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EXECUTIVE COMMITTEE OF
THE MULTILATERAL FUND FOR THE
IMPLEMENTATION OF THE MONTREAL PROTOCOL
Seventy-fourth Meeting
Montreal, 18-22 May 2015

**UPDATE ON THE IMPLEMENTATION OF THE 2015-2017 BUSINESS PLANS AND
FINANCIAL PLANNING FOR THE TRIENNIUM 2015-2017**

Introduction

1. This document consists of:

Part I: An update on the status of the implementation of the 2015-2017 business plans

Part II: Financial planning for the triennium 2015-2017

Part III: Germany's 2015-2017 bilateral business plan

Part IV: Reports on dialogues concerning qualitative performance indicators

Recommendations.

**PART I: UPDATE ON THE STATUS OF THE IMPLEMENTATION OF THE 2015-2017
BUSINESS PLANS**

2. Table 1 presents the allocations for the consolidated 2015-2017 business plan of the Multilateral Fund and multi-year agreements (MYAs) approved in principle after 2017, as adjusted by the Executive Committee and the Secretariat at its 73rd meeting¹.

¹ As per decision 73/27, the present document will be renamed to, "Update to the status of implementation of the 2015-2017 consolidated business plan of the Multilateral Fund" for its submission to the 75th meeting, to reflect the fact that the Executive Committee endorsement is only for the consolidated business plan as the business plans of bilateral and implementing agencies are only noted.

Table 1. Resource allocation of the consolidated 2015-2017 business plans of the Multilateral Fund (US \$)

Required by model	2015	2016	2017	Total	Approved MYAs after 2017
REQUIRED FOR COMPLIANCE					
Approved MYAs	111,546,411	46,511,837	7,243,139	165,301,387	17,216,016
HCFC production phase-out management plan (HPPMP) – stage II			10,279,188	10,279,188	
HCFC phase-out management plan (HPMP) preparation – stage II	1,384,534	446,091	324,459	2,155,084	
HPMPs and HPMP investment projects – stage I	537,300	2,897,597	735,382	4,170,280	
HPMPs and HPMP investment projects – stage II	22,145,901	92,953,032	100,696,568	215,795,501	
HPMP verification reports*	540,000	540,000	540,000	1,620,000	
Methyl bromide (MB) investment and technical assistance	376,920			376,920	
Low global-warming potential (GWP) alternative demonstration projects	10,000,000			10,000,000	
District cooling feasibility studies	400,000			400,000	
Sub-total	146,931,067	143,348,557	119,818,736	410,098,360	
STANDARD COSTS					
UNEP compliance assistance programme (CAP)	10,699,219	11,020,196	11,350,801	33,070,216	
Core unit (UNDP, UNIDO, World Bank)	5,806,430	5,847,075	5,888,004	17,541,509	
Institutional strengthening (IS)	9,488,669	6,549,650	9,548,669	25,586,987	
Secretariat/Executive Committee/Monitoring and Evaluation costs (excluding Canadian counterpart funding)	6,440,604	6,566,385	6,695,939	19,702,928	
Treasurer	500,000	500,000	500,000	1,500,000	
Sub-total	32,934,922	30,483,306	33,983,413	97,401,641	
Grand total	179,865,988	173,831,863	153,802,149	507,500,000	
BY AGENCY					
Bilateral	3,571,205	2,186,866	3,606,730	9,364,802	1,129,520
UNDP	39,787,146	37,377,214	38,368,049	115,532,409	2,232,790
UNEP	23,945,571	23,608,920	21,922,665	69,477,156	4,910,380
UNIDO	38,788,192	54,093,589	46,073,304	138,955,084	5,413,873
World Bank	55,893,270	48,958,889	36,095,463	140,947,622	3,529,453
HPMP verification reports*	540,000	540,000	540,000	1,620,000	
Low GWP alternative demonstration projects	10,000,000			10,000,000	
District cooling feasibility studies	400,000			400,000	
Secretariat/Executive Committee/Monitoring and Evaluation costs (excluding Canadian counterpart funding)	6,440,604	6,566,385	6,695,939	19,702,928	
Treasurer	500,000	500,000	500,000	1,500,000	

* Funding for the preparation of HPMP verification reports for low-volume-consuming (LVC) countries is approved by the Executive Committee on an annual basis.

Status of implementation of the 2015 business plans

3. Total funding submitted by agency to the 74th meeting (as at 1 April 2015) amounts to US \$63,860,062. This amount is US \$883,943 above the consolidated 2015 business plan value of US \$62,976,119. Furthermore US \$8,676,811 is not in the 2015 business plan. These activities include: national inventories/surveys on ODS alternatives in 85 countries; stage II of the HPMP for Kyrgyzstan (UNDP and UNEP); stage II of the HPMP preparation for Bolivia (Plurinational State of) (UNDP), Egypt (UNDP) and the Islamic Republic of Iran (UNIDO); and stage I of the HPMP in the foam sector for Ecuador (UNIDO). Annex I lists inventories/surveys of alternatives to ODS pursuant to decision XXVI/9, submitted by the implementing agencies, and not required for compliance.² A document entitled "Follow-up to decision XXVI/9 (paragraph 4) of the Twenty-sixth Meeting of the Parties on additional funding to conduct inventories or surveys on ODS alternatives" (UNEP/OzL.Pro/ExCom/74/53) addresses these requests and indicates *inter alia* that US \$7,608,250 has been requested for activities in 85 countries. Those countries received funding amounting to US \$3,235,141 for the HCFC surveys. There were 60 countries that did not submit requests to the current meeting. The value of the HCFC surveys for those countries was US \$6,848,000.

4. Table 2 presents, by agency, the value included in the consolidated 2015 business plan; the value of activities that were submitted to the 74th meeting but were not included in the 2015 business plans; and the balance of funds from projects which have not yet been submitted.

Table 2. 2015 consolidated business plan, submissions to the 74th meeting and balance by agency (US \$)*

Budget items	Total value in business plan	Submissions to the 74 th meeting	Balance (above)/below business plan levels
Bilateral agencies	3,571,205	599,871	2,971,334
UNDP	39,787,146	5,898,127	33,889,019
UNEP	23,945,571	10,997,009	12,948,562
UNIDO	38,788,192	8,308,383	30,479,809
World Bank	55,893,270	35,860,385	20,032,885
HPMP verification reports	540,000		540,000
Low GWP alternative demonstration projects	10,000,000	1,866,887	8,133,113
District cooling feasibility studies	400,000	329,400	70,600
Sub-total (agencies)	172,925,384	63,860,062	109,065,322
Secretariat/Executive Committee cost/Treasurer (excluding Canadian counterpart)	6,440,604		6,440,604
Treasurer	500,000		500,000
Sub-total (standard costs)	6,940,604	0	6,940,604
Total	179,865,988	63,860,062	116,005,926

* Including core unit costs.

5. Bilateral and implementing agencies submitted US \$1,014,685 for project preparation activities and US \$852,202 for two demonstration projects (Colombia and Egypt) of the US \$10 million allocated for low-GWP demonstration projects, and US \$329,400 of the US \$400,000 allocated for feasibility studies for district cooling, as listed in Annex II to the present document. The total estimated costs of such

² These activities could be found in the work programmes of the implementing agencies (UNEP/OzL.Pro/ExCom/74/15-18).

requests amount to US \$24 million (excluding agency support costs) which would exceed the US \$10.4 million (including agency support costs) for the window for such activities per decision 72/40.

6. Table 3 addresses the value remaining for activities not submitted to the 74th meeting, which were included in the consolidated 2015 business plan.

Table 3. Remaining activities in the consolidated 2015 business plan and projects not submitted (US \$)

Agency and standard costs	Value
Bilateral agencies	2,982,775
UNDP	34,815,869
UNEP	18,563,672
UNIDO	32,883,264
World Bank	20,163,685
HPMP verification reports	540,000
Sub-total (agencies)	109,949,265
Secretariat/Executive Committee cost (excluding Canadian counterpart)	6,440,604
Treasurer	500,000
Sub-total (standard costs)	6,940,604
Total	116,889,869

7. A list of all the business plan activities that have not yet been submitted by the agencies is provided in Annex III to the present document. These activities include: 146 HCFC activities for 59 countries valued at US \$86.78 million; one MB activity valued at US \$228,900; 55 IS activities valued at US \$6.43 million; core unit costs for implementing agencies valued at US \$5.81 million; and 2016 CAP valued at US \$10.7 million.

2015-2017 business plans

8. Table 4³ sets out the submissions to the 74th meeting as at 1 April 2015 for MYAs and other activities, against the values for them in the 2015-2017 business plans. It indicates the extent to which these approvals and submissions either exceed, or are less than, the values assigned to them in the business plans.

Table 4. 2015-2017 consolidated business plans and submissions to the 74th meeting (US \$)*

Business plan value of submissions to the 74th meeting (2015 to 2017)	Submissions to the 74th meeting (2015 to 2017)	Balance (above)/below business plan levels
94,370,573	100,442,829	(6,072,256)

*Amount after 2017 is not included.

Forward commitments

9. An addendum to the present document will be issued prior to the 74th meeting to cover the extent to which forward commitments associated with new stage I or II of HPMPs submitted for approval at the 74th meeting correspond to the amounts associated with them in the 2015-2017 business plans.

³ Tables 2 and 3 address only the first year of the triennium while Table 4 addresses three years of data.

PART II: FINANCIAL PLANNING FOR THE TRIENNIUM 2015-2017

10. The Parties to the Montreal Protocol adopted a budget of US \$507,500,000 for the 2015-2017 replenishment of the Multilateral Fund, on the understanding that US \$64,000,000 will be provided from anticipated contributions due to the Fund and other sources from the 2012-2014 triennium, and that US \$6,000,000 will be provided from interest accruing to the Fund during the 2015-2017 triennium (decision XXVI/10).

11. This section addresses three scenarios of the receipt of pledges, interest and the carryover in the light of the replenishment and the consolidated 2015-2017 business plan of the Multilateral Fund.

Scenario 1: Equal distribution of the carryover and interest

12. Table 5 shows a scenario of cash flow whereby the carryover and interest are forecast to be provided in equal amounts for each of the three years of the triennium with pledges fully paid during the year they are due.

Table 5. Cash flow with resources received in an equal amount each year of the triennium (\$ US)

	Description	2015	2016	2017	Aggregate
A	2015-2017 consolidated business plan*	179,865,988	173,831,863	153,802,149	507,500,000
B	Carryover	21,333,333	21,333,333	21,333,334	64,000,000
C	Pledges	145,833,333	145,833,333	145,833,334	437,500,000
D	Interest	2,000,000	2,000,000	2,000,000	6,000,000
E	Total cash available (B+C+D)	169,166,666	169,166,666	169,166,668	507,500,000
F	Net balance (E-A)	(10,699,322)	(4,665,197)	15,364,517	

* This includes bilateral activities of US \$2-3 million per year that would not require a cash transfer unless contributions are transferred to an agency to execute a bilateral project.

13. This scenario would result in shortfalls of US \$10.7 million in 2015 and US \$4.7 million in 2016, with a surplus of the US \$15.4 million in 2017. However, often budgets available on any given year are not fully utilized, and not all pledged contributions are fully paid on time.

Scenario 2: Cash flow required to fully meet the requirements of the consolidated 2015-2017 business plan

14. Table 6 shows a scenario of cash flow whereby interest is forecast to be provided in equal amounts for each of the three years of the triennium; pledges are fully paid during the year they are due; the carryover is distributed as follows: 50 per cent in the first year, 40.6 per cent in the second year, and 9.4 per cent in the last year.

Table 6. Cash flow required to fully meet the requirements of the consolidated 2015-2017 business plan (\$ US)

	Description	2015	2016	2017	Aggregate
A	2015-2017 consolidated business plan	179,865,988	173,831,863	153,802,149	507,500,000
B	Carryover	32,000,000	26,000,000	6,000,000	64,000,000
C	Pledges	145,833,333	145,833,333	145,833,334	437,500,000
D	Interest	2,000,000	2,000,000	2,000,000	6,000,000
E	Total cash available (B+C+D)	179,833,333	173,833,333	153,833,334	507,500,000
F	Net balance (E-A)	(32,655)	1,470	31,185	

15. Although this scenario indicates that all of the value in the 2015-2017 consolidated business plan could be funded during the triennium, there could be a shortfall in funds in 2015 that is not compensated

until 2017. However, as was the case in the previous scenario, not all pledged contributions are fully paid on time.

Scenario 3: Components of the carryover and historical receipt of pledges

16. A third cash flow scenario is based on the following resources and interest is forecast to be provided in equal amounts for each of the three years of the triennium:

- (a) US \$15.524 million as cash balance carried forward from 2014 (estimated as at the time of the adoption of the 2015-2017 replenishment of the Fund);
- (b) US \$48.421 million as the 2012-2014 outstanding pledges;
- (c) US \$2.584 million associated with payments for outstanding pledges for triennia covering the period 1991-2011 (as at 8 November 2014); and
- (d) US \$415.625 million associated with payments for the pledges for 2015-2017 based on the historical level of payments of 95 per cent (i.e. 0.95 * US \$437.5 million).

17. Table 7 shows a total income of US \$488.154 million, resulting in an expected deficit of US \$19.346 million.

Table 7. Cash flow based on the components of the carryover and historical receipt of pledges (US \$)

	Description	2015	2016	2017	Aggregate
A	2015-2017 consolidated business plan	179,865,988	173,831,863	153,802,149	507,500,000
B	Cash balance carryover from 2014	15,524,179			15,524,179
C	Payments outstanding from 2012-2014 triennium	32,314,397	12,383,527	3,722,753	48,420,677
D	2015-2017 payments to pledges	104,242,421	175,336,272	136,046,307	415,625,000
E	2015-2017 interest	2,000,000	2,000,000	2,000,000	6,000,000
F	Payments from outstanding 1991-2011 contributions	1,689,736	380,836	513,696	2,584,267
G	Total cash available (B+C+D+E+F)	155,770,733	190,100,635	142,282,756	488,154,123
H	Net balance (G-A)	(24,095,255)	16,268,772	(11,519,393)	(19,345,877)*

* 3.8 per cent of the total replenishment.

Performance of agencies in delivering business plan allocations and targets

18. Table 8 shows that approximately 83 per cent on average of the business plan allocations are eventually approved based on the average trend of the last five triennia's business plans. It should be noted that activities not addressed in one year are normally moved to the next year's business plan.

Table 8. Business plan allocations and actual approvals (US \$)

Year	Business plan values	Actual approvals	Percentage
2000	131,544,713	125,695,163	96
2001	166,859,265	144,436,052	87
2002*	195,635,529	181,128,199	93
2003**	226,732,762	173,814,977	77
2004	222,909,010	186,227,601	84
2005	226,796,158	213,353,085	94

Year	Business plan values	Actual approvals	Percentage
2006	161,412,541	138,510,946	86
2007	171,419,512	135,794,742	79
2008	145,296,562	142,226,528	98
2009	106,014,427	87,524,032	83
2010	205,296,416	102,840,858	50*
2011	251,685,220	227,553,662	90
2012	158,193,207	118,157,595	75
2013	163,058,422	143,350,645	88
2014	150,349,262	109,636,438	73
Total	2,683,203,006	2,230,250,523	83****

* Including US \$21,761,544 approved against the 2001 business plan.

** Including US \$14,874,845 approved against the 2002 business plan.

*** Only 50 per cent of the business plan budget was approved, as several sector plans for the largest consuming/producing Article 5 country (amounting to US \$65.7 million) included in the business plan, were not approved.

**** 2000-2014 weighted average.

19. Moreover, the fact that the values in business plans are not fully met is further reflected in the implementing agencies' performance indicator of 84 per cent of their annual investment project targets as shown in Table 9.

Table 9. Implementing agency performance indicator on annual investment project target

Year	Target (US \$)	Actual (US \$)	Percentage
2003	171,328,132	125,787,838	73
2004	166,550,433	143,931,159	86
2005	160,986,672	154,847,880	96
2006	120,089,312	106,727,364	89
2007	125,884,275	106,426,812	85
2008	88,178,071	89,410,122	101
2009	60,099,064	52,103,622	87
2010	142,721,745	67,498,797	47
2011	192,180,124	188,003,062	98
2012	119,314,337	81,517,753	68
2013	126,262,312	113,314,267	90
Total	1,473,594,477	1,229,568,676	84*

* 2003-2013 weighted average.

Other factors possibly impacting financial planning and cash flow during the 2015-2017 triennium

20. Financial planning and cash flow during the 2015-2017 triennium could be impacted by the receipt of funding from countries that have never paid contributions, gains or losses due to the fixed-exchange rate mechanism (FERM) that was continued from previous triennia and adopted for the 2015-2017 triennium (decision XXVI/11), and the encashment of promissory notes.

Contributions from countries that have never made contributions

21. There is only one Party, Belarus, that had never paid its pledges for the Multilateral Fund. The total level of pledged contributions for 2015-2017 for Belarus amounts to US \$339,522. The Executive Committee may wish to urge the Government of Belarus to pay its contributions and further encourage

opportunities for high-level missions to emphasize the need for starting to make payments so that all Parties will have contributed to the Fund.

Contributions from countries using the FERM

22. Annex IV assesses the potential impact of the FERM for those countries that were qualified to use it for the 2012-2014 period⁴ and for those countries that used the FERM during the 2012-2014 triennium. Based on the UN rates of exchange as at 1 April 2015, the gain would amount to US \$13,310,368 per year (US \$39,931,105 for the triennium), for those countries that used the FERM in the last triennium. If all previously qualified countries would use the FERM, the gain could reach US \$26,953,248 per year.

Encashment of promissory notes

23. Table 10 presents the net allocations approved by the Executive Committee and the balance available for new allocations based on cash and promissory notes⁵.

Table 10. Net allocations approved by the Executive Committee and the funds available for those allocations in cash and promissory notes (US \$)

Meeting	Net allocations	Cash	Promissory notes
74 th	72,536,873(a)	49,171,088(b)	34,112,313(b)
73 rd	63,165,186	52,855,744	32,351,683
72 nd	34,231,031	40,676,330	35,501,748
71 st	90,379,778	82,980,496	26,247,974
70 th	6,766,019	45,292,123	33,427,261
69 th	39,473,152	59,365,930	33,427,261
68 th (b)	72,880,352	53,704,566	13,330,425
67 th	8,287,801	23,247,949	19,512,082
66 th	33,131,131	13,884,041	28,866,360
65 th	37,990,649	41,742,307	24,844,325
64 th	164,545,368	158,893,492	34,273,214
63 rd	23,543,923	106,684,542	35,174,394
62 nd	45,470,992	143,713,054	40,767,323
61 st	22,524,529	100,329,853	50,395,499
60 th	33,538,595	87,083,523	30,671,458
59 th	39,503,217	86,025,808	35,481,910
58 th	18,494,391	56,999,643	37,830,504
57 th	28,110,248	33,173,579	28,278,282
56 th	66,073,243	74,748,383	29,761,206
55 th	37,708,798	73,123,825	39,344,647
54 th	35,088,001	46,654,921	39,344,647

⁴ The Czech Republic, Croatia, Estonia, Germany, San Marino and the Slovak Republic have informed the Treasurer that they will use the FERM for 2015-2017 as indicated in the Status of contributions and disbursements (UNEP/OzL.Pro/ExCom/74/3).

⁵ Annex I of the reports of the meetings of the Executive Committee provides, *inter alia*, information on the level of promissory notes and cash available for each meeting.

Meeting	Net allocations	Cash	Promissory notes
53 rd	44,858,534	70,654,991	31,459,790
52 nd	34,359,110	45,104,235	37,734,395

(a) As at 3 April 2015 per document UNEP/OzL.Pro/ExCom/74/3.

(b) Net allocations exceeded available cash and promissory note resources by US \$5,845,361 at the 68th meeting.

24. Table 10 shows that there has been a sufficient level of resources available at each meeting to approve all of the new allocations made by the Executive Committee except at the 68th meeting. The Treasurer worked with the implementing agencies to provide cash to cover those approvals as soon as it became available and no issues were raised due to these shortfalls in cash or encashed promissory notes. Between the 52nd and 64th meetings, there had been sufficient funds available in cash alone to meet all of the net allocations approved by the Executive Committee. However, cash from promissory notes were needed for approvals at the 64th, 68th, 71st, and 73rd meetings and could be needed for approvals at the 74th meeting if all submissions are approved.

25. Of the balance of US \$34,112,313 in promissory notes that had not been encashed as of 17 April 2015 by the last meeting of the Executive Committee, US \$10,324,398 was from France and US \$2,934,000 was from the United States of America which both allow accelerated encashment. The balance of US \$34,112,313 is for promissory notes from Germany⁶ that must be encashed according to a fixed schedule.

26. The Status of contributions and disbursements document (UNEP/OzL.Pro/ExCom/74/3) noted that the Government of Germany had submitted an encashment schedule for the 2015-2017 period with 25 per cent of the contributions paid over a four-year period. It further indicated that it fit the encashment schedule within the triennium for the next replenishment period (2018-2020).

Assumptions for the full allocation of the 2015-2017 budget

27. The sources of uncertainty in actual cash received may have an impact on the operation of the Fund. Therefore, it will be necessary to monitor the budget in the context of business planning to ensure that there are sufficient resources for planned activities. The full budget of US \$507.5 million for the 2015-2017 triennium could be available for programming during the triennium based on the following assumptions:

- (a) Agreed pledges could be fully paid by June of each year in accordance with paragraph 7 of decision XI/6;
- (b) The Parties that have paid pledged contributions in the past will continue to do so and pay the US \$57 million of the carry-over from the previous triennium;
- (c) The Party that has never paid its pledged contributions would meet its pledges for 2015-2017 assessed at US \$339,522
- (d) Promissory notes are encashed when needed to avoid any cash flow shortfall;

⁶ The encashment schedule for Germany has been in place since 1994 when it was presented to the Executive Committee at its 12th meeting in UNEP/OzL.Pro/ExCom/12/4. However, under that schedule, one-third of the country's pledges could not be encashed until after the triennium. At its 67th and 72nd meetings, the Executive Committee requested the Government of Germany to pursue with the relevant authorities the issue of encashment of Germany's promissory notes within the next replenishment period (decisions 67/2(a)(iii-iv)(b) and 72/1(c)).

- (e) US \$6 million will be collected from interest and other sources during the 2015-2017 triennium to meet the commitment in the replenishment; and
- (f) There will be no gains or losses to Fund resources due to the implementation of the FERM or encashment of promissory notes.

Observations of the Secretariat

28. The analysis on the financial planning for the 2015-2017 triennium indicates that there could be shortfalls of funding under different scenarios as shown in Table 5, due largely to the timing of the receipt of contributions from the carryover and possibly for the overall triennium based on historical payment ratios. The overall shortfall of US \$19 million indicated in Table 7 represents 3.8 per cent of the total replenishment. This shortfall might be accommodated as a result of full payment of pledges as shown in Table 6 or as a result of additional funding due to the receipt of additional interest, unexpected return of unused balances from projects/agreements, the payment of pledges from triennia prior to the last replenishment or gains from the FERM. The shortfall might be increased due to non-payment of pledges expected from the last replenishment. For annual shortfalls that had occurred in the past, the Executive Committee has approved funding for release upon the receipt of additional contributions that have normally been forthcoming within one month.

29. At its 66th meeting, the Executive Committee adopted a resource allocation based on full payment of pledges and assumptions for the replenishment (decision 66/3(e)) and adjusted the business plan to the allocation (decision 66/5(a)(vii)). The Committee also decided to reconsider the cash flow analysis at the first meeting of the last year of the triennium, in order to adjust the planning for the last year of the triennium if resources were not sufficient to meet the full level of resources for the triennium (decision 66/3(d)). It also urged Parties to pay their contributions in accordance with the relevant decisions of the Parties, pay arrears, and those that have not paid to make their contributions to the Multilateral Fund for the 2012-2014 triennium.

30. A similar approach might be applied for resource allocation for the 2015-2017 triennium contained in Table 6. As the business plans are now submitted to the last meeting of the previous year under the two-meeting per year scenario, perhaps cash flow should again be considered prior to the last year of the current triennium, i.e., in 2016.

PART III: GERMANY'S 2015-2017 BILATERAL BUSINESS PLAN

31. At its 73rd meeting, the Executive Committee indicated that it would reconsider Germany's 2015-2017 business plan⁷ at its 74th meeting in light of the 20 per cent bilateral allocation and the general approach applied in the financial planning document for the 2015-2017 triennium (decision 73/28(b)). Table 10 presents the impact of the replenishment on Germany's business plan submitted to the 73rd meeting as adjusted by the Secretariat excluding low-GWP demonstration projects. Germany's 2015-2017 business plan does not exceed 20 per cent of Germany's contributions for the triennium.

⁷ At the 73rd meeting, the Secretariat drew the attention of the Executive Committee to the fact that the approval of the proposed submissions would result in Germany significantly exceeding its bilateral allocation for the 2012-2014 triennium (UNEP/OzL.Pro/ExCom/73/62, para. 99).

Table 10. Impact of the level of replenishment on Germany's business plan submitted to the 73rd meeting as adjusted by the Secretariat

	Agency	2015	2016	2017	Total
A	20% of Germany's pledged contributions	2,886,342	2,886,342	2,886,342	8,659,025
B	Business plan	2,345,284	1,915,820	2,146,421	6,407,525
C	73 rd meeting approvals against 2015 bilateral contributions	659,452			659,452
D	Balance (D = A – B – C)	-118,394	970,521	739,921	1,592,048

PART IV: REPORTS ON DIALOGUES CONCERNING QUALITATIVE PERFORMANCE INDICATORS

32. At its 73rd meeting, the Executive Committee requested *inter alia* UNDP, UNEP, and UNIDO to have open and constructive discussions with National Ozone Units (NOUs) with respect to the qualitative performance assessments and report on these discussions to the 74th meeting (decision 73/14(b)). The Executive Committee also requested Germany to provide by the 74th meeting responses regarding the ratings in the qualitative performance assessment from Afghanistan and the Plurinational State of Bolivia (decision 73/14(c)). The Secretariat sent two reminders and as at this writing only UNDP has not provided the information requested by the Committee. A summary of the dialogues is included as Annex V.

RECOMMENDATIONS

33. The Executive Committee may wish to:

(a) Note:

- (i) The update on the implementation of the consolidated 2015-2017 business plan of the Multilateral Fund and financial planning for the triennium 2015-2017 as contained in document UNEP/OzL.Pro/ExCom/74/5;
- (ii) That US \$7,608,250 in activities pursuant to decision XXVI/9 (paragraph 4) were submitted to the 74th meeting that had not been included in the 2015-2017 business plans;
- (iii) That the total estimated costs of the project preparation requested and resulting demonstration projects for low global-warming potential (GWP) alternatives would exceed the funding window of US \$10 million established by decision 72/40;
- (iv) That Germany's 2015-2017 business plan does not exceed 20 per cent of Germany's contributions for the triennium;
- (v) With appreciation, that the Government of Germany, UNEP and UNIDO submitted information with respect to the ratings in the qualitative performance assessments as requested in decisions 73/14(b)(ii)-(iii) and (c); and
- (vi) With concern, that UNDP did not report on its dialogues concerning qualitative performance assessments with the countries who rated its performance as less than satisfactory in 2014, as requested in decision 73/14(b)(i);

- (b) To urge:
 - (i) Belarus to make their contributions to the Multilateral Fund for the 2015-2017 triennium while noting that it had not made contributions previously and encourage opportunities for high-level missions to emphasize the need to start making payments so that all Parties will have contributed to the Fund;
 - (ii) Those contributing Parties with arrears from the 2012-2014 triennium to pay them during 2015;
- (c) To adopt a resource allocation of US \$179.9 million in 2015, US \$173.8 million in 2016, and US \$153.8 million in 2017, with any unallocated funds to be allocated subsequently during the 2015-2017 triennium; and
- (d) To consider the availability of cash flow for the 2017 budget at the last meeting of 2016 in light of the collection of interest, unexpected return of unused balances from projects/agreements, the payment of pledges from triennia prior to the last replenishment, any losses due to non-payment of pledges expected from the last replenishment, and any losses or gains due to the fixed-exchange-rate mechanism.

Annex I

INVENTORIES/SURVEYS OF ALTERNATIVES TO ODS

Country	Agency	Type	Sector	Project Title	Amount requested including support costs
Afghanistan	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Albania	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	38,150
Algeria	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Angola	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Antigua and Barbuda	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Argentina	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	130,800
Armenia	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Barbados	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Benin	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Bhutan	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Bolivia (Plurinational State of)	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	59,950
Bosnia and Herzegovina	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	38,150
Botswana	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Brunei Darussalam	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Burkina Faso	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Cabo Verde	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Cambodia	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Chad	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Chile	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	87,200
Comoros (the)	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Costa Rica	UNDP	TAS	SEV	Survey of ODS alternatives at the national level	76,300
Cote d'Ivoire	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Democratic People's Republic of Korea (the)	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Djibouti	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Ecuador	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	59,950
El Salvador	UNDP	TAS	SEV	Survey of ODS alternatives at the national level	76,300
Equatorial Guinea	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Eritrea	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Ethiopia	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Gambia (the)	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Georgia	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	38,150
Ghana	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500

Country	Agency	Type	Sector	Project Title	Amount requested including support costs
Guatemala	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	59,950
Guinea	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Guyana	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Honduras	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	38,150
India	UNDP	TAS	SEV	Survey of ODS alternatives at the national level	196,200
Iran (Islamic Republic of)	UNDP	TAS	SEV	Survey of ODS alternatives at the national level	130,800
Jamaica	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Kenya	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Kyrgyzstan	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Lao People's Democratic Republic (the)	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Lebanon	UNDP	TAS	SEV	Survey of ODS alternatives at the national level	98,100
Lesotho	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Liberia	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Madagascar	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Malawi	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Maldives	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Mexico	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	130,800
Micronesia (Federated States of)	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Mongolia	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Montenegro	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	38,150
Mozambique	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Myanmar	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Namibia	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Nepal	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Nicaragua	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	38,150
Niger (the)	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	59,950
Nigeria	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Oman	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	87,200
Pakistan	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Panama	UNDP	TAS	SEV	Survey of ODS alternatives at the national level	76,300
Republic of Moldova (the)	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Rwanda	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Sao Tome and Principe	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Serbia	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	87,200
Seychelles	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Sierra Leone	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800

Country	Agency	Type	Sector	Project Title	Amount requested including support costs
Sri Lanka	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Sudan (the)	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Swaziland	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Thailand	IBRD	TAS	SEV	HFC survey	130,800
The former Yugoslav Republic of Macedonia	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	38,150
Timor-Leste	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Togo	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Trinidad and Tobago	UNEP	TAS	SEV	National inventory on ODS alternatives	169,500
Tunisia	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	87,200
Turkey	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	130,800
Turkmenistan	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Uganda	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	59,950
United Republic of Tanzania (the)	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Uruguay	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	38,150
Venezuela (Bolivarian Republic of)	UNIDO	TAS	SEV	Demonstration project for preparation of national survey on alternatives to ODS	87,200
Zambia	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Zimbabwe	UNEP	TAS	SEV	National inventory on ODS alternatives	67,800
Total					7,608,250

Annex II

**LOW GWP DEMONSTRATION PROJECTS
AND DISTRICT COOLING FEASIBILITY STUDIES**

Country	Agency	Type	Sector	Project Title	Amount requested including support costs
LOW GWP DEMONSTRATION PROJECTS					
China	UNIDO	PRP	REF	Preparation of a demonstration project for conversion from HCFC-22 to CO2 heat pump compressors	32,100
China	UNDP	PRP	REF	Preparation of a demonstration project for developing screw high temperature heat pump compressor units with low-global warming potential refrigerant in the industrial and commercial refrigeration industry	39,055
China	UNIDO	PRP	REF	Preparation of a demonstration project for leakage reduction of large/industrial refrigerating appliances	53,500
China	UNDP	PRP	REF	Preparation of a demonstration project of ammonia semi-hermetic frequency convertible screw refrigeration system in the industrial and commercial refrigeration industry	25,680
China	UNIDO	PRP	REF	Preparation of a demonstration project: low-global warming potential alternatives in various applications for transport refrigeration (cryogenic and natural refrigerants)	53,500
Colombia	UNDP	DEM	FOA	Demonstration project to validate the use of HFOs for discontinuous panels and spray in Article 5 parties through the development of cost-effective formulations	488,402
Costa Rica	UNDP	PRP	REF	Preparation of a demonstration project for the transition of HCFC-22-based refrigerant unit to NH3 system in cold chambers	42,800
Egypt	UNDP	DEM	FOA	Demonstration of low cost options for the conversion to non-ODS technologies in polyurethane foams at very small users	363,800
Egypt	UNDP	PRP	REF	Preparation of a demonstration project for low-global warming potential alternatives technologies in refrigeration and air-conditioning under high ambient temperature conditions	21,400
Global	UNIDO	PRP	REF	Preparation of a demonstration project for refrigerant containment and leakage prevention in high ambient temperatures (Egypt and Gulf Cooperation Council region)	53,500
Global	UNIDO	PRP	REF	Preparation of a demonstration project in the refrigeration assembly sector (Argentina and Tunisia)	64,200
India	UNDP	PRP	FOA	Preparation of a demonstration project for development and evaluation of polyol systems for foam products using HFOs as blowing agent	32,100
India	UNDP	PRP	FOA	Preparation of a demonstration project for development and evaluation of spray foam polyol systems for buildings using HFOs as blowing agent	32,100
Kuwait	UNDP	PRP	REF	Preparation of a demonstration project for low-global warming potential alternatives in high ambient temperature conditions in air-conditioning applications	21,400
Maldives	UNDP	PRP	REF	Preparation of a demonstration project for low-global warming potential alternatives for HCFC phase-out in refrigeration applications in fishing industry	16,050

Country	Agency	Type	Sector	Project Title	Amount requested including support costs
Morocco	UNIDO	PRP	FOA	Preparation of a demonstration project for the use of compact high pressure foaming equipment for the safe introduction of pentane technology to small- and medium-sized enterprises	42,800
Region: AFR	UNIDO	PRP	REF	Preparation of a demonstration project to ensure safety standards and market availability of high quality refrigerants (Kenya, Rwanda, Uganda, United Republic of Tanzania and Zambia)	107,000
Region: EUR	Russian Federation	PRP	REF	Preparation of a regional centre of excellence for demonstration and training of low-global warming potential ozone-safe alternative technologies	56,500
Region: LAC	UNIDO	PRP	REF	Preparation of a demonstration project for stage II of HPMP refrigeration and air-conditioning servicing activities, with focus on low-global warming potential flammable refrigerants	64,200
Saudi Arabia	UNIDO	PRP	REF	Preparation of a demonstration project for promoting HFO-based low-global warming potential refrigerants for air-conditioning sector in high ambient temperatures	32,100
Saudi Arabia	UNIDO	PRP	FOA	Preparation of a demonstration project for the phase-out of HCFCs by using HFO as foam blowing agent in the spray foam applicants in high ambient temperatures refrigerating appliances	32,100
South Africa	UNIDO	PRP	FOA	Preparation of a demonstration project on technical and economic advantages of the vacuum assisted injection in discontinuous panel's plant retrofitted from HCFC-141b to pentane	42,800
Thailand	IBRD	PRP	FOA	Preparation of a demonstration project for low-global warming potential alternatives for foam system house	32,100
Trinidad and Tobago	UNDP	PRP	REF	Preparation of a demonstration project for the production of hydrocarbons refrigerants in refrigeration and air-conditioning applications in Latin America and the Caribbean	42,800
Turkey	UNIDO	PRP	FOA	Preparation of a demonstration project for the phase-out of HCFCs by means of using HFOs as foam blowing agent in the manufacture of reefers and truck trailer bodies	32,100
Uruguay	UNDP	PRP	REF	Preparation of an assessment of unsaturated HFC (HFO) in air-conditioning and refrigeration applications in a small non-low volume consuming country	42,800
Total for demonstration projects					1,866,887
DISTRICT COOLING FEASIBILITY STUDIES					
Dominican Republic (the)	UNDP	TAS	REF	Feasibility study for district cooling in Punta Cana	109,000
Egypt	UNEP	TAS	REF	Feasibility study addressing district cooling	33,900
Egypt	UNIDO	TAS	REF	Feasibility study addressing district cooling	76,300
Kuwait	UNEP	TAS	REF	Feasibility study addressing district cooling	33,900
Kuwait	UNIDO	TAS	REF	Feasibility study addressing district cooling	76,300
Total for feasibility studies					329,400

Annex III

REMAINING ACTIVITIES REQUIRED FOR COMPLIANCE

Country	Agency	Type	Chemical	Sector and Subsector	Value (\$000) 2015	ODP 2015
Albania	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	26	0.2
Albania	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	44	0.3
Algeria	UNIDO	INV	MBR	Phase-out of MB in dates	229	1.8
Algeria	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	155	1.0
Algeria	UNIDO	PRP	HCFC	FOA-Rigid PU foam (stage II)	30	0.0
Algeria	UNIDO	PRP	HCFC	REF-Manufacturing (stage II)	30	0.0
Algeria	UNIDO	PRP	HCFC	REF-Servicing (stage II)	36	0.0
Angola	UNDP	INV	HCFC	Stage I HPMP	34	0.3
Angola	UNDP	PRP	HCFC	Stage II HPMP Preparation (refrigeration servicing)	32	0.0
Antigua and Barbuda	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	7	0.0
Argentina	UNDP	INS	SEV	Several Ozone unit support	333	0.0
Argentina	IBRD	PRP	HCFC	FOA - Rigid PU foam (stage II)	161	
Argentina	UNIDO	PRP	HCFC	REF-Servicing (stage II)	53	0.0
Bahrain	UNEP	INS	SEV	Institutional Strengthening	60	
Bahrain	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	164	1.2
Bangladesh	UNDP	INS	SEV	Several Ozone unit support	139	0.0
Bangladesh	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	20	0.2
Bangladesh	UNDP	PRP	HCFC	Stage II HPMP Preparation (air condition, refrigeration servicing)	150	0.0
Bangladesh	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	28	
Barbados	UNEP	INS	SEV	Institutional Strengthening	117	
Benin	UNEP	INS	SEV	Institutional Strengthening	60	
Bolivia (Plurinational State of)	Germany	INV	HCFC	Ref-Servicing (Stage I)	73	0.4
Botswana	UNEP	INS	SEV	Institutional Strengthening	78	
Brazil	UNDP	INS	SEV	Several Ozone unit support	376	0.0
Brazil	Germany	INV	HCFC	Ref-Servicing (Stage I)	454	4.6
Brazil	UNDP	INV	HCFC	Stage I HPMP	1,774	18.5
Brazil	UNDP	INV	HCFC	Stage II HPMP (foam)	1,880	40.4
Brazil	Italy	PHA	HCFC	HCFC phase out Foam and Technical Assistance (stage II)	148	3.0
Brazil	UNIDO	PHA	HCFC	REF-Servicing (non-training) (stage II)	242	5.0
Brazil	UNIDO	PHA	HCFC	REF-Air conditioning (stage II)	969	10.0
Burundi	UNEP	INS	SEV	Institutional Strengthening	60	
Cameroon	UNEP	INS	SEV	Institutional Strengthening	140	
Cameroon	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	64	1.0
Cabo Verde	UNEP	INS	SEV	Institutional Strengthening	60	
Central African Republic (the)	UNEP	INS	SEV	Institutional Strengthening	60	
Central African Republic (the)	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	62	0.4
Chad	UNEP	INS	SEV	Institutional Strengthening	60	
Chile	UNDP	INV	HCFC	Stage I HPMP	121	1.4

Country	Agency	Type	Chemical	Sector and Subsector	Value (\$000) 2015	ODP 2015
Chile	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	31	0.3
China	UNDP	INV	HCFC	Stage I Investment project/Sector Plans (Solvents Sector Plan)	535	6.4
China	UNDP	INV	HCFC	Stage I Investment project./Sector Plans (ICR Sector Plan) (Stage I)	9,791	116.8
China	IBRD	PHA	HCFC	FOA - Rigid PU (Stage I)	11,717	139.7
China	Japan	PHA	HCFC	HCFC Phase Out Plan (Stage I)	90	1.0
China	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (servicing sector, including enabling) (Stage I)	874	10.0
China	UNIDO	PHA	HCFC	FOA-XPS (Stage I)	7,204	85.9
China	UNIDO	PHA	HCFC	REF-Air conditioning, Room Air Conditioning (RAC) (Stage I)	12,038	143.6
Colombia	UNDP	INV	HCFC	Stage II HPMP (refrigeration)	72	0.7
Colombia	UNDP	INV	HCFC	Stage II HPMP (overarching)	79	3.9
Colombia	UNDP	INV	HCFC	Stage II HPMP (foam)	507	10.9
Comoros	UNEP	INS	SEV	Institutional Strengthening	60	
Congo	UNEP	INS	SEV	Institutional Strengthening	60	
Costa Rica	UNDP	INS	SEV	Several Ozone unit support	150	0.0
Cote d'Ivoire	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	213	2.3
Cuba	UNDP	INS	SEV	Several Ozone unit support	160	0.0
Democratic People's Republic of Korea (the)	UNEP	INS	SEV	Institutional Strengthening	130	
Democratic Republic of the Congo (the)	UNEP	INS	SEV	Institutional Strengthening	65	
Democratic Republic of the Congo (the)	UNDP	INV	HCFC	Stage I HPMP (Stage I)	26	0.3
Democratic Republic of the Congo (the)	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	27	0.3
Democratic Republic of the Congo (the)	UNEP	PHA	HCFC	Stage 2 - HCFC Phase-out Management Plan (implementation)	390	8.1
Democratic Republic of the Congo (the)	UNDP	PRP	HCFC	Stage II HPMP Preparation (refrigeration servicing)	11	0.0
Democratic Republic of the Congo (the)	UNDP	PRP	HCFC	Stage II HPMP Preparation (refrigeration servicing)	27	0.0
Democratic Republic of the Congo (the)	UNEP	PRP	HCFC	Stage 2 and 3 - HCFC Phase-out Management Plan (preparation)	32	
Democratic Republic of the Congo (the)	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	67	
Dominican Republic (the)	UNDP	INV	HCFC	Stage II HPMP (overarching)	131	2.7
Ecuador	UNEP	INS	SEV	Institutional Strengthening	177	
Eritrea	UNEP	INS	SEV	Institutional Strengthening	60	
Gabon	UNEP	INS	SEV	Institutional Strengthening	60	
Global	ALL	TAS	HCFC	HPMP Verification	540	

Country	Agency	Type	Chemical	Sector and Subsector	Value (\$000) 2015	ODP 2015
Global	IBRD	TAS	SEV	Agency Core Unit Costs	1,725	
Global	UNDP	TAS	SEV	Core Unit Support	2,041	0.0
Global	UNEP	TAS	SEV	Global CAP 2016 work programme	10,699	
Global	UNIDO	TAS	SEV	Core Unit Funding	2,041	0.0
Grenada	UNEP	INS	SEV	Institutional Strengthening	60	
Guatemala	UNEP	INS	SEV	Institutional Strengthening	125	
Haiti	UNEP	INS	SEV	Institutional Strengthening	100	
Haiti	UNDP	INV	HCFC	Stage I HPMP	106	0.4
Haiti	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	34	0.1
Honduras	UNEP	INS	SEV	Institutional Strengthening	60	
India	UNDP	INV	HCFC	Stage I HPMP	1,539	23.1
India	UNDP	INV	HCFC	Stage II HPMP (refrigeration)	186	1.9
India	UNDP	INV	HCFC	Stage II HPMP (refrigeration)	537	7.5
India	UNDP	INV	HCFC	Stage II HPMP (air conditioning)	1,226	12.7
India	UNDP	INV	HCFC	Stage II HPMP (foam)	3,652	78.4
India	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	97	1.4
India	Germany	TAS	HCFC	Ref-Servicing (Stage I)	222	3.2
India	Germany	TAS	HCFC	Ref-Servicing (stage II)	251	4.9
Indonesia	UNDP	INS	SEV	Several Ozone unit support	290	0.0
Indonesia	UNDP	INV	HCFC	Stage I HPMP	490	4.9
Indonesia	UNDP	INV	HCFC	Stage II HPMP (refrigeration)	11	0.2
Indonesia	UNDP	INV	HCFC	Stage II HPMP (refrigeration)	16	0.2
Indonesia	UNDP	INV	HCFC	Stage II HPMP (overarching)	961	19.8
Indonesia	IBRD	PHA	HCFC	HCFC Foam Sector Plan (Stage I)	146	1.4
Indonesia	IBRD	PHA	HCFC	FOA (stage II)	307	11.0
Iran (Islamic Republic of)	Germany	INV	HCFC	FOA (stage II)	553	0.0
Iran (Islamic Republic of)	UNDP	INV	HCFC	Stage II HPMP (refrigeration)	228	2.4
Iran (Islamic Republic of)	UNDP	INV	HCFC	Stage II HPMP (air conditioning)	489	5.0
Iran (Islamic Republic of)	UNDP	INV	HCFC	Stage II HPMP (refrigeration)	1,181	16.5
Iran (Islamic Republic of)	UNIDO	PHA	HCFC	REF-Servicing (stage II)	242	5.0
Iran (Islamic Republic of)	UNIDO	PHA	HCFC	REF-Manufacturing (stage II)	678	7.0
Iran (Islamic Republic of)	UNIDO	PHA	HCFC	REF-Manufacturing FOA (stage II)	1,303	30.0
Iraq	UNEP	INS	SEV	Institutional Strengthening	240	
Iraq	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	168	1.9
Jamaica	UNEP	INS	SEV	Institutional Strengthening	60	
Jordan	IBRD	PHA	HCFC	HCFC Air-Conditioning Sector Plan (Stage I)	335	2.2
Jordan	IBRD	PHA	HCFC	HCFC Air-Conditioning Sector Plan (Stage I)	906	8.3
Jordan	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	24	0.2
Jordan	IBRD	PRP	HCFC	REF-Commercial refrigeration (stage II)	64	
Kenya	France	PHA	HCFC	HCFC Phase Out Plan (Stage I)	198	2.2
Kiribati	UNEP	INS	SEV	Institutional Strengthening	60	
Kuwait	UNEP	INS	SEV	Institutional Strengthening	105	
Kuwait	UNIDO	PRP	HCFC	FOA-Rigid PU foam (stage II)	24	0.0
Kuwait	UNIDO	PRP	HCFC	REF-Servicing (stage II)	27	0.0

Country	Agency	Type	Chemical	Sector and Subsector	Value (\$000) 2015	ODP 2015
Kuwait	UNIDO	PRP	HCFC	REF-Air Conditioning (stage II)	47	0.0
Lebanon	UNDP	INV	HCFC	Stage II HPMP (air conditioning)	11	0.1
Lebanon	UNDP	INV	HCFC	Stage II HPMP (refrigeration)	20	0.2
Lebanon	UNDP	INV	HCFC	Stage II HPMP (refrigeration)	27	0.4
Lebanon	UNDP	INV	HCFC	Stage II HPMP (foam)	154	3.3
Liberia	UNEP	INS	SEV	Institutional Strengthening	85	
Malaysia	UNDP	INS	SEV	Several Ozone unit support	299	0.0
Malaysia	UNDP	INV	HCFC	Stage I HPMP	515	5.2
Malaysia	UNDP	INV	HCFC	Stage II HPMP (air conditioning)	191	2.0
Malaysia	UNDP	INV	HCFC	Stage II HPMP (refrigeration)	293	3.0
Malaysia	UNDP	INV	HCFC	Stage II HPMP (overarching)	329	7.5
Malaysia	UNDP	INV	HCFC	Stage II HPMP (foam)	527	11.3
Maldives	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	114	0.3
Marshall Islands	UNEP	INS	SEV	Institutional Strengthening	60	
Mexico	UNDP	INV	HCFC	Stage I HPMP	1,207	26.0
Mexico	UNIDO	PHA	HCFC	REF-Servicing and FOA (Stage I)	243	5.3
Montenegro	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	106	0.1
Morocco	UNEP	INS	SEV	Institutional Strengthening	156	
Morocco	Italy	PHA	HCFC	REF-Servicing (stage II)	83	1.7
Mozambique	UNEP	INS	SEV	Institutional Strengthening	81	
Myanmar	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	21	0.1
Myanmar	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	65	0.3
Nepal	UNDP	INV	HCFC	Stage I Investment project/Sector Plans (Servicing Sector)	37	0.1
Nepal	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	57	0.2
Nicaragua	UNEP	INS	SEV	Institutional Strengthening	60	
Niger (the)	UNEP	INS	SEV	Institutional Strengthening	65	
Nigeria	UNDP	INV	HCFC	Stage I HPMP	322	5.5
Niue	UNEP	INS	SEV	Institutional Strengthening	60	
Pakistan	UNIDO	PHA	HCFC	REF-Servicing (stage II)	484	10.0
Pakistan	UNIDO	PHA	HCFC	FOA-Rigid PU foam (stage II)	1,229	33.0
Panama	UNDP	INS	SEV	Several Ozone unit support	150	0.0
Panama	UNDP	INV	HCFC	Stage II HPMP (foam)	19	0.4
Panama	UNDP	INV	HCFC	Stage II HPMP (overarching)	61	1.3
Paraguay	UNDP	INV	HCFC	HCFC-INV: FOA sector (stage I)	255	4.5
Peru	UNEP	INS	SEV	Institutional Strengthening	134	
Peru	UNDP	INV	HCFC	Stage I HPMP	27	0.3
Peru	UNDP	INV	HCFC	Stage I HPMP	109	1.3
Peru	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	6	0.1
Peru	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	23	0.3
Peru	UNDP	PRP	HCFC	Stage II HPMP Preparation (foam, refrigeration servicing)	118	0.0
Peru	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	85	
Philippines (the)	IBRD	PHA	HCFC	REF - Servicing (stage II)	144	3.0
Philippines (the)	IBRD	PHA	HCFC	REF-Air conditioning (stage II)	520	5.4
Philippines (the)	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	26	0.5
Qatar	UNEP	PHA	HCFC	HCFC Phase-out Management Plan	62	1.6

Country	Agency	Type	Chemical	Sector and Subsector	Value (\$000) 2015	ODP 2015
				(implementation) (Stage I)		
Qatar	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	170	4.3
Qatar	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	572	15.1
Rwanda	UNEP	INS	SEV	Institutional Strengthening	60	
Saint Lucia	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	11	0.0
Saint Lucia	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	4	0.0
Saint Vincent and the Grenadines	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	158	0.1
Sao Tome and Principe	UNEP	INS	SEV	Institutional Strengthening	61	
Saudi Arabia	UNEP	INS	SEV	Institutional Strengthening	200	
Saudi Arabia	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	281	11.4
Saudi Arabia	UNIDO	PHA	HCFC	REF-Air conditioning (Stage I)	1,284	54.6
Senegal	UNEP	INS	SEV	Institutional Strengthening	152	
Seychelles	UNEP	INS	SEV	Institutional Strengthening	60	
Seychelles	Germany	INV	HCFC	Ref-Servicing (Stage I)	203	0.4
Sierra Leone	UNEP	INS	SEV	Institutional Strengthening	86	
Somalia	UNEP	INS	SEV	Institutional Strengthening	60	
South Africa	UNIDO	PRP	HCFC	REF-Servicing (stage II)	53	0.0
South Africa	UNIDO	PRP	HCFC	REF-Manufacturing (stage II)	89	0.0
South Sudan	UNEP	INS	SEV	Institutional Strengthening	60	
South Sudan	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (stage I)	101	
Sudan (the)	UNEP	INS	SEV	Institutional Strengthening	146	
Swaziland	UNEP	INS	SEV	Institutional Strengthening	60	
Syrian Arab Republic	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (stage I)	181	0.0
Syrian Arab Republic	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation Stage-II)	57	
Thailand	IBRD	INS	SEV	Several Ozone unit support	371	
Thailand	IBRD	PHA	HCFC	FOA REF - AC (Stage I)	1,070	10.2
The Former Yugoslav Republic of Macedonia	UNIDO	PHA	HCFC	REF-Servicing, FOA & INS (Stage I)	88	0.2
Timor-Leste	UNEP	INS	SEV	Institutional Strengthening	60	
Timor-Leste	UNDP	INV	HCFC	Stage I HPMP	12	0.0
Timor-Leste	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	19	0.0
Trinidad and Tobago	UNDP	INV	HCFC	Stage I HPMP	507	5.8
Tunisia	France	PHA	HCFC	HCFC Phase Out Plan (Stage I)	444	2.3
Tunisia	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	62	0.3
Tunisia	UNIDO	PHA	HCFC	REF-Servicing, RAC-Manuf., FOA-Rigid PU foam (Stage I)	512	2.8
Turkey	Italy	PHA	HCFC	REF-Servicing (stage II)	83	1.7
Turkey	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	2,675	82.3
Uruguay	UNDP	INS	SEV	Several Ozone unit support	161	0.0
Uruguay	UNDP	INV	HCFC	Stage I HPMP	48	0.5
Uruguay	UNDP	INV	HCFC	Stage II HPMP (foam)	45	1.0
Uruguay	UNDP	INV	HCFC	Stage II HPMP (overarching)	54	1.1

Country	Agency	Type	Chemical	Sector and Subsector	Value (\$000) 2015	ODP 2015
Venezuela (Bolivarian Republic of)	Italy	PHA	HCFC	REF-Servicing (stage II)	55	1.4
Viet Nam	UNEP	INS	SEV	Institutional Strengthening	119	
Viet Nam	IBRD	PHA	HCFC	FOA (Stage I)	1,125	15.0
Viet Nam	IBRD	PHA	HCFC	REF - Assembly and charging of refrigeration equipment (stage II)	339	7.0
Viet Nam	IBRD	PHA	HCFC	FOA - Rigid PU foam (stage II)	573	15.9
Viet Nam	IBRD	PHA	HCFC	REF - AC (stage II)	662	7.2
Yemen	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	186	13.2
Zambia	UNEP	INS	SEV	Institutional Strengthening	66	
Zimbabwe	Germany	INV	HCFC	Ref-Servicing (Stage I)	125	1.3

Annex IV

**POSSIBLE IMPACT OF THE FIXED-EXCHANGE RATE MECHANISM (FERM) BASED ON
CURRENT RATES OF EXCHANGE FOR THOSE COUNTRIES THAT QUALIFY AND USED
THE FERM IN THE 2012-2014 TRIENNIUM**

Parties	UN scale of assessment	Annual contributions (US \$)	Qualified for FERM	FERM currencies rates of exchange	UN exchange rates as at 1 April 2015 (US\$)	Value of annual contributions as at 1 April 2015 (US\$)	Difference in pledge and payment for eligible FERM Parties (US\$)	Value of annual contributions as at 1 April 2015 for 2012-2014 FERM Parties (US\$)	Difference in pledge and payment for 2012-2014 FERM Parties (US\$)
Andorra	0.011086	16,168	No	0.72967	0.923	16,168	0	16,168	0
Australia	2.874158	4,191,481	Yes	1.10283	1.306	4,963,661	772,180	4,963,661	772,180
Austria	1.105872	1,612,730	Yes	0.72967	0.923	2,040,032	427,302	2,040,032	427,302
Azerbaijan	0.055432	80,839	Yes	0.7839	1.0489	108,167	27,328	80,839	0
Belarus	0.077605	113,174	No	9776.66667	14700	113,174	0	113,174	0
Belgium	1.383033	2,016,923	Yes	0.72967	0.923	2,551,318	534,395	2,551,318	534,395
Bulgaria	0.065133	94,985	Yes	1.427	1.804	120,079	25,094	94,985	0
Canada	4.13524	6,030,559	Yes	1.0975	1.265	6,950,940	920,381	6,950,940	920,381
Croatia	0.174611	254,642	Yes	5.57017	7.053	322,430	67,788	254,642	0
Cyprus	0.065133	94,985	Yes	0.72967	0.923	120,152	25,167	94,985	0
Czech Republic (the)	0.53492	780,092	Yes	20.02833	25.37	988,147	208,055	988,147	208,055
Denmark	0.935418	1,364,151	Yes	5.4455	6.891	1,726,263	362,112	1,726,263	362,112
Estonia	0.055432	80,839	Yes	0.72967	0.923	102,258	21,419	102,258	21,419
Finland	0.719232	1,048,881	Yes	0.72967	0.923	1,326,788	277,907	1,326,788	277,907
France	7.750804	11,303,256	Yes	0.72967	0.923	14,298,115	2,994,859	14,298,115	2,994,859
Germany	9.896029	14,431,709	Yes	0.72967	0.923	18,255,468	3,823,759	18,255,468	3,823,759
Greece	0.884143	1,289,376	Yes	0.72967	0.923	1,631,003	341,627	1,289,376	0
Holy See (the)	0.001386	2,021		0.72967	0.923	2,021	0	2,021	0
Hungary	0.368624	537,577	Yes	223.38333	276.2	664,682	127,105	537,577	0
Iceland	0.037417	54,566	Yes	113.79833	136.4	65,403	10,837	65,403	10,837
Ireland	0.579266	844,763	Yes	0.72967	0.923	1,068,588	223,825	1,068,588	223,825
Israel	0.548779	800,302	Yes	3.48817	3.965	909,703	109,401	800,302	0
Italy	6.164058	8,989,251	Yes	0.72967	0.923	11,371,002	2,381,751	8,989,251	0
Japan	15.012419	21,893,111	Yes	102.77	119.92	25,546,578	3,653,467	21,893,111	0
Kazakhstan	0.167682	244,537	Yes	173.58667	185.72	261,630	17,093	244,537	0
Latvia	0.065133	94,985	Yes	0.7297	0.923	120,147	25,162	120,147	25,162
Liechtenstein	0.012472	18,189		0.891	0.965	18,189	0	18,189	0
Lithuania	0.101164	147,530	Yes	2.5195	0.923	54,047	-93,483	147,530	0
Luxembourg	0.11225	163,698	Yes	0.72967	0.923	207,071	43,373	163,698	0
Malta	0.022173	32,335	Yes	0.72967	0.923	40,902	8,567	32,335	0
Monaco	0.01663	24,252		0.72967	0.923	24,252	0	24,252	0
Netherlands (the)	2.29212	3,342,676	Yes	0.72967	0.923	4,228,336	885,660	3,342,676	0
New Zealand	0.350609	511,304	Yes	1.19133	1.328	569,961	58,657	569,961	58,657

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Annex IV

Parties	UN scale of assessment	Annual contributions (US \$)	Qualified for FERM	FERM currencies rates of exchange	UN exchange rates as at 1 April 2015 (US\$)	Value of annual contributions as at 1 April 2015 (US\$)	Difference in pledge and payment for eligible FERM Parties (US\$)	Value of annual contributions as at 1 April 2015 for 2012-2014 FERM Parties (US\$)	Difference in pledge and payment for 2012-2014 FERM Parties (US\$)
Norway	1.17932	1,719,841	Yes	6.06033	7.993	2,268,307	548,466	2,268,307	548,466
Poland	1.276326	1,861,309	Yes	3.04867	3.775	2,304,756	443,447	1,861,309	0
Portugal	0.656871	957,937	Yes	0.72967	0.923	1,211,748	253,811	957,937	0
Romania	0.313192	456,738	Yes	3.25683	4.064	569,936	113,198	456,738	0
Russian Federation (the)	3.378591	4,927,112	Yes	34.93833	57.77	8,146,905	3,219,793	4,927,112	0
San Marino	0.004157	6,063	Yes	0.72967	0.923	7,669	1,606	6,063	0
Slovakia	0.236973	345,585	Yes	0.72967	0.923	437,150	91,565	345,585	0
Slovenia	0.13858	202,096	Yes	0.72967	0.923	255,642	53,546	202,096	0
Spain	4.119996	6,008,328	Yes	0.72967	0.923	7,600,267	1,591,939	6,008,328	0
Sweden	1.330372	1,940,126	Yes	6.537	8.612	2,555,968	615,842	2,555,968	615,842
Switzerland	1.450937	2,115,950	Yes	0.891	0.965	2,291,685	175,735	2,291,685	175,735
Tajikistan	0.004157	6,063	Yes	4.86833	5.7201	7,124	1,061	6,063	0
Ukraine	0.137195	200,076	Yes	10.33117	23.394	453,054	252,978	200,076	0
United Kingdom of Great Britain and Northern Ireland (the)	7.177081	10,466,576	Yes	0.60083	0.676	11,776,052	1,309,476	11,776,052	1,309,476
United States of America (the)	22	32,083,333	Yes	1	1	32,083,333	0	32,083,333	0
Uzbekistan	0.020787	30,314	No	2241.08333	2490.2	30,314	0	30,314	0
Total	100	145,833,333				172,786,582	26,953,248	159,143,702	13,310,368

* With no party contributing more than 22 per cent.

Annex V

**SUMMARY OF DIALOGUES BETWEEN BILATERAL AND IMPLEMENTING AGENCIES
AND NATIONAL OZONE UNITS (NOUs) ON QUALITATIVE PERFORMANCE INDICATOR
ASSESSMENTS PER DECISION 73/14(b) AND (c)**

1. In the case of Afghanistan, UNEP explained that the National Ozone Officer (NOO) clarified that the ratings given were related to the regulatory tools proposed in the HPMP as the NOO was not sure about the level of consultations involved in determining those tools. UNEP has since assured the NOU that the policy instruments in the HPMP document can be and should be adjusted per the government priority. UNEP also indicated that the NOU had given an overall rating for UNEP of excellent as it had received full support from CAP team whenever needed. With respect to the rating from Afghanistan for Germany, Germany indicated that the rating was due to security issues that did not allow expert missions during a long time. Germany made extra efforts in 2014 but the security situation has not been improving and, thus, it is considering the transfer of its role in the project.
2. With respect to the rating from the Plurinational State of Bolivia, Germany indicated that due to changes in personnel both in the country and Germany, planned project activities could not be implemented according to the schedule. As a consequence, revision of funds allocation were requested but could not be accommodated in all cases. Germany informed that the project has been transferred to UNIDO.
3. With respect to the issue of the return of unspent project preparation funds for the terminal phase-out plan in Guyana, UNEP indicated that the NOU wanted the remaining preparation funds to be used for activities under the HPMP. UNEP has explained that no implementing agency (including UNEP) was allowed to make further commitments in the light of the Executive Committee's decision.
4. With respect to the issue of administrative procedures highlighted by the Government of Madagascar, UNIDO reported that the country requested for more direct methods of communication with UNIDO's headquarters rather than UNIDO's office in Madagascar. The Government assured UNIDO that they are satisfied with UNIDO's work on their project. The communication issues have since been addressed.
5. With respect to the choice of refrigeration identifiers and technicians' tool kits in Sao Tome and Principe, UNEP confirmed to the NOU that more careful consideration will be given to the selection criteria in the future, taking into account the country's particular circumstances.
6. As for South Africa, where issues were reported on funding criteria and involvement in decision-making with respect to regulations and training, UNIDO indicated that it was requested by the Government to involve the Ozone Office to a greater extent in decisions on beneficiary prioritization, funding levels to beneficiaries, regulatory actions and training schedules. UNIDO acknowledged the request and have since acted accordingly.
