased from US \$266,972 reported to the 66<sup>th</sup> meeting to US \$199,653. The Secretariat requested clarification on the two projects completed in 2006 and one project completed in 2007 with obligated balances on why the balance under these projects are still obligated. The Secretariat requested UNEP to advise the Committee on any action it had taken to obtain the outstanding information from its implementing partners to allow for the financial closure of these projects within reasonable deadlines. UNEP explained that it is making every effort to return the balances on completed projects. In all projects, funds have already been advanced to the respective National Ozone Units for the activities. These funds are therefore no longer available for UNEP to return to the Multilateral Fund. UNEP is working with the respective implementation partners to finalize the financial reporting. It explained that the largest balance of US \$76,350 is under project ALG/SEV/48/INS/64. In this regard UNEP is organizing a joint mission with UNIDO to Algeria scheduled by the middle of the year to resolve any pending items. UNEP is also reviewing in details the balances under the Regional Management Plan (RMP) projects in Rwanda with a total balance of US \$82,022 in an effort to financially close these projects this year. UNEP will also continue to follow up with the remaining countries for the rest of the projects.

11. The balance held by UNIDO for projects completed over two years ago has decreased since the 66<sup>th</sup> meeting of the Executive Committee from US \$606,446 to US \$591,153 and the number of projects decreased from 16 to 13. Out of the 13 projects, eight are MYAs with US \$517,499 in obligated balances. UNIDO has one project completed in 2007 with obligated balances. While it is a MYA the Secretariat requested UNIDO to provide additional justification on why the balance under this project is still obligated and not returned to the 67<sup>th</sup> meeting and give an indication on when it would be returned. The Secretariat also requested UNIDO to provide clarification on action it had taken to obtain the outstanding information to allow for the financial closure of these projects within reasonable deadlines. UNIDO explained in its response that out of the 13 projects on the list completed over two years ago, eight projects are MYA projects and five are individual projects. For MYA projects, UNIDO indicated that funds are not expected to be returned until the end of the MYA, and that UNIDO is however doing its utmost to clear all obligations on both MYA and individual projects. Due to these efforts, the number of projects could be reduced to 13. The remaining balances for these projects cannot be returned until financially closed and all obligations are cleared.

12. With regard to the one project that was completed in 2007, UNIDO explained that as soon as the Inter office vouchers (IOV) are received from UNDP, it would be able to clear the obligations and financially close the project and return any remaining balance. UNIDO reiterated that it is regularly following up on this issue in order to financially close this project before the 68<sup>th</sup> meeting of the Executive Committee. For stand-alone completed projects with balances, the Secretariat asked UNIDO to advise on action taken by it to obtain the outstanding information to allow for the financial closure of these projects within reasonable deadlines. UNIDO indicated that regular follow up is made with the field to get outstanding IOVs that will allow clearance of most obligations. Furthermore, UNIDO also informed that in case of long-standing obligations of several years, it is giving deadlines to clear such obligations; otherwise, the obligations will be cancelled. UNIDO is doing its utmost to financially close these projects by the 69<sup>th</sup> Executive Committee meeting or earlier.

#### **Obligated and un-obligated balances**

13. The level of balances classified as obligated and un-obligated for the four IAs is as follows:

Table 3

#### **OBLIGATED AND UN-OBLIGATED BALANCES BY AGENCY FOR PROJECTS WITH BALANCES (US \$)**

<b>Agency</b>	<b>Obligated balances</b>	<b>Un-obligated balances</b>	<b>Total</b>
UNDP	859,044	0	859,044
UNEP	942,037	0	942,037
UNIDO	977,473	0	977,473
World Bank	0	0	0
<b>TOTAL</b>	<b>2,778,554</b>	<b>0</b>	<b>2,778,554</b>

14. Out of the total of US \$2,778,554 from completed and on-going projects, US \$2,778,554 is obligated (which means that these funds are expected to be spent). There are no un-obligated balances.

15. UNDP reported a decrease in obligated balances on completed projects. Its overall number of projects with balances shows a decrease from 67 projects reported to the 66<sup>th</sup> meeting to 49 reported to the 67<sup>th</sup> meeting. The overall level of obligated balances has decreased from US \$1,059,230 to US \$859,044. UNDP has 4 projects completed over 4 years ago with obligated balances.

16. UNEP has 34 completed projects, and holds US \$942,037 in obligated amounts and has no un-obligated balances. The overall number of projects with balances has increased from 33 projects reported to the 66<sup>th</sup> meeting to 34 reported to the 67<sup>th</sup> meeting. The overall level of balances increased

from US \$435,915 to US \$942,037. UNEP has three projects completed over four years ago with obligated balances. Out of the three projects with obligated balances, two were completed in 2006.

17. UNIDO reported 32 completed projects with US \$977,473 in obligated funds. The overall number of projects with balances has decreased from 42 projects reported to the 66<sup>th</sup> meeting to 32 reported to the 67<sup>th</sup> meeting, and the overall level of balances has decreased from US \$1,060,666 to US \$977,473. UNIDO has one project completed in 2007 with obligated balances.

18. At its 35<sup>th</sup> meeting, the Executive Committee requested IAs to return all agency support costs associated with remaining funds from completed projects. If expenditures had been incurred from these costs, the IAs should provide an explanation and return the balance of funds (decision 35/13(k)). Table 4 indicates that a total of US \$139,727 in agency support costs will be returned at the 67<sup>th</sup> meeting. This amount includes the UNIDO's return of US \$2,100 from completed projects and US \$20,174 from on-going projects, UNDP's of US \$72,986, UNEP's US \$25,094 and the World Bank's of US \$19,373 from completed projects.

Table 4

**ADMINISTRATIVE SUPPORT COSTS TO BE RETURNED TO THE 67<sup>th</sup> MEETING (US\$)**

Agency	Funds from completed projects	Funds from on-going projects	Total funds to be returned to the 67 <sup>th</sup> meeting
UNDP	72,986	0	72,986
UNEP	25,094	0	25,094
UNIDO	2,100	20,174	22,274
World Bank	19,373	0	19,373
<b>TOTAL</b>	<b>119,553</b>	<b>20,174</b>	<b>139,727</b>

**Funds being returned to the 67<sup>th</sup> meeting from bilateral agencies**

19. By decision 56/2 bilateral agencies were requested to report to the Executive Committee on their proposed disposition of balances from completed projects. Table 5 provides the feedback received on returns of balances from projects completed by the Governments of Sweden. It indicates that a total US \$114,046 comprising project costs will be returned to the 67<sup>th</sup> meeting.

Table 5

**FUNDS TO BE RETURNED BY BILATERAL AGENCIES TO THE 67<sup>th</sup> MEETING (US\$)**

	Project cost	PSC	Total
Sweden	114,046	0	114,046
Total	114,046	0	114,046

20. The Government of Sweden has advised the Secretariat of its intent to return US \$114,046 at the 67<sup>th</sup> meeting for one completed project in the Philippines (PHI/PHA/41/TAS /72) as shown in Annex II.

**Bilateral projects with balances by year of completion**

21. Table 6 presents the balances that are being held by bilateral agencies and the years in which those projects were completed. It shows that for projects completed up to and including

31 May 2011 (at least over the last 12 months) bilateral agencies had balances totalling US \$221,261 including support costs.

Table 6

**BALANCES HELD BY BILATATERAL AGENCIES**

<b>Year Completed</b>	<b>France (US\$)</b>	<b>Japan (US\$)</b>	<b>Germany</b>	<b>Total (US\$)</b>
2008	78,440	0	0	78,440
2009	0	0	0	0
1 January 2010 to 31 May 2010	0	0	0	0
<b>Projects completed over 2 years ago</b>	<b>78,440</b>	<b>0</b>	<b>0</b>	<b>78,440</b>
1 June 2010 to 31 May 2011	64,631	52,906	291	117,828
<b>Net Total</b>	<b>143,071</b>	<b>52,906</b>	<b>291</b>	<b>196,268</b>
<b>Project Support Cost</b>	<b>17,810</b>	<b>7,150</b>	<b>33</b>	<b>24,993</b>
<b>Total</b>	<b>160,881</b>	<b>60,056</b>	<b>324</b>	<b>221,261</b>

22. The Government of France advised the Secretariat that it would maintain the outstanding balances on completed projects for the 67<sup>th</sup> meeting at the same level as the 66<sup>th</sup> and that no balance would be returned to the 67<sup>th</sup> meeting. The Government of France's balances include only one project which is over two years old.

23. The Government of Japan advised the Secretariat that they will not return the balances on completed projects to the 67<sup>th</sup> meeting and that it would be using the unspent balance for other projects under the bilateral cooperation of Japan.

24. As a result of the over programming by the Government of Germany on bilateral activities in the 2012-104 triennium it is anticipated that Germany would use the balance on completed projects to cover the shortfall under other bilateral approved projects.

**SECRETARIAT'S COMMENTS AND RECOMMENDATIONS**

**COMMENTS**

25. Annex I presents additional information on obligated and un-obligated balances by agency. Of the US \$2.78 million in projects with balances in this report, about US \$1.02 million is for 37 MYAs. UNIDO has the largest amount of balances, US \$977,473 of which is obligated, followed by UNEP with US \$942,037 and UNDP with US \$859,044. The World Bank has no projects with balances. No agencies have unobligated balances. The balance on projects completed over two years ago withheld by agencies amounts to US \$1,073,513, with UNEP holding balances for three projects completed by 2007, UNDP and UNIDO hold balances for four and one completed projects each from 2007. Attention should still be given to these long outstanding obligations with a view to returning the balances to the Fund as soon as possible. No agencies have un-obligated balances of two year old and more. One bilateral agency has balances including support cost on completed projects of two year old and older.

26. The status of contributions and disbursements as of 8 June 2012 indicates that the Treasurer has an available balance amounting to US \$38,271,333 at 8 June 2012. The total net balance returned by IAs, including agency support costs and the returns from bilateral agencies, is US \$2,212,058. The

total level of resources available for approvals by the Executive Committee at its 67<sup>th</sup> meeting, after taking into account the Fund's balance as contained in document UNEP/OzL.Pro/ExCom/67/3 and the total amount returned by IAs is US \$40,483,391

27. Considering the total level of funding for projects and activities submitted or consideration by the Executive Committee as at 5 June 2012 amounting to US \$93.5 million including support cost there are insufficient resources available for approvals at the 67<sup>th</sup> meeting.

## **RECOMMENDATIONS**

28. The Executive Committee may wish to note:

- (a) The report on balances and availability of resources contained in document UNEP/OzL.Pro/ExCom/67/4;
- (b) That the net level of funds being returned by the implementing agencies to the 67<sup>th</sup> meeting was US \$1,958,285 against projects, which included the return of US \$1,190,304 from UNDP, US \$296,503 from UNEP, US \$295,883 from UNIDO and US \$175,595 from the World Bank;
- (c) That the net level of support costs being returned by the implementing agencies to the 67<sup>th</sup> meeting was US \$139,727 against projects, which included the return of US \$72,986 from UNDP, US \$25,094 from UNEP, US \$22,274 from UNIDO and US \$19,373 from the World Bank;
- (d) That implementing agencies had balances totalling US \$1,073,513, excluding support costs, from projects completed over two years previously, which included US \$282,707 for UNDP, US \$199,653 for UNEP and US \$591,153 for UNIDO;
- (e) The feedback received from UNDP, UNEP and UNIDO on the projects with long outstanding obligated balances completed in 2005, 2006 and 2007 and to request the Implementing Agencies with projects completed in 2006 and 2007 to return the balances as soon as possible.
- (f) That the Government of France still had un-obligated balances totalling US \$78,440, excluding support costs, attributed to a project completed over two years previously;
- (g) The net level of funds being returned by the Government of Sweden to the 67<sup>th</sup> meeting was US \$114,046 and to request the Treasurer to follow up with Sweden on its return in cash to the Fund.

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## Annex I

## OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IMPLEMENTING AGENCY

## UNDP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2011 (US\$)	Funds Disbursed as of 67 <sup>th</sup> Meeting (US\$)	Obligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Unobligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
ANG/PHA/51/PRP/07	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Dec-10	12,000	3,805	8,195			
ARG/FUM/48/INV/149	Methyl bromide phase-out in tobacco and non-protected vegetable seedbeds (sixth tranche)	COM	Dec-07	467,000	466,927	73			
ARM/REF/57/PRP/04	Preparation for HCFC phase-out investment activities (refrigeration sector)	COM	Jun-09	30,000	26,461	3,539			
BAH/PHA/50/INV/16	Terminal phase-out management plan (first tranche)	COM	Dec-10	272,500	272,322	178			
BRA/FOA/56/DEM/285	Pilot project for validation of methyl formate as a blowing agent in the manufacture of polyurethane foam (phase I)	COM	Dec-10	401,500	228,229	173,271			
BRA/PAG/54/INV/281	Phase-out of CTC as process agent in two applications at Braskem	COM	Dec-08	1,178,554	1,172,444	6,110			
BRA/SEV/52/INS/279	Extension of institutional strengthening project (phase V)	COM	Dec-10	351,000	301,329	49,671			
BZE/PHA/57/INV/23	Terminal phase-out management plan for the phase-out of ODS in the refrigeration and air-conditioning sector (second tranche)	COM	Dec-10	72,000	71,980	20			
CBI/REF/44/TAS/08	Implementation of the RMP: technical assistance for the refrigeration servicing sector	COM	Dec-10	75,400	74,064	1,336			
CHD/PHA/57/INV/20	Terminal phase-out management plan (second tranche)	COM	Aug-10	82,000	61,326	20,674			
CHI/FOA/48/INV/161	Terminal umbrella project for phase-out of the use of CFC-11 in the manufacture of polyurethane foam	COM	Dec-10	429,962	363,541	66,421			
CHI/FOA/57/PRP/167	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	50,000	24,204	25,796			
CHI/PHA/55/PRP/165	Preparation of a HCFC phase-out management plan	COM	Dec-10	150,000	136,347	13,653			
CHI/REF/57/PRP/170	Preparation for HCFC phase-out investment activities (refrigeration manufacturing)	COM	Dec-10	50,000	11,634	38,366			
CHI/SOL/41/TAS/154	Technical assistance to phase-out ozone depleting solvents	COM	Dec-07	245,690	230,402	15,288			
CUB/DES/59/PRP/45	Preparation for pilot demonstration project on ODS waste management and disposal	COM	Dec-10	40,000	38,739	1,261			
CUB/REF/47/DEM/36	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	COM	Dec-06	984,353	916,260	68,093			
DJI/PHA/53/INV/13	Terminal phase-out management plan for CFCs (first tranche)	COM	Dec-10	147,000	144,849	2,151			
DRC/FOA/41/INV/19	Terminal umbrella project for the foam sector	COM	Jul-09	640,820	618,359	22,461			
DRC/REF/41/TAS/16	Implementation of the RMP: centralized recovery and recycling of CFC-12 programme	COM	Dec-09	437,102	436,871	231			
EGY/FOA/57/PRP/99	Preparation for validation of low-cost hydrocarbon in foams	COM	Dec-10	30,000	22,792	7,208			
GAM/PHA/57/INV/22	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-10	30,500	27,499	3,001			
GEO/PHA/55/PRP/26	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	78,044	6,956			
IDS/REF/51/INV/179	Phase-out of CFCs in the refrigeration sector (servicing) (fifth	COM	Sep-10	159,555	150,507	9,048			

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2011 (US\$)	Funds Disbursed as of 67 <sup>th</sup> Meeting (US\$)	Obligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Unobligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned ?
	tranche)								
IND/REF/47/INV/395	National CFC consumption phase-out plan focusing on the refrigeration service sector: 2006 work programme	COM	Nov-10	1,332,377	1,197,810	134,567			
KAM/PHA/57/INV/20	Terminal phase-out management plan for the phase-out of CFCs (second tranche)	COM	Dec-10	85,000	83,226	1,774			
MAU/PHA/57/INV/22	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-09	55,000	50,034	4,966			
MAU/REF/41/TAS/11	Implementation of the RMP: centralized recovery and recycling of CFC-12 programme	COM	Dec-07	100,995	100,299	696			
MEX/FOA/56/DEM/141	Pilot project for validation of methyl formate in microcellular polyurethane applications (phase I)	COM	Dec-08	291,500	290,082	1,418			
MEX/PHA/55/PRP/140	Preparation of a HCFC phase-out management plan	COM	Dec-09	69,500	62,781	6,719			
MLI/PHA/57/INV/28	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-09	150,000	147,198	2,802			
MLI/REF/45/TAS/16	Implementation of the RMP update: supplementary training and spares to the national programme for recovery and recycling of refrigerants	COM	Dec-10	50,000	48,610	1,390			
MOL/ARS/54/TAS/20	MDI transition strategy	COM	Dec-10	30,000	29,982	18			
MOL/PHA/55/PRP/21	Preparation of a HCFC phase-out management plan	COM	Dec-09	85,000	81,883	3,117			
MOL/PHA/56/INV/23	Terminal CFC phase-out management plan (second tranche)	COM	Dec-10	152,500	152,341	159			
NIC/PHA/56/INV/23	Terminal phase-out management plan for Annex A Group I substances (first tranche)	COM	Dec-10	320,000	319,958	42			
NIR/FOA/57/PRP/123	Preparation for HCFC phase-out investment activities (foam sector)	COM	Apr-11	50,000	29,157	20,843			
NIR/SEV/54/INS/118	Extension of the institutional strengthening project (phase V)	COM	Dec-10	260,000	256,233	3,767			
PAN/PHA/56/INV/29	National phase-out plan for Annex A (Group I) substances (fourth and fifth tranches)	COM	Aug-10	255,000	254,561	439			
PAR/SOL/45/TAS/14	Technical assistance for the phase-out of ODSs in the solvent sector	COM	Jun-06	30,000	29,893	107			
SAM/PHA/53/INV/11	Terminal phase-out management plan (first tranche)	COM	Dec-10	45,000	43,950	1,050			
SIL/REF/41/TAS/07	Implementation of the RMP: MAC recovery/recycling of CFC-12	COM	Dec-09	61,858	43,453	18,405			
SWA/REF/57/PRP/14	Preparation for HCFC phase-out investment activities (refrigeration manufacturing)	COM	Dec-07	30,000	16,963	13,037			
TOG/PHA/57/INV/20	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-10	62,000	59,755	2,245			
TRI/PHA/49/INV/20	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-07	240,000	231,536	8,464			
URU/ARS/43/INV/42	Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs)	COM	Dec-06	427,023	413,063	13,960			
URU/PHA/56/INV/50	Terminal phase-out management plan for Annex A Group I substances (second tranche)	COM	Dec-10	48,000	43,793	4,207			
VEN/SEV/56/INS/113	Renewal of institutional strengthening project (phase IX)	COM	Dec-10	285,480	282,843	2,637			
YEM/REF/37/TAS/15	Implementation of the RMP: national recovery and recycling programme for refrigerants in the commercial and MAC sectors	COM	Dec-10	1,472,730	1,403,516	69,214			
<b>TOTAL</b>						<b>859,044</b>			

**UNEP**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2011 (US\$)	Funds Disbursed as of 67 <sup>th</sup> Meeting (US\$)	Obligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Unobligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
ALG/SEV/48/INS/64	Extension of the institutional strengthening project (phase IV)	COM	Dec-08	257,400	181,050	76,350			Funds paid to NOU through cash advance, Final report being prepared by NOU.
BAH/SEV/53/INS/18	Extension of institutional strengthening project (phase V)	COM	Dec-10	60,000	48,000	12,000			Waiting for financial report
BHU/PHA/55/PRP/13	Preparation of a HCFC phase-out management plan	COM	Dec-10	30,000	23,470	6,530			Once the project is financially completed.
BHU/PHA/56/PRP/14	Preparation of a HCFC phase-out management plan (additional)	COM	Dec-10	55,000	43,686	11,314			Once the project is financially completed.
BKF/SEV/56/INS/26	Extension of the institutional strengthening project (phase VIII)	COM	Dec-10	72,410	71,110	1,300			Once the project is financially completed.
DRK/PHA/51/TAS/47	Implementation of the NPP: regulations, training programme and monitoring (third tranche)	COM	Mar-11	100,000	63,477	36,523			Once the project is financially completed.
GAB/PHA/55/PRP/22	Preparation of a HCFC phase-out management plan	COM	Jan-11	85,000	80,053	4,947			Once the project is financially completed.
GAB/SEV/56/INS/23	Extension of the institutional strengthening project (phase VI)	COM	Apr-11	60,000	48,016	11,984			Once the project is financially completed.
GLO/SEV/52/TAS/283	Integrated enforcement training under the Montreal Protocol through green customs initiative	COM	Dec-10	62,000	52,607	9,393			Once the project is financially completed.
GLO/SEV/62/TAS/302		COM	Dec-11	9,007,000	8,383,930	355,856			Once the project is financially completed.
HAI/REF/39/TRA/07	Implementation of the RMP: training for customs officers	COM	Dec-10	33,100	23,719	9,381			Once the project is financially completed. New NOO and minister installed in the country.
IND/REF/54/TAS/420	National CFC consumption phase-out plan focusing on the refrigeration service sector: 2008 work programme	COM	Jan-11	19,900	10,154	9,746			Once the project is financially completed.
KAM/PHA/55/PRP/18	Preparation of a HCFC phase-out management plan	COM	Jul-10	125,000	104,958	20,042			Once the project is financially completed.
LAC/SEV/51/TAS/39	Latin American Customs Enforcement Network: Preventing illegal trade of ODS	COM	Feb-11	80,000	35,128	44,872			Once the project is financially completed.
LAO/PHA/55/PRP/19	Preparation of a HCFC phase-out management plan	COM	Jan-11	150,000	110,454	39,546			Once the project is financially completed.
MDV/PHA/55/PRP/16	Preparation of a HCFC phase-out management plan	COM	Dec-10	85,000	65,094	19,906			Once the project is financially completed.
MON/PHA/55/PRP/14	Preparation of a HCFC phase-out management plan	COM	Mar-11	85,000	67,295	17,705			Once the project is financially completed.
NEP/PHA/55/PRP/23	Preparation of a HCFC phase-out management plan	COM	Jan-11	85,000	66,029	18,971			Waiting for financial report
NER/PHA/58/TAS/24	Terminal phase out management plan (second tranche)	COM	Dec-10	68,000	67,000	1,000			Once the project is financially completed.
NIC/SEV/49/INS/20	Renewal of institutional strengthening project	COM	Sep-09	60,000	48,013	11,987			Waiting for financial report

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	(phase IV)								
PAK/PHA/55/PRP/69	Preparation of a HCFC phase-out management plan	COM	Dec-10	60,000	56,603	3,397			Once the project is financially completed.
PAR/PHA/55/PRP/20	Preparation of a HCFC phase-out management plan	COM	Apr-11	85,000	75,316	9,684			Once the project is financially completed.
PRC/PHA/54/TAS/16	Terminal phase-out management plan (first tranche)	COM	Mar-11	68,000	47,953	20,047			Once the project is financially completed.
RWA/REF/41/TAS/09	Implementation of the RMP: monitoring the activities in the RMP	COM	Dec-08	10,000	0	10,000			Once the project is financially completed.
RWA/REF/41/TRA/06	Implementation of the RMP: train the trainer programme for refrigeration service technicians	COM	Dec-06	50,000	17,246	32,754			Once the project is financially completed. Cash advance.
RWA/REF/41/TRA/07	Implementation of the RMP: training programme for customs officers	COM	Dec-06	50,000	10,732	39,268			Once the project is financially completed. Cash advance
SOM/SEV/35/TAS/01	Formulation of national phase-out strategy	COM	Dec-10	60,000	35,098	24,902			Once the project is financially completed.
SRL/PHA/55/PRP/34	Preparation of a HCFC phase-out management plan	COM	Dec-10	25,000	18,469	6,531			Once the project is financially completed.
STP/PHA/55/PRP/15	Preparation of a HCFC phase-out management plan	COM	Mar-11	85,000	70,000	15,000			Once the project is financially completed.
STP/REF/44/TRA/08	Implementation of the RMP: training programme for refrigeration service technicians	COM	Dec-08	43,466	26,466	17,000			Once the project is financially completed.
STP/SEV/50/INS/11	Extension of the institutional strengthening project (phase II)	COM	Feb-11	60,666	46,999	13,667			Once the project is financially completed.
SUD/SEV/58/INS/22	Extension of institutional strengthening: phase V	COM	Apr-11	109,395	91,255	18,140			Once the project is financially completed.
SYR/REF/29/TRA/49	Implementation of the RMP: training for trainers and refrigeration technician on good service practices	COM	Dec-09	201,300	145,200	4,294			Once the project is financially completed.
ZAM/PHA/50/PRP/17	Project preparation for a terminal phase-out management plan	COM	Dec-07	30,000	22,000	8,000			Once the project is financially completed.
<b>TOTAL</b>						<b>942,037</b>			

**UNIDO**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2011 (US\$)	Funds Disbursed as of 67 <sup>th</sup> Meeting (US\$)	Obligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Unobligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?*
ALG/PHA/53/INV/67	National phase-out plan (first tranche)	COM	Apr-10	723,500	722,354	1,146		MY	n/a
ARG/PHA/42/INV/138	National CFC phase-out plan (first tranche)	COM	Dec-08	2,740,000	2,728,871	11,129		MY	n/a
ARM/SEV/57/INS/05	Institutional strengthening (phase I)	COM	Dec-10	120,000	117,293	2,707		3	68
CPR/PRO/47/INV/436	Sector plan for methyl bromide production sector (first tranche)	COM	Dec-08	3,000,000	2,718,389	281,611		MY	n/a
CUB/FUM/44/INV/29	Terminal CFC phase-out management plan (4th tranche)	COM	Dec-09	537,763	520,252	17,511		4	69
DRK/PHA/55/INV/52	Plan for terminal phase-out of CTC (6th tranche)	COM	Dec-10	100,000	97,975	2,026		MY	n/a
EGY/FOA/61/PRP/102	Preparation for HCFC phase-out investment activities (appliance foam sector)	COM	Dec-10	100,000	83,474	16,526		4	69
IRA/ARS/52/INV/183	Phase-out of CFC consumption in the manufacture of aerosol MDIs	COM	Dec-10	3,296,758	3,260,360	36,398		4	69
IRA/REF/58/PRP/196	Preparation for HCFC phase-out investment activities (room air-conditioning and compressors)	COM	Apr-11	45,000	41,639	3,361		3	68
IRA/SOL/50/INV/180	Terminal solvent sector umbrella project	COM	Dec-09	856,478	838,521	17,957		3	69
KUW/PHA/52/INV/11	Terminal phase-out management plan for Annex A Group I substances (first tranche)	COM	Nov-10	220,000	191,894	28,106		MY	n/a
LIB/FUM/47/INV/27	Phase-out of methyl bromide in horticulture: tomatoes, cucumbers, peppers and others	COM	Dec-10	743,000	732,575	10,425		MY	n/a
MEX/PAG/52/INV/133	Umbrella project for terminal phase-out of CTC	COM	Dec-09	1,518,094	1,503,382	14,712		3	68
MEX/SEV/58/INS/147	Extension of institutional strengthening project (phase IX)	COM	Dec-10	185,250	180,569	4,681		4	69
MOG/PHA/53/INV/03	Terminal phase-out plan for CFCs (first tranche)	COM	Dec-09	175,000	168,681	6,319		MY	n/a
MOG/SEV/55/INS/04	Institutional strengthening project (Phase I)	COM	Dec-10	60,000	56,208	3,792		3	68
NER/PHA/54/INV/21	Terminal phase-out management plan (first tranche)	COM	Dec-10	131,000	75,275	55,725		MY	n/a
NIR/REF/58/PRP/124	Preparation for HCFC phase-out investment activities (refrigeration manufacturing sector)	COM	Dec-10	50,000	47,658	2,342		4	68
OMA/PHA/52/INV/15	Terminal phase-out management plan (first tranche)	COM	Jul-09	305,800	296,024	9,776		MY	n/a
PAK/FOA/59/PRP/75	Preparation for HCFC phase-out investment activities (polyurethane foam sector)	COM	Dec-10	80,000	43,894	36,106		3	69
PAK/PHA/59/PRP/76	Preparation of a HCFC phase-out management plan (additional funding)	COM	Dec-10	45,000	41,969	3,031		4	68
QAT/SEV/49/INS/08	Renewal of institutional strengthening project (phase II, second year funding)	COM	Jun-09	44,500	29,581	14,919		3	69
ROM/PRO/50/INV/35	Sector plan for production sector (second tranche)	COM	Jun-07	900,000	853,715	46,285		MY	n/a
ROM/PRO/53/INV/40	Sector plan for production sector (fourth tranche)	COM	Dec-10	1,200,000	1,197,333	2,667		MY	n/a
SAU/FOA/60/PRP/07	Preparation for HCFC phase-out investment activities (foam sector)	COM	Dec-10	80,000	71,619	8,381		4	69
SUD/FOA/59/PRP/24	Preparation for HCFC phase-out investment activities (polyurethane foam sector)	COM	Dec-10	40,000	17,379	22,621		3	69
SUD/PHA/55/PRP/21	Preparation of a HCFC phase-out management plan	COM	Dec-10	30,000	27,072	2,928		4	68
SYR/PHA/49/INV/96	National CFC phase-out plan for Syria (first tranche)	COM	Dec-10	430,000	379,802	50,198		MY	n/a

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SYR/PHA/56/INV/98	National CFC phase-out plan (second tranche)	COM	Dec-10	170,000	75,700	94,300		MY	n/a
SYR/SEV/45/INS/91	Extension of institutional strengthening: phase III	COM	Dec-09	203,823	195,268	8,555		3	68
TUR/FUM/47/INV/88	Phase-out of methyl bromide in protected tomato, cucumber and carnation crops (third and fourth tranches)	COM	Nov-09	1,408,844	1,315,635	93,209		MY	n/a
YUG/PHA/43/TAS/23	National CFC phase-out plan (first tranche)	COM	Dec-09	474,700	406,676	68,024		MY	n/a
Total						<b>977,473</b>			

2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues

**FRANCE**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2011 (US\$)	Funds Disbursed as of 67 <sup>th</sup> Meeting (US\$)	Balance as of 67 <sup>th</sup> Meeting (US\$)	Unobligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
ETH/PHA/51/PRP/17	Project preparation for a terminal phase-out management plan in the servicing sector	COM	Jul-10	30,000	17,600		12,400		
ETH/REF/44/TAS/14	Implementation of the RMP update	COM	Dec-10	91,300	50,311		40,989		
LAO/REF/34/TRA/04	Implementation of the RMP: customs training programme	COM	Jun-10	81,542	70,300		11,242		
MOR/FUM/29/INV/37	Phase-out of methyl bromide use in the cut flower and banana production	COM	Jul-08	1,006,652	928,212		78,440		
Total							143,071		

**JAPAN**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2011 (US\$)	Funds Disbursed as of 67 <sup>th</sup> Meeting (US\$)	Balance as of 67 <sup>th</sup> Meeting (US\$)	Unobligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
SRL/PHA/43/TAS/26	National compliance action plan: incentive programme for commercial and industrial refrigeration end-users	COM		144,000	104,181	39,819			
SRL/PHA/43/TAS/28	National compliance action plan: recovery and recycling programme	COM	Dec-10	290,400	277,313	13,087			
Total						52,906			

**GERMANY**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2011 (US\$)	Funds Disbursed as of 67 <sup>th</sup> Meeting (US\$)	Balance as of 67 <sup>th</sup> Meeting (US\$)	Unobligated Balance as of 67 <sup>th</sup> Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
IRA/PHA/57/INV/191	National CFC phase-out plan: 2009 annual implementation programme	COM	Apr-11	512,208	511,952	256			
IRA/PHA/57/PRP/192	Preparation of a HCFC phase-out management plan (foam sector)	COM	Apr-11	70,000	69,965	35			
Total						291			



**Annex II**

**DETAILS ON PROJECTS WITH RETURNED BALANCES FROM BILATERAL AGENCIES**

**SWEDEN**

<b>Code</b>	<b>Project Title</b>	<b>Project costs returned (US\$)</b>	<b>Associated support costs (US\$)</b>	<b>Total</b>
PHI/PHA/41/TAS/72	National CFC phase-out plan servicing sector: 2004 annual programme	114,046	0	114,046
<b>Total</b>		114,046		114,046