



联合国



环境规划署

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执行蒙特利尔议定书  
多边基金执行委员会  
第五十四次会议  
2008年4月7日至11日，蒙特利尔

### 关于余额和资金供应情况的报告

执行蒙特利尔议定书多边基金执行委员会的会前文件不妨碍文件印发后执行委员会可能作出的任何决定。  
为节省经费起见，本文件印数有限。请各代表携带文件到会，不索取更多副本。

## 导言

1. 本文件摘要介绍了由执行机构提出并经基金秘书处同意的财务调整。本文载有第 28/7 号决定所要求的从完成 12 个月后仍留有余额的项目收集的统计数字。本文所载数据按照第 31/2 号决定制定的报告格式提出，包括了已承付余额，也包括未承付余额。遵照第 41/92 号决定，本文件还说明了捐款状况（UNEP/OzL.Pro/ExCom/54/3）中列明的执行委员会可用的现金和期票的资源数额，外加余额报告中指出的各个项目退还的资金额。加上可能在本次会议上撤销的项目和执行中的项目退还的余额以及双边项目的退款，包括支助费用在内，本报告所涉总余额为 532,906 美元。

## 将退还给第五十四次会议的资金

2. 执行机构和双边机构提供的资料指出，不计机构支助费用在内，将向第五十四次会议退还资金共计 492,160 美元。这项数额根据已完成的项目的结余算得，并包括了澳大利亚政府在印度哈龙管理和哈龙库方案的项目费用中退还的 93,400 美元。未曾为这个项目核准任何支助费用。

表 1

将退还给第五十四次会议的资金 ( 美元 )

机构	已完成的项目退还的资金	关闭的项目退还的资金	执行中的项目退还的资金	将退还第五十四次会议的资金总额
开发计划署	413	0	0	<b>413</b>
环境规划署	251,684	0	28,000	<b>279,684</b>
工发组织	21,694	35,474	0	<b>57,168</b>
世界银行	61,495	0	0	<b>61,495</b>
小计	335,286	35,474	28,000	<b>398,760</b>
澳大利亚	93,400	0	0	<b>93,400</b>
共计	<b>428,686</b>	<b>35,474</b>	<b>28,000</b>	<b>492,160</b>

## 按完成年份开列尚有余额的项目

3. 表 2 开列了执行机构仍持有余额的项目数和这些项目的完成年份。表 2 还显示，对于在 2006 年 12 月 31 日及该日之前（至少 12 个月之前）完成的项目，执行机构持有的余额总计为 15,847,496 美元。

表 2

## 按项目完成年份分列的持留余额

完成年度	按机构分列的项目数目和余额									
	开发计划署		环境规划署		工发组织		世界银行		共计	
	数目	美元	数目	美元	数目	美元	数目	美元	数目	美元
2002	1	2,356	2	52,553	0	0	0	0	3	54,909
2003	6	9,812	5	124,810	0	0	5	2,474,751	16	2,609,373
2004	11	94,851	19	463,935	6	618,416	4	2,977,497	40	4,154,699
2005	11	280,699	18	400,228	5	44,266	6	3,478,928	40	4,204,121
2 年以前完成的项目	29	387,718	44	1,041,526	11	662,682	15	8,931,176	99	11,023,102
2006	32	2,646,935	49	1,671,170	24	406,289	1	100,000	106	4,824,394
小计	61	3,034,653	93	2,712,696	35	1,068,971	16	9,031,176	205	15,847,496
关闭的项目	1	107,248	0	0	0	0	0	0	1	107,248
进行中的项目	0	0	2	64,648	2	3,307,225	0	0	4	3,371,873
改变执行机构的项目	0	0	0	0	0	0	0	0	0	0
共计	62	3,141,901	95	2,777,344	37	4,376,196	16	9,031,176	210	19,326,617

4. 执行委员会在第四十七次会议上，请基金秘书处根据执行拖延和项目余额的文件，继续对多年期年度付款进行监测（第 47/50(b)(ii)号决定）。

5. 世界银行持留的上报余额最多（9,031,176 美元），全部来自多年期年度付款。开发计划署持有余额共计 3,141,901 美元，其中 2,496,846 美元来自多年期协定；工发组织持有余额共计 4,376,196 美元，其中 266,424 美元来自多年期协定。然而，环境规划署上报的余额最少（2,777,344 美元），其中 198,982 美元来自多年期协定。不过，环境规划署拥有余额的项目最多（95 个），其次是开发计划署（62 个）、工发组织（37 个）和世界银行（16 个）。

6. 所有这些机构都还有两年前即已完成但仍留有余额的项目。大多数资金（11,023,102 美元中的 8,931,176 美元）都来自世界银行两年前即已上报完成的 15 个项目。环境规划署有 44 个两年前即已完成的项目，持留余额共计 1,041,526 美元。

7. 执行委员会请各机构说明，为什么三年前完成的项目仍有已承付余额。环境规划署指出，这些项目仍留有余额的主要理由是在有管理问题的国家内执行的一些项目的最后开支报告难以编制。对体制建设项目而言，至今仍未收到最后支出的报告，因此无法根据伙伴双方签订的协定作为支出入账。

8. 在有些情况下，例如开发计划署（国家办事处）代环境规划署付款给 GLO/SEV/44/TAS/260，因为包括旅费在内的有些研讨会均由开发计划署国家办事处组织举办，而开发计划署在确认支付费用方面常有拖延。

9. 开发计划署指出，包括支助费用在内的 32,430 美元是布基纳法索的两个制冷剂管理计划的所剩余额。向第五十一次会议提出的项目完成报告内的暂定款额似乎少报了分配款额。事实上，2005 年的项目报告在当时已经报告了分配总额 118,177 美元。这些项目在

撤销/关闭时都已在执行。使用开发计划署的财务程序，以确保最后的预算订正的发布标志正式结账，并作为开发计划署系统内结束项目的基础。目前已经要求开发计划署国家办事处提供最后预算款额，但至今尚未收到回复。后续工作将继续进行，并在收到最后预算订正后，将立即退还余额。

10. 工发组织指出，大部分余额都与三个项目有关。有若干活动仍待完成，目前正在与一家新公司进行谈判，以便为中国两个大型泡沫塑料行业的项目完成其他合约工程。剩余的结余大都用于支付项目的增支经营费用，这只有在该国政府确认公司已按第 52/2 号决定完成了所有工作之后才能退还。

11. 根据世界银行的解释，最初进行的项目的结余多数来自项目节省的款额，以便用于未来的活动。结余问题似乎多数与多年期协定年度付款完成的工作的定义有关，也许原先一旦有节省款额时的设计可造成超过年度付款支付的费用。不过，在活动持续多年的情况下，项目完成的上报日期可能与预期提供经费完成活动的日期无关，而与下一次付款何时核准有关。

#### 已承付和未承付余额

12. 四个执行机构各自的已承付和未承付资金额列述如下：

表 3

#### 按机构分列的已承付和未承付项目余额 ( 美元 )

机构	已承付余额	未承付余额	共计
开发计划署	3,141,901	-	3,141,901
环境规划署	2,777,344	-	2,777,344
工发组织	2,227,466	2,148,730	4,376,196
世界银行	9,031,176	-	9,031,176
<b>共计</b>	<b>17,177,887</b>	<b>2,148,730</b>	<b>19,326,617</b>

13. 从已完成、已取消和目前进行中的项目获得的余额共计 19,326,617 美元，其中已承付 17,177,887 美元（意味着这些资金预计将要支出），还有 2,148,730 美元未承付。基金秘书处要求各执行机构提交报告做出解释，说明为什么没有在规定时限内退还未承付余额，并要求各执行机构指明退还余额的时间（第 31/2(a)(ii)号决定）。附件一列述了按机构分列的关于已承付和未承付余额的补充资料。

14. 开发计划署有 61 个已完成项目和 1 个已关闭项目。它没有未承付余额。仍有余额的项目总数从向第五十三次会议报告的 36 个增加到向第五十四次会议报告的 62 个。余额全部款额从 703,009 美元增加到 3,141,901 美元。

15. 环境规划署有 93 个已完成项目和 2 个执行中项目。它没有未承付余额。仍有余额的项目总数从向第五十三次会议报告的 80 个增加到向第五十四次会议报告的 95 个。余额全部款额从 182 万美元增加到 278 万美元。

16. 工发组织有 35 个已完成项目和 2 个执行中项目，未承付余额为 2,148,730 美元。仍有余额的项目总数从向第五十三次会议报告的 21 个增加到向第五十四次会议报告的 37 个。余额全部款额从 835,377 美元增加到 4,376,196 美元。

17. 世界银行持有 9,031,176 美元的已完成项目的已承付余额，全部是多年期项目的余额。它没有未承付余额。仍有余额的项目总数从向第五十三次会议报告的 22 个减少到向第五十四次会议报告的 16 个。余额全部款额从 11,929,010 美元减少到 9,031,176 美元。

### 机构支助费

18. 执行委员会第三十五次会议请各执行机构全部退还与已完成项目的留存资金有关的机构支助费。如果用机构支助费支付了开支，执行机构应做出解释，并退还资金余额（第 35/13(k)号决定）。表 4 显示，将向第五十四次会议退还共计 40,746 美元的机构支助费。

表 4

将向第五十四次会议退还的行政支助费 ( 美元 )

机构	已完成项目退还的资金	结束项目退还的资金	执行中项目退还的资金	将退还第五十四次会议的资金总额
开发计划署	45	0	0	45
环境规划署	27,638	0	3,640	31,278
工发组织	2,234	3,193	0	5,427
世界银行	3,996	0	0	3,996
共计	33,913	3,193	3,640	40,746

### 第五十四次会议可动用的资源总额

19. 截至 2008 年 3 月 7 日的捐款和分配情况表明，司库可动用的现金和期票总额共计 84,352,512 美元。各多边执行机构和一个双边机构退还余额总计 532,906 美元，其中包括机构支助费。因此，到 2008 年 3 月 7 日为止，可供执行委员会第五十四次会议核准动用的资金总额为 84,885,418 美元。根据可能核准动用的资金数额，在“项目审查期间所发现问题的概述”文件（UNEP/OzL.Pro/ExCom/54/16）中，讨论了第五十四次会议可动用的资金问题。

### 评论

20. 在本报告所述 1,930 万美元有资金余额的项目中，大约 11,993,428 美元是多年期协定的余额，其中又有 9,031,176 美元来自世界银行负责执行的协定。同上一份余额报告中指出的情况一样，多年期协定的余额问题大多是世界银行的问题。

## 建议

### 21. 谨提议执行委员会：

- (a) 注意到 UNEP/OzL.Pro/ExCom/54/4 号文件所载关于余额和资金供应情况的报告；
- (b) 注意到多边执行机构将向第五十四次会议退还项目余额净额 398,760 美元，其中包括开发计划署退还的 413 美元、环境规划署退还的 279,684 美元、工发组织退还的 57,168 美元和世界银行退还的 61,495 美元；
- (c) 注意到多边执行机构将向第五十四次会议退还的机构支助费余额净额 40,746 美元，其中包括开发计划署退还的 45 美元、环境规划署退还的 31,278 美元、工发组织退还的 5,427 美元以及世界银行退还的 3,996 美元；
- (d) 注意到多边执行机构持有余额共计 11,023,102 美元，但不包括两年前即已完成的项目的机构支助费。这笔余额包括开发计划署的 387,718 美元、环境规划署的 1,041,526 美元、工发组织的 662,682 美元和世界银行的 8,931,176 美元；
- (e) 注意到由于印度哈龙管理和哈龙库方案退还了资金，因此应当从澳大利亚的双边捐款中扣除 93,400 美元；以及
- (f) 注意到有 84,885,418 美元（本次会议将予更新）可供执行委员会第五十四次会议核准动用。

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## Annex I

## OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IMPLEMENTING AGENCY

UNDP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Obligated Balance as per 54th Meeting (US\$)	Unobligated Balance as per 54th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
ARG/SEV/38/INS/130	Extension of institutional strengthening project	COM	Dec-05	311,610	197	-		
BAH/REF/43/PRP/13	Project preparation for a refrigerant management plan update	COM	Dec-06	24,000	1,463	-		
BDI/ARS/35/INV/09	Fadi, S.A. aerosol project in Burundi	COM	Dec-03	128,900	180	-		
BDI/REF/41/TAS/13	Implementation of the RMP: supplementary training and spares to the national programme for recovery and recycling of refrigerants	COM	Dec-06	32,500	153	-		
BDI/REF/41/TAS/16	Implementation of the RMP: monitoring the activities in the RMP	COM	Dec-06	20,000	464	-		
BGD/SEV/35/INS/13	Renewal of the institutional strengthening project in Bangladesh(phase III)	COM	Dec-04	100,000	2,099	-		
BHU/REF/45/TAS/06	Implementation of the RMP: technical assistance in the refrigeration servicing sector	COM	Dec-06	45,000	99	-		
BKF/REF/32/TAS/11	Implementation of the RMP: incentive programme for the commercial and industrial refrigeration end-user sector	COM	Dec-06	132,250	12,349	-		
BKF/REF/34/TAS/13	Implementation of the RMP: monitoring the activities in the RMP	COM	Dec-06	16,350	16,350	-		
BRA/FOA/36/INV/256	Repor foamproject in Brazil	COM	Dec-04	323,201	47,309	-		
BRA/REF/28/INV/132	Metalurgica Venan Ltd. refrigeration project in Brazil	COM	Mar-04	741,800	6,793	-		
CHI/REF/42/PRP/155	Project preparation for the formulation of commercial refrigeration project	COM	Dec-06	32,000	329	-		
COL/FOA/38/INV/58	Terminal umbrella project for phase out of the use of CFC-11 in the manufacture of polyurethane foam	COM	Dec-06	840,170	2,453	-		
COL/SEV/38/INS/59	Renewal of the institutional strengthening (phase 4)	COM	Dec-05	275,600	3,484	-		
COS/REF/32/TAS/23	Technical assistance for RMP survey and preparation	COM	Dec-05	40,000	14,646	-		
COS/SEV/41/INS/32	Extension of institutional strengthening project	COM	Dec-06	140,513	776	-		
CPR/FOA/24/INV/245	Conversion to cyclopentane in the manufacture of heating pipes at Jixi City Thermal Power Company	COM	Dec-03	673,380	3,133	-		
CPR/FOA/25/INV/254	Victory Petroleum Organization Bureau foam project in China	COM	Nov-03	563,760	273	-		
CPR/FOA/26/INV/265	Daqing Petroleum Organization Bureau foam project in China	COM	Nov-03	1,124,560	618	-		
CPR/REF/25/INV/249	Hangzhou Household Electrical Appliance Industrial Corporation (HHEAIC) refrigeration project in China	COM	Nov-04	2,238,580	1,062	-		
CPR/REF/32/INV/367	Qingdao Haier No. 2 Freezer Plant refrigeration project in China	COM	Nov-04	788,359	9,293	-		
CPR/SOL/23/INV/224	Fujian Putian Vikay Electronics Co. Ltd. solvent project in China	COM	Nov-02	619,780	2,356	-		
CUB/ARS/34/INV/18	Phase-out of CFC-12 in the manufacture of insecticides and technical aerosols by conversion to hydrocarbon propellant at CIDT aerosol plant	COM	Dec-06	124,220	181	-		
CUB/ARS/36/TAS/19	Development of MDI transition strategies in Cuba	COM	Mar-04	30,000	5,998	-		
DRC/FOA/37/INV/10	Phasing out of CFC-11 in the manufacture of flexible slabstock foam at Bek SPRL by conversion to methylene chloride	COM	Dec-05	165,670	34,499	-		

## Annex I

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Obligated Balance as per 54th Meeting (US\$)	Unobligated Balance as per 54th Meeting (US\$)	Why any unobligated balances could not be returned?	When they could be returned?
FIJ/PHA/45/PRP/12	Project preparation for complete ODS phase-out plan	COM	Jul-06	16,870	6,870	-		
GHA/FUM/37/TRA/18	Training programme for terminal phase-out of methyl bromide use, excluding QPS applications	COM	Dec-06	101,550	799	-		
GHA/PHA/47/PRP/23	Project preparation of a terminal phase-out management plan	COM	Dec-06	30,000	609	-		
GHA/REF/32/TAS/14	Implementation of the RMP: incentive programme for the commercial/industrial refrigeration end-user sector	COM	Dec-06	198,000	1,419	-		
GHA/SEV/38/INS/19	Renewal of institutional strengthening (phase 5) in Ghana	COM	Dec-04	139,100	860	-		
GHA/SEV/43/INS/20	Extension of the institutional strengthening project (phase VI)	COM	Dec-06	139,100	272	-		
GHA/SOL/44/TAS/22	Technical assistance for the CTC sector	COM	Dec-06	20,000	284	-		
GLO/REF/46/PRP/266	Project preparation in the chiller sector	COM	Dec-05	122,000	133	-		
HON/REF/41/PRP/13	Preparation of the RMP update proposal	COM	Dec-05	15,000	2,000	-		
IDS/ARS/44/INV/167	National strategy for phasing out the use of CFC in the aerosol: conversion from CFC to hydrocarbon aerosol propellants at P.T Yulia	COM	Jun-06	224,000	42,113	-		
IDS/REF/41/INV/157	Sector phase-out plan for elimination of CFCs in the refrigeration (servicing) sector (second tranche)	COM	Dec-06	1,805,987	434,867	-		
IDS/SEV/41/INS/159	Extension of institutional strengthening project	COM	Dec-06	271,245	703	-		
IND/ARS/29/TAS/244	Aerosol technical assistance project in India	COM	Sep-04	155,000	16,537	-		
IND/FOA/44/INV/384	Sectoral phase-out plan for elimination of CFCs in the foam sector (third tranche)	COM	Dec-06	1,500,000	1,345,998	-		
IND/REF/41/INV/364	Plan for phase-out of CFCs in the refrigeration manufacturing sector (second tranche)	COM	Dec-06	476,536	380,435	-		
IND/REF/44/INV/383	Plan for phase-out of CFCs in the refrigeration manufacturing sector (third tranche)	COM	Dec-06	250,000	250,000	-		
IND/SEV/34/INS/310	Renewal of institutional strengthening project in India(phase IV)	COM	Mar-04	287,100	1,243	-		
IND/SEV/41/INS/367	Extension of institutional strengthening project: phase 5	COM	Jul-06	373,230	3,066	-		
IRA/REF/35/INV/133	Conversion from CFC-11 to HCFC-141b technology and from CFC-12 to HFC-134a technology in the manufacture of domestic and commercial refrigeration equipment at Ghotb Jonoub Industrial Group	COM	Apr-06	170,125	4,022	-		
JAM/SOL/42/TAS/20	Technical assistance umbrella project to phase out ODS solvent use	COM	Dec-06	25,000	3,066	-		
LEB/SEV/37/INS/50	Renewal of institutional strengthening project (Phase 3) in Lebanon	COM	Dec-04	155,090	979	-		
LIB/FOA/32/INV/05	Sebha Unit foam project in Libya	CLO		128,097	107,248	-		
LIB/FOA/32/INV/07	Phasing out of CFC-11 in the manufacture of rigid polyurethane foam at Electrical Household Appliance-Tajura by conversion to a combination of water and HCFC-141b based systems	COM	Dec-05	119,802	8,846	-		
LIB/FOA/35/INV/15	Phase out of CFC-11 by conversion to liquid carbon dioxide (LCD) in the manufacture of flexible polyurethane foam at El Houria Unit Plant	COM	Aug-06	520,405	61,298	-		
MAL/ARS/19/INV/85	Umbrella project to phase out ODS at SMEs in the aerosol sector	COM	Dec-05	1,486,660	188,732	-		
MAL/FUM/29/DEM/129	Alternatives to the use of methyl bromide on Malaysian timbers	COM	Jul-06	230,000	13,367	-		
MAL/SEV/32/INS/142	Extension of the institutional strengthening project in Malaysia (Phase IV)	COM	Mar-05	215,000	10,994	-		
MAL/SEV/38/INS/148	Extension of institutional strengthening project	COM	Dec-05	279,500	17,133	-		
MLW/FUM/43/INV/21	Phase-out of all non-essential and non-QPS methyl bromide (fourth tranche)	COM	Dec-06	849,824	43,434	-		
NIC/REF/41/PRP/10	Preparation of the RMP update proposal (investment component)	COM	Dec-05	15,000	36	-		
NIR/SEV/34/INS/93	Renewal of institutional strengthening project in Nigeria (phase II)	COM	Aug-03	200,000	851	-		
NIR/SEV/40/INS/107	Extension of institutional strengthening project	COM	Dec-06	260,000	2,760	-		



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SIL/HAL/45/PRP/10	Project preparation for a halon sectoral phase-out plan	COM	Dec-06	16,870	12,853	-		
SRL/SEV/37/INS/20	Renewal of institutional strengthening project (Phase 4) in Sri Lanka	COM	Aug-04	134,056	2,678	-		
SRL/SEV/43/INS/22	Extension of the institutional strengthening project (phase V)	COM	Dec-06	134,056	14	-		
TRI/SEV/32/INS/13	Institutional strengthening project in Trinidad and Tobago	COM	Jan-03	44,000	4,757	-		
TRI/SEV/38/INS/15	Extension of institutional strengthening project (phase 3)	COM	Dec-06	57,200	4,069	-		
<b>TOTAL</b>					<b>3,141,901</b>			

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AFG/SEV/43/CPG/02	Preparation of the country programme/RMP project	COM	Oct-05	60,000	37,083	10,994	-		
AFR/FUM/34/TRA/28	Fumigant training project in Africa	COM	Mar-04	75,390	51,634	23,756	-		
ALB/PHA/39/TRA/07	National ODS phase-out plan: training of trainers in good practices of refrigeration	COM	Jul-06	82,425	29,018	46,602	-		
ANT/SEV/38/INS/07	Renewal of institutional strengthening project	COM	Jun-05	31,200	26,663	4,524	-		
BDI/SEV/44/INS/18	Extension of institutional strengthening project (phase III)	COM	Dec-06	60,000	20,000	39,468	-		
BGD/REF/29/TRA/11	Implementation of the RMP in Bangladesh: training of trainers in good refrigerant management practices and implementation of a national technicians training programme	COM	Dec-04	87,053	54,856	32,197	-		
BHA/REF/23/TRA/04	Implementation of the RMP: training in monitoring and controlling of ODSs	COM	Sep-05	22,333	14,000	8,333	-		
BHA/SEV/42/INS/10	Extension of institutional strengthening project (phase II)	COM	Jul-06	21,667	16,968	4,699	-		
BKF/PHA/47/PRP/18	Project preparation request for TPMP	COM	Sep-06	20,000	5,000	15,000	-		
BKF/SEV/44/INS/17	Extension of institutional strengthening project (phase VI)	COM	Dec-06	72,410	58,110	14,300	-		
BRU/REF/30/PRP/03	Development of RMP	COM	Nov-04	25,000	14,500	10,500	-		
BRU/SEV/26/INS/02	Institutional strengthening for the phase-out of ODSs under the Montreal Protocol	COM	Jul-04	80,000	72,206	7,794	-		
CHD/SEV/44/INS/11	Extension of institutional strengthening project (phase II)	COM	Dec-06	60,000	36,024	23,970	-		
COI/REF/35/TAS/05	Implementation of the RMP: monitoring of RMP implementation and its impact in the service and end-users sectors	COM	Jul-06	18,000	16,000	2,000	-		
CPR/FUM/35/TAS/381	Awareness raising workshop to support ratification of the Copenhagen Amendment in China	COM	Dec-04	100,000	46,182	24,000	-		
CPR/FUM/35/TAS/382	Techno-economic evaluation of methyl bromide alternatives in China	COM	Dec-04	70,907	41,089	29,818	-		
CRO/SEV/41/INS/21	Extension of institutional strengthening project	COM	Dec-06	87,707	54,170	20,553	-		
DJI/REF/37/TRA/04	Implementation of the RMP: customs training	COM	Dec-06	33,100	21,126	11,974	-		
DJI/REF/37/TRA/05	Implementation of the RMP: training in good practices of refrigeration	COM	Dec-06	74,200	26,984	47,162	-		
DJI/REF/37/TRA/08	Implementation of the RMP in Djibouti: assistance to establish legislation	COM	Apr-04	9,000	-	9,000	-		
DMI/REF/26/TRA/02	Implementation of the RMP: custom training	COM	Apr-06	15,000	8,000	7,000	-		
DMI/REF/26/TRA/03	Implementation of the RMP: training of trainers in good refrigerant management practices	COM	Jun-06	20,000	17,000	3,000	-		
DMI/REF/44/PRP/07	Preparation of refrigerant management plan	COM	Aug-06	20,000	12,206	7,794	-		
DOM/SEV/37/TAS/31	Policy and technical assistance	COM	Jun-06	10,000	7,500	2,500	-		
DRC/REF/32/TAS/03	Assistance in developing the RMP project proposal	COM	Dec-03	55,195	35,195	20,000	-		

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DRC/REF/41/TAS/17	Implementation of the RMP: monitoring the activities in the RMP	COM	Dec-06	40,000	20,045	19,955	-		
DRC/REF/41/TRA/15	Implementation of the RMP: training programme for technicians in the refrigeration sector	COM	Dec-06	115,000	31,235	83,751	-		
DRC/REF/41/TRA/18	Implementation of the RMP: customs training programme	COM	Dec-06	109,000	33,594	75,392	-		
DRK/REF/40/TAS/25	Monitoring the activities in the refrigeration servicing subsector in DPR Korea	COM	Dec-04	10,000	5,000	5,000	-		
DRK/REF/40/TRA/27	Training of refrigeration service technicians in good servicing practices in DPR Korea	COM	Dec-04	70,000	64,762	3,067	-		
DRK/SEV/41/INS/31	Implementation of the RMP in Fiji: training of custom officers, ODS inspectorate and NOU staff	COM	Dec-05	123,552	94,105	29,447	-		
ERI/SEV/47/PRP/03	Country programme/RMP project preparation	COM	Sep-06	40,000	38,000	2,000	-		
ETH/SEV/39/INS/11	Development of a total ODS phase out plan	COM	Dec-06	26,216	18,246	7,970	-		
FIJ/REF/29/TRA/07	Implementation of the RMP in Fiji: training of custom officers, ODS inspectorate and NOU staff	COM	Jul-04	42,500	24,500	18,000	-		
FIJ/SEV/29/INS/05	Renewal of institutional strengthening in Fiji (Phase II)	COM	May-02	43,930	36,087	7,843	-		
FIJ/SEV/37/INS/08	Renewal of institutional strengthening project in Fiji (Phase III)	COM	Aug-04	57,000	-	57,000	-		
FSM/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	34,000	20,400	13,600	-		
GAB/REF/41/TRA/12	Implementation of the RMP: customs training programme ( phase II)	COM	Dec-06	50,000	48,015	1,985	-		
GAB/SEV/44/INS/16	Extension of institutional strengthening project (phase IV)	COM	Dec-06	60,000	48,016	11,984	-		
GBS/SEV/39/INS/01	Establishment of ozone unit in Guinea-Bissau	COM	Jul-04	30,000	-	30,000	-		
GBS/SEV/39/PRP/03	Formulation of the country programme/RMP in Guinea-Bissau	COM	Jul-04	60,000	27,173	32,827	-		
GEO/REF/35/TRA/12	Implementation of the RMP: training programme in the refrigeration sector (phase III)	COM	Nov-05	20,000	17,588	2,412	-		
GEO/SEV/39/INS/14	Extension of institutional strengthening project in Georgia (phase 3)	COM	Dec-04	60,667	55,143	5,524	-		
GLO/SEV/29/TAS/198	Complement for translation and printing of four guidelines and training modules into Arabic, Chinese, French and Spanish	COM	Apr-05	120,000	98,000	22,000	-		
GLO/SEV/34/TAS/230	Study on development of ODS phase-out strategy for SMEs	ONG		100,000	30,000	60,000	-		
GLO/SEV/42/TAS/255	Assistance for regional awareness raising	COM	Apr-06	200,000	109,569	35,112	-		
GLO/SEV/44/TAS/260	Compliance Assistance Programme: 2005 budget	COM	Dec-05	7,045,619	6,843,638	82,990	-		
GLO/SEV/47/TAS/270	Compliance Assistance Programme: 2006 budget	COM	Dec-06	7,770,000	7,087,326	682,674	-		
GRN/REF/46/PRP/08	Preparation of refrigerant management plan update	COM	Sep-06	15,000	5,698	9,302	-		
GUI/REF/45/TRA/12	Implementation of the RMP: customs officers training in monitoring and control of ODS (phase II)	COM	Dec-06	25,000	13,006	11,994	-		

## Annex I

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GUI/REF/45/TRA/13	Implementation of the RMP: training programme for refrigeration technicians (phase II)	COM	Dec-06	25,000	20,000	5,000	-		
HAI/SEV/43/INS/08	Renewal of the institutional strengthening project (phase I, years 2 and 3)	COM	Sep-06	100,000	93,929	6,071	-		
IND/REF/44/TAS/382	National CFC consumption phase-out plan focussing on the refrigeration service sector: 2005 work programme	COM	Apr-06	256,300	100,820	152,380	-		
KUW/SEV/37/INS/02	Institutional strengthening and capacity building	COM	Jan-06	121,520	88,131	33,389	-		
KYR/REF/37/TRA/03	Implementation of the RMP: training for customs excise and personnel involved in the monitoring of ODS/equipment	COM	Jun-05	74,910	66,244	8,666	-		
KYR/REF/37/TRA/06	Implementation of the RMP: training of refrigeration technicians	COM	Aug-05	97,900	24,008	73,892	-		
KYR/SEV/37/INS/05	Establishment of the Ozone Unit in Kyrgyzstan	ONG		133,650	129,002	4,648	-		
LAO/REF/34/TAS/07	Implementation of the RMP: monitoring the activities contained in the RMP	COM	Dec-06	17,500	5,000	12,458	-		
LIR/REF/41/TRA/07	Implementation of the RMP: customs training programme	COM	Jun-06	40,040	37,651	2,389	-		
LIR/SEV/27/CPG/01	Country programme preparation in Liberia	COM	Sep-03	50,000	33,000	17,000	-		
MAG/SEV/43/INS/06	Renewal of the institutional strengthening project (phase II)	COM	Dec-06	60,700	47,999	12,701	-		
MAU/REF/33/PRP/06	Development of an RMP in Mauritius	COM	Dec-03	60,000	21,905	38,095	-		
MDV/REF/38/TAS/06	Implementation of the RMP: monitoring and review	COM	Aug-05	15,000	1,036	13,964	-		
MDV/REF/38/TRA/07	Implementation of the RMP: train the trainer programme for refrigeration service technicians	COM	Jul-05	35,000	33,000	2,000	-		
MDV/REF/38/TRA/08	Implementation of the RMP: training of customs officials and the Ozone Unit staff	COM	Nov-05	35,000	27,973	7,027	-		
MLI/REF/43/PRP/13	Preparation of the RMP update	COM	Nov-05	10,000	-	10,000	-		
MLI/SEV/44/INS/15	Extension of institutional strengthening project (phase III)	COM	Dec-06	60,677	24,000	36,677	-		
MOL/REF/25/TRA/04	Implementation of the RMP in Moldova: training on monitoring and control of CFC and CFC using equipment	COM	Dec-04	66,550	38,000	21,201	-		
MYA/REF/29/PRP/03	Preparation of a refrigerant management plan in Myanmar	COM	Apr-05	30,000	5,134	24,866	-		
NER/REF/42/TRA/14	Implementation of the RMP update: train the trainer programme for refrigeration service technicians	COM	Dec-06	55,747	11,221	1,501	-		
NIU/SEV/44/INS/01	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	15,000	7,100	7,900	-		
OMA/SEV/37/TAS/07	Policy and technical assistance	COM	Feb-06	10,000	5,000	476	-		
PER/REF/37/PRP/32	Preparation of the refrigerant management plan update proposal in Peru	COM	Jul-04	15,000	8,375	3,625	-		
PER/SEV/29/INS/27	Renewal of institutional strengthening in Peru (Phase II)	COM	Jun-02	102,700	57,990	44,710	-		
PNG/SEV/19/INS/02	Creation of an Ozone Unit in Papua New Guinea	COM	Dec-03	45,800	21,085	24,715	-		
RWA/REF/41/TRA/06	Implementation of the RMP: train the trainer programme for refrigeration service technicians	COM	Dec-06	50,000	48,838	1,162	-		

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RWA/REF/41/TRA/07	Implementation of the RMP: training programme for customs officers	COM	Dec-06	50,000	11,482	38,518	-		
SAM/SEV/39/INS/06	Extension of institutional strengthening project (phase 2)	COM	Jan-06	26,000	17,971	8,029	-		
SIL/SEV/35/TAS/01	Formulation of a national phase-out strategy in Sierra Leone	COM	Dec-03	40,000	15,000	25,000	-		
SOI/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	20,250	12,150	8,100	-		
SRL/REF/32/TRA/16	Implementation of the RMP: customs training programme	COM	Apr-05	87,000	45,367	41,633	-		
SRL/REF/32/TRA/17	Refrigeration training project in Sri Lanka	COM	Sep-04	196,997	140,997	39,499	-		
STK/REF/44/PRP/07	Preparation of a refrigerant management plan	COM	Mar-06	15,000	10,478	960	-		
STP/SEV/44/INS/05	Establishment of the Ozone Unit (institutional strengthening)	COM	Jun-06	40,000	30,000	9,992	-		
STV/REF/44/PRP/08	Preparation of refrigerant management plan update	COM	Nov-05	20,000	13,020	6,980	-		
SUD/SEV/34/INS/13	Renewal of institutional strengthening project in Sudan	COM	Dec-04	112,200	43,696	68,504	-		
TOG/REF/38/TRA/09	Implementation of the RMP: training of customs officers	COM	Dec-06	43,500	20,501	22,999	-		
TON/SEV/36/INS/02	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	19,700	11,820	7,880	-		
TTR/SEV/36/INS/03	Establishment of a National Compliance Centre (institutional strengthening)	COM	Jun-06	34,000	20,400	1,200	-		
URT/SEV/44/INS/17	Extension of institutional strengthening project (phase II)	COM	Dec-06	57,200	23,000	34,200	-		
VEN/REF/34/TRA/92	Training of customs officers	COM	Dec-05	120,000	107,836	6,000	-		
VEN/SEV/34/TAS/90	Review of ODS license system and Decree 3220 related to control of these substances	COM	Dec-05	80,000	35,500	44,500	-		
YEM/REF/37/TAS/16	Implementation of the RMP: establishment of regulations and legislation	COM	Dec-06	25,000	20,000	5,000	-		
ZAM/SEV/30/INS/11	Renewal of institutional strengthening in Zambia	COM	Dec-04	50,400	7,777	42,623	-		
ZIM/SEV/43/INS/27	Renewal of the institutional strengthening project (phase IV)	COM	Nov-06	148,242	87,795	60,447	-		
<b>TOTAL</b>						<b>2,777,344</b>	-		

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BHE/FOA/39/INV/15	Phase-out of CFC-11 by conversion to n-pentane in the manufacture of rigid foam products for insulating purposes at Stirokart Co.	COM	Dec-06	364,650	316,177	13,957	16	3	56
BHE/FUM/41/INV/17	Phase-out of methyl bromide in tobacco seedling vegetables and flower production sector	COM	Dec-06	229,000	221,042	1,761	1,576	MY	n/a
BHE/PHA/41/INV/16	National ODS phase-out plan: first tranche	COM	Dec-06	265,300	261,922	1,947	52	MY	n/a
CPR/FOA/34/INV/376	Phase-out of CFC-12 in the manufacture of extruded polystyrene foams to butane at 9 enterprises (umbrella)	COM	Dec-04	2,808,338	2,435,750	136,279	16,309	4	56
CPR/FOA/36/INV/387	Terminal umbrella project for the elimination of CFC-12 in manufacturing of EPE foam packaging nets at 30 enterprises	COM	Dec-04	4,325,709	3,451,764	218,486	10,034	4	56
CRO/PHA/39/INV/20	Terminal phase-out management plan for CFCs in Croatia (first tranche)	COM	Apr-05	184,000	174,140	9,468	392	MY	n/a
CRO/PHA/42/TAS/22	Terminal phase-out management plan (second tranche)	COM	Dec-05	48,300	31,458	8,000	1,342	MY	n/a
DRK/REF/40/INV/26	Sectoral phase-out in domestic refrigeration sector at 5th October Electronic and Automation Company in China (first tranche)	COM	Dec-04	384,000	343,616	20,384	0	MY	n/a
EGY/ARS/45/PRP/90	Preparation of a MDI phase-out plan (CFC)	COM	Dec-06	50,000	37,639	1,323	6,369	4	56
GEO/FUM/37/TAS/13	Technical assistance for the phase-out of methyl bromide for soil fumigation	COM	Dec-06	220,000	185,841	930	27	3	55
IND/PAG/34/INV/314	Conversion of carbon tetrachloride as process agent to monochlorobenzene at FDC Limited, Roha	COM	Dec-04	238,371	234,766	3,414	191	4	56
IRA/ARS/52/INV/183	Phase-out of CFC consumption in the manufacture of aerosol MDIs	ONG		3,529,508	0	1,354,241	1,942,451	4	56
IRA/FOA/37/INV/155	Conversion from CFC-11 to n-pentane in the production of rigid foam panels at Yakhchavan Co.	COM	Dec-05	531,838	513,818	16,167	584	3	56
IRA/FUM/29/INV/57	Phasing out of the important non critical, non essential use of methyl bromide for post-harvest treatment	COM	Dec-06	260,698	224,036	6,782	14,394	3	55
IRA/PHA/45/INV/170	National CFC phase-out plan: 2005 annual implementation programme	COM	Dec-06	742,449	565,751	2,103	150	MY	n/a
KAM/FUM/46/TAS/10	Training and awareness workshop in the fumigants sector (methyl bromide)	COM	Jul-06	30,000	27,173	1,712	718	3	55
KUW/REF/37/TAS/05	Implementation of the RMP: national recovery and recycling	COM	Dec-06	448,816	381,082	9,189	1	4	56
LIB/PHA/41/INV/22	National ODS phase-out plan: first tranche	COM	Aug-05	1,500,000	1,359,109	1,220	21	MY	n/a
LIB/REF/32/INV/03	Phasing out ODS in the production of refrigerators and freezers at Electrical Household Appliances Manufacturing	COM	Mar-04	558,113	365,013	191,573	1,527	3	55
MAG/FUM/45/TAS/09	Training and awareness workshop in the fumigants sector (methyl bromide)	COM	Dec-06	40,000	31,919	1,383	816	3	56

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MDN/ARS/32/INV/17	Phase-out of CFC-11 and CFC-12 in the manufacture of aerosols by conversion to HFC and hydrocarbon propellants at Alkaloid A.D.	ONG		110,000	99,467	0	10,533	4	56
MEX/FUM/42/TAS/121	Technical assistance to comply with the 2005 phase-out of 20% of methyl bromide	COM	Dec-06	207,035	187,742	0	2,361	4	56
MEX/PRO/45/INV/126	Sector plan for phasing out CFC-11 and CFC-12 production sector (third tranche)	COM	Dec-06	4,000,000	3,933,808	4,698	558	MY	n/a
MOL/FUM/45/TAS/13	Training and awareness workshop in the fumigants sector (methyl bromide)	COM	Dec-06	30,000	5,824	1,588	1,758	3	56
MOR/FUM/34/INV/44	Phase-out of methyl bromide for soil fumigation in tomato production (first tranche)	COM	Sep-05	400,000	384,279	4,633	2,439	MY	n/a
NIR/PHA/38/INV/105	National CFC phase-out plan: refrigeration manufacturing sub-sector (first tranche)	COM	Mar-06	682,386	628,661	22,197	102	MY	n/a
NIR/SOL/46/INV/113	Terminal ODS phase-out umbrella project in the solvent sector (2nd tranche)	COM	Jul-06	317,000	261,552	1,649	2,335	MY	n/a
OMA/SOL/45/TAS/11	Training and awareness workshop in the solvent sector (CTC)	COM	Dec-06	35,000	17,300	1,389	0	3	55
PAK/FUM/45/TAS/63	Training and awareness workshop in the fumigants sector (methyl bromide)	COM	Dec-06	30,000	25,860	3,118	4,031	3	56
PAK/REF/41/TAS/56	Implementation of the RMP (institutional framework, customs empowerment, training service technicians, recovery and recycling) in Pakistan (first tranche)	COM	Dec-04	191,000	155,108	20,219	0	MY	n/a
QAT/SEV/41/INS/06	Extension of institutional strengthening project: phase 2	COM	Sep-06	44,500	42,125	1,105	110	3	56
ROM/PRO/47/INV/32	Sector plan for production sector (first tranche)	COM	Dec-06	3,200,000	2,767,699	20	91,322	MY	n/a
SUD/PHA/44/INV/18	National CFC/CTC phase-out plan (first tranche)	COM	Dec-06	499,480	266,459	44,163	9,553	MY	n/a
SYR/FUM/34/INV/80	Phase-out of the use of methyl bromide in grain storage (first tranche)	COM	Dec-06	300,000	230,661	0	16,310	MY	n/a
SYR/PHA/45/PRP/92	Preparation of CFC-phase-out plan including methyl bromide	COM	Dec-06	70,000	66,097	1,011	889	3	55
SYR/SEV/33/INS/75	Renewal of institutional strengthening (Phase II)	COM	Dec-06	195,000	187,559	3,069	48	3	56
VEN/PHA/42/INV/98	National CFC phase-out plan: 2004 work programme	COM	Sep-06	1,895,062	1,738,350	1,119	28	MY	n/a
YUG/FOA/35/INV/14	Phase-out of CFC-11 by conversion to n-pentane technology in the production of continuous rigid polyurethane foam insulating panels at Prva Iskra-Fim, Co.	COM	Dec-06	475,728	376,735	95,239	3,006	3	56
ZAM/SOL/45/TAS/16	Training and awareness workshop in the solvents and process agents (CTC and TCA) sectors	COM	Dec-06	40,000	17,372	9,314	2,004	3	55
ZIM/FUM/31/INV/21	Phase-out of methyl bromide in cut flowers	COM	Dec-06	904,200	871,279	12,616	4,373	3	56
<b>TOTAL</b>						<b>2,227,466</b>	<b>2,148,730</b>		

: 2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues

MY = Multiyear

**WORLD BANK**

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2006 (US\$)	Obligated Balance as per 54th Meeting (US\$)	Unobligated Balance as per 54th Meeting (US\$)	Why any unobligated balances could not be returned/disbursed?	When they could be returned/disbursed?
ARG/PRO/38/INV/133	CFC production closure plan (first tranche)	COM	Dec-03	500,000	315,890	0		
CPR/FOA/44/INV/424	Sector plan for phase-out of CFC-11 in the China foam sector: 2005 annual programme	COM	Dec-05	10,903,000	2,097,400	0		The balance is expected to be disbursed in March 2008.
ECU/PHA/41/INV/32	National CFC phase-out plan: first tranche	COM	Apr-05	777,326	31,612	0		
MAL/PHA/37/INV/147	National CFC phase-out plan: 2002 annual plan (release of second tranche)	COM	Aug-03	2,969,065	178,101	0		
MAL/PHA/40/INV/149	National CFC phase-out plan: 2003 annual work programme	COM	Dec-04	2,013,100	143,715	0		
MAL/PHA/44/INV/154	National CFC phase-out plan: 2004 annual programme	COM	Dec-05	1,688,300	281,850	0		
PHI/PHA/38/INV/69	National CFC phase out plan: manufacturing sector (first tranche)	COM	Dec-03	2,858,473	715,780	0		
PHI/PHA/41/INV/71	National CFC phase-out plan (manufacturing sector): 2004 annual programme	COM	Dec-04	1,877,418	271,415	0		
PHI/PHA/44/INV/75	National CFC phase-out plan: 2005 annual programme	COM	Dec-05	2,160,071	120,269	0		
THA/PHA/37/INV/138	National CFC phase-out plan: release of second tranche for implementation of the 2002 annual plan	COM	Dec-03	5,194,380	583,071	0		
THA/PHA/41/INV/140	National CFC phase-out plan: 2003 annual programme	COM	Mar-04	4,011,846	2,203,284	0		
THA/PHA/42/INV/141	National CFC phase-out plan: 2004 annual programme	COM	Apr-05	1,315,400	477,085	0		
TUR/PHA/38/INV/79	Total phase out of CFCs plan: 2003 annual programme	COM	Dec-03	2,500,000	681,909	0		
TUR/PHA/41/INV/81	Total phase-out of CFCs plan: 2004 annual programme	COM	Dec-04	1,000,000	359,083	0		
TUR/PHA/44/INV/85	Total phase-out of CFCs plan: 2005 annual programme	COM	Dec-05	750,000	470,713	0		
VEN/PRO/46/INV/106	National CFC production closure plan (second tranche)	COM	Apr-06	8,100,000	100,000	0		
<b>TOTAL</b>				<b>48,618,379</b>	<b>9,031,176</b>			

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