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EXECUTIVE COMMITTEE OF  
THE MULTILATERAL FUND FOR THE  
IMPLEMENTATION OF THE MONTREAL PROTOCOL  
Sixty-first Meeting  
Montreal, 5-9 July 2010

**REPORT ON BALANCES AND AVAILABILITY OF RESOURCES**

## Introduction

1. This document presents a summary of the financial adjustments indicated by the bilateral and implementing agencies as agreed by the Fund Secretariat. The document includes statistical data, as required by decisions 28/7 and 56/2, from projects for bilateral and implementing agencies with balances that have been held for over the allowable 12-month period following completion of the project. The data presented are based on the reporting format that has been developed pursuant to decision 31/2 and include both obligated and un-obligated balances. Further to decision 41/92(b), this document also indicates the level of resources available to the Executive Committee in cash and promissory notes as recorded in the Status of Contributions and disbursements (UNEP/OzL.Pro/ExCom/61/3). The total funds to be returned addressed in this report amount to US \$404,751 including support costs.

## Funds being returned to the 61<sup>st</sup> Meeting by Implementing Agencies

2. Information provided by the implementing agencies indicates that a total of US \$156,455 excluding agency support costs will be returned to the 61<sup>st</sup> Meeting, as set out in Table 1.

Table 1

### FUNDS TO BE RETURNED BY THE IMPLEMENTING AGENCIES TO THE 61<sup>st</sup> MEETING (US \$)

| Agency       | Funds from completed projects | Funds from Transferred projects | Funds from closed projects | Total funds to be returned to the 61 <sup>th</sup> Meeting |
|--------------|-------------------------------|---------------------------------|----------------------------|--|
| UNDP         | 45,006                        | 7,321                           | 0                          | 52,327   |
| UNEP         | 3,520                         | 0                               | 0                          | 3,520  |
| UNIDO        | 26,351                        | 0                               | 0                          | 26,351   |
| World Bank   | 49,257                        | 0                               | 25,000                     | 74,257   |
| <b>TOTAL</b> | <b>124,134</b>                | <b>7,321</b>                    | <b>25,000</b>              | <b>156,455</b>   |

3. UNDP's return includes the transfers of US \$6,536 in project costs for the financially completed 1<sup>st</sup> tranche of the methyl bromide project in Kenya (KEN/FUM/38/INV/31) and US \$786 financially completed institutional strengthening (IS) project in Kenya (KEN/SEV/44/INS/39) to UNIDO and UNEP respectively. The return of US \$3,520 from UNEP corresponds to the 2009 CAP un-obligated balances that should be returned by the second meeting of the year as per decision 35/36(d).

## Request for project transfer

4. Through a letter from the World Bank, the Fund Secretariat was advised that the Government of Sri Lanka would like to transfer to UNDP US \$60,000 plus agency support cost of US \$4,500 corresponding to the project for the preparation of HCFC phase out management plan (HPMP) (refrigeration and air conditioning sectors) (SRL/PHA/57/PRP/36). This project was approved by the Executive Committee at the 57<sup>th</sup> Meeting by decision 57/16. The transfer of this project from the World Bank to UNDP would optimise transaction and management costs and minimise overlaps between Implementing Agencies (IAs). Both the Government of Sri Lanka and UNDP have agreed to this arrangement. This request is not included in Table 1 and is presented to the Executive Committee for its consideration and decision.

### Projects with balances by year of completion

5. Table 2 presents the number of projects with balances that are still being held by the IAs and the years in which those projects were completed. It shows that for projects closed and completed up to and including 31 May 2009 (at least over the last 12 months) IAs had balances that totalled US \$6,703,337 excluding support costs.

Table 2

### BALANCES HELD BY YEAR OF PROJECT COMPLETION

| Year completed                             | Number of projects and amount of balances reported by agency |                  |           |                  |           |                  |            |                  |            |                  |
|--|--|------------------|-----------|------------------|-----------|------------------|------------|------------------|------------|------------------|
|  | UNDP   |                  | UNEP      |                  | UNIDO     |                  | World Bank |                  | TOTAL      |                  |
|  | Numbers  | (US \$)          | Numbers   | (US \$)          | Numbers   | (US \$)          | Numbers    | (US \$)          | Numbers    | (US \$)          |
| 2002                                       | 0  | 0                | 1         | 44,710           | 0         | 0                | 0          | 0                | 1          | 44,710           |
| 2003                                       | 1  | 3,631            | 3         | 83,081           | 0         | 0                | 1          | 523,888          | 5          | 610,600          |
| 2004                                       | 2  | 17,021           | 5         | 78,102           | 0         | 0                | 2          | 1,191,069        | 9          | 1,286,192        |
| 2005                                       | 4  | 191,916          | 8         | 170,204          | 1         | 9,313            | 1          | 337,544          | 14         | 708,977          |
| 2006                                       | 14   | 181,330          | 10        | 130,912          | 3         | 72,734           | 0          | 0                | 27         | 384,976          |
| 2007                                       | 36   | 284,104          | 13        | 198,871          | 8         | 246,462          | 0          | 0                | 57         | 729,437          |
| 1 January 2008 to 31 May 2008              | 0  | 0                | 8         | 161,541          | 2         | 4,060            | 0          | 0                | 10         | 165,601          |
| <b>Projects completed over 2 years ago</b> | <b>57</b>  | <b>678,003</b>   | <b>48</b> | <b>867,421</b>   | <b>14</b> | <b>332,569</b>   | <b>4</b>   | <b>2,052,501</b> | <b>123</b> | <b>3,930,493</b> |
| 1 June 2008 to 31 May 2009                 | 20   | 392,281          | 32        | 631,739          | 19        | 856,901          | 0          | 0                | 71         | 1,880,921        |
| <b>Sub-total</b>                           | <b>77</b>  | <b>1,070,283</b> | <b>80</b> | <b>1,499,160</b> | <b>33</b> | <b>1,189,470</b> | <b>4</b>   | <b>2,052,501</b> | <b>194</b> | <b>5,811,414</b> |
| Closed                                     | 1  | 81,519           | 0         | 0                | 0         | 0                | 0          | 0                | 1          | 81,519           |
| CAP  |  |                  | 1         | 810,404          |           |                  |            |                  | 1          | 810,404          |
| <b>TOTAL</b>                               | <b>78</b>  | <b>1,151,802</b> | <b>81</b> | <b>2,309,564</b> | <b>33</b> | <b>1,189,470</b> | <b>4</b>   | <b>2,052,501</b> | <b>196</b> | <b>6,703,337</b> |

6. At its 47<sup>th</sup> Meeting, the Executive Committee requested the Fund Secretariat to continue to monitor multi-year annual tranches in the context of documents on implementation delays and project balances (decision 47/50(b)(ii)).

7. UNEP holds the highest level of balances with US \$2,309,564 of which US \$114,037 is attributable to the multi-year agreement (MYA) and US \$810,404 to CAP projects. It has the highest number of projects with balances (81) followed by UNDP (78), UNIDO (33) and the World Bank (4). The World Bank reported a balance of US \$2,052,501 of which the whole amount is attributable to (MYA) annual tranches. UNDP holds a total balance of US \$1,151,802 of which US \$267,807 is attributable to MYAs, and UNIDO holds a total balance of US \$1,189,470, of which US \$1,074,724 is attributable to MYAs.

9. All agencies have projects that were completed over two years ago and have remaining balances. Almost half of the funds (US \$2,052,501 out of US \$3,930,493) are attributed to the World Bank's four reported MYA projects that were completed over two years ago. UNDP has the largest number of projects (57) that were completed over two years ago, with balances totalling US \$678,003 followed by UNEP with 48 projects totalling US \$867,421.

10. In its explanation of why balances of US \$678,003 are still obligated for projects completed over two years ago, UNDP explained that its financial procedures require that a final budget revision is issued

to mark the formal closure of a project between UNDP and the executing agency in order to close the project in UNDP systems. In the meantime UNDP advised the Secretariat that it would continue with its efforts to expedite the signature of final budgets from the executing agencies in order to return these balances to the Fund.

11. UNEP's balance of projects completed over two years ago has decreased from US \$1.3 million to US \$0.9 million, and the number of projects completed over 2 years has also decreased from 74 to 48. Of the US \$867,421 balance against projects completed 2 years ago, US \$378,882 relates to IS and MYA projects. Of this amount UNEP has an un-obligated balance of US \$41,166, of which US \$30,102 relates to the IS project in Peru (PER/SEV/29/INS/27). UNEP explained that the Government of Peru moved the responsibility of the implementation of the Montreal Protocol from the Ministry of Industry to the Ministry of Environment which resulted in new administrative procedures. UNEP advised the Secretariat of its intention to obligate this balance pending finalisation of the transfer of the Montreal Protocol activities to the relevant ministry. The remaining amount of US \$11,064 relates to small balances on 4 projects for which the projects are currently undergoing financial closure.

12. The balance held by UNIDO for projects completed over two years ago has decreased since the 60<sup>th</sup> Meeting of the Executive Committee from US \$522,148 to US \$332,569. Out of the 14 projects, 11 are MYAs with US \$240,478 in obligated and US \$32,627 in unobligated balances. The remaining funds for the MYA projects are expected to be fully utilized before financial completion of these tranches. With respect to the 3 individual projects in Romania, Senegal and Serbia the total un-obligated balance amounts to US \$2,305. The Secretariat requested UNIDO to return funds for these three projects to the 61<sup>st</sup> Meeting since associated activities are completed and funds are not obligated or to provide additional justification. UNIDO explained that its financial department advised against the return of the balances on these three projects balances in the event that remaining disbursements would still be required.

13. The World Bank's overall number of projects with balances completed over two years ago has remained at the same level as reported at the 60<sup>th</sup> Meeting with four projects and a balance of US \$2,052,501.

#### **Obligated and un-obligated balances**

14. The level of balances classified as obligated and un-obligated for the four implementing agencies is as follows:

Table 3

#### **OBLIGATED AND UN-OBLIGATED BALANCES BY AGENCY FOR PROJECTS WITH BALANCES (US \$)**

| <b>Agency</b> | <b>Obligated balances</b> | <b>Un-obligated balances</b> | <b>Total</b>     |
|---------------|---------------------------|------------------------------|------------------|
| UNDP          | 1,151,802                 | 0                            | 1,151,802        |
| UNEP          | 2,264,545                 | 45,019                       | 2,309,564        |
| UNIDO         | 1,023,330                 | 166,140                      | 1,189,470        |
| World Bank    | 2,052,501                 | 0                            | 2,052,501        |
| <b>TOTAL</b>  | <b>6,492,178</b>          | <b>211,159</b>               | <b>6,703,337</b> |

15. Of the total obligated of US \$6,703,337 from completed and closed projects, US \$6,492,178 is obligated (which means that these funds are expected to be spent) and US \$211,159 has not been obligated. Implementing agencies are required to report reasons why any un-obligated balances could not be returned within the required time-frame, and to indicate when they will be returned it as per decision 31/2(a)(ii).

16. UNDP has no un-obligated balances. Its overall number of projects with balances has decreased from 91 projects reported to the 60<sup>th</sup> Meeting to 78 reported to the 61<sup>st</sup> Meeting. The overall level of balances has decreased from US \$3,417,393 to US \$1,151,802. UNDP obligated balance of US \$1,151,802 includes US \$267,807 for 9 MYAs. These balances are obligated in the light of UNDP financial procedures that require that a final internal budget revision be issued to mark the formal closure of a project between UNDP and the executing agency before the project is closed in UNDP systems. UNDP explained that the signature of a final budget revision discharges UNDP's legal arrangements with the country's executing agency. Before then, access to these funds would still be possible and UNDP would be hesitant to return the funds to Fund until the projects are financially completed.

17. UNEP has 81 completed projects, and holds US \$2,264,545 in obligated amounts and US \$45,019 in un-obligated balances. The UNEP CAP accounts for US \$810,403 of the total obligated amounts. Considering that the 2009 CAP budget amounts to US \$9,169,000 of which US \$5,675,000 is to cover 2009 staff costs, the obligation of US \$810,403 represents approximately 23 per cent of the non staff cost of CAP budget. At the request of the Secretariat, UNEP provided a breakdown of the US \$810,403 obligation and indicated that US \$274,922 of this amount is for ongoing contracts, that staff and meeting participants claims account for US \$103,393 and that other operational costs, (printing and communication) accounts for US \$67,892. UNEP advised the Secretariat that these obligations will be liquidated once the invoices are received and payment is done. UNEP had also clarified that the progress reports and annexes reflecting the latest payment are as at 31 December 2009. It therefore does not reflect any payment made from December 2009 to date, and, thus, more obligations may have been liquidated.

18. UNEP has reported a decrease in its overall number of projects with balances from 124 projects reported to the 60<sup>th</sup> Meeting to 81 reported to the 61<sup>st</sup> Meeting, and the overall level of balances has decreased from US \$3 million to US \$2.3 million.

19. At the 60<sup>th</sup> Meeting, UNEP had US \$1,928,034 un-obligated balances. At the 60<sup>th</sup> Meeting, the Secretariat pointed out to the fact that the large amount of un-obligated balances held for completed projects may give the impression of large unutilized cash at hand with UNEP. The Secretariat recommended that balances on cash advances be either returned to the Fund or obligated until completely liquidated particularly in the light of the recent UNEP/Nairobi policy to obligate cash advances. Subsequently by decision 60/3, the Executive Committee had decided to request UNEP to report by the 61<sup>st</sup> Meeting on the application of the financial rule recently introduced by UNEP Nairobi regarding obligated cash advances.

20. As a follow-up to decision 60/3, UNEP reported that Nairobi has changed its account code structures and therefore on exceptional basis, for ease of the recording of cash advances against old agreements, cash advances were obligated in the Financial System. UNEP reported that as of 2009, it obligated all Project Cooperation and Funding Agreements with Implementing Partners in full for the period they cover in line with the United Nations Accounting Standards. As a follow-up to decision 60/3 the application of the rule on obligating cash advances resulted in reducing UNEP un-obligated balances from US \$1,928,034 reported to the 60<sup>th</sup> Meeting to US \$45,019 as shown in Table 3 of this document. UNEP has therefore complied with the application of the rules regarding obligation cash advances.

21. UNIDO has 33 completed projects, and un-obligated balances of US \$166,140. The overall number of projects with balances has decreased from 41 projects reported to the 60<sup>th</sup> Meeting to 33 reported to the 61<sup>st</sup> Meeting, and the overall level of balances has decreased from US \$1.5 million to US \$1.2 million.

22. The World Bank has no un-obligated balances. The World Bank holds US \$2,052,501 in obligated balances for completed projects, all of which are for MYAs. The World Bank explained that these obligated balances are attributed to the annual programmes of MYAs for

Philippines (PHI/PHA/38/INV/69, PHI/PHA/41/INV/71) and Thailand (THA/PHA/41/INV/140 and THA/PHA/42/INV/141).

23. The World Bank recalled that the balance on the projects in the Philippines is made up of funds for the last foam manufacturing activities and savings in the foam and commercial refrigeration manufacturing sector. There are also balances yet to be disbursed as originally planned. The World Bank advised the Secretariat that the Philippines project management unit has identified areas to reallocate the savings and submitted a proposal to reallocate funds in the 2010 annual implementation programme as approved by decision 60/8(i). With respect to the projects in Thailand, the Government proposed to use some of the savings for MAC servicing and project management. The proposed reallocation of the savings has been put forward in the 2010-2012 annual implementation plan approved at 60<sup>th</sup> Meeting of the Executive Committee by decision 60/14. All four tranches are related to the earliest annual programmes (APs) of the two multi-year projects. The assumption is that when countries met respective performance targets according to the agreement with the Executive Committee, the next tranche/AP would be approved.

#### Agency support costs

24. At its 35<sup>th</sup> Meeting, the Executive Committee requested that implementing agencies to return all agency support costs associated with remaining funds from completed projects. If expenditures had been incurred from these costs, the IAs should provide an explanation and return the balance of funds (decision 35/13(k)). Table 4 indicates that a total of US \$221,184 in agency support costs will be returned at the 61<sup>st</sup> Meeting and includes transfers from UNDP to UNIDO on support costs related to two projects in Kenya (KEN/FUM/38/INV/31 (US \$847) and KEN/SEV/44/INS/39 (US \$59)).

Table 4

#### ADMINISTRATIVE SUPPORT COSTS TO BE RETURNED TO THE 61<sup>st</sup> MEETING (US \$)

| Agency       | Funds from completed projects | Funds from Transferred projects | Funds from closed projects | Total funds to be returned to the 61 <sup>st</sup> Meeting |
|--------------|-------------------------------|---------------------------------|----------------------------|--|
| UNDP         | 4,306                         | 906                             | 0                          | 5,212  |
| UNEP         | 282                           | 0                               | 0                          | 282  |
| UNIDO        | 2,549                         | 0                               | 0                          | 2,549  |
| World Bank   | 211,266                       | 0                               | 1,875                      | 213,141  |
| <b>TOTAL</b> | <b>218,403</b>                | <b>906</b>                      | <b>1,875</b>               | <b>221,184</b>   |

#### Funds being returned to the 61<sup>st</sup> Meeting from bilateral agencies

25. Table 5 provides the feedback received on returns of balances from projects completed from the Governments of Canada and Spain. It indicates that a total US \$27,112 comprising project costs and agency support costs will be returned to the 61<sup>st</sup> Meeting.

Table 5

**FUNDS TO BE RETURNED BY BILATERAL AGENCIES TO THE 61<sup>ST</sup> MEETING (US \$)**

| <b>Amount to be offset against Bilateral Agencies</b> |               |              |               |
|---|---------------|--------------|---------------|
| <b>Returns against approved projects</b>              |               |              |               |
|   | Project cost  | PSC          | Total         |
| Canada  | 31            | 0            | 31            |
| Spain   | 24,393        | 2,688        | 27,081        |
| <b>Total</b>  | <b>24,424</b> | <b>2,688</b> | <b>27,112</b> |

26. The Government of Canada returned US \$31 to the 61<sup>st</sup> Meeting for two completed projects in Chile (CHI/REF/35/TAS/147 and CHI/PHA/50/PRP/162).

27. The Government of Spain has advised the Secretariat of its intents to return US \$27,081 to the 61<sup>st</sup> Meeting for completed projects: one in Brazil (BRA/FUM/46/INV/272) and another one in Mexico (MEX/FUM/42/TAS/118). Annex I of this document provides detailed information on the returned amounts by bilateral agencies.

**Bilateral projects with balances by year of completion**

28. Table 6 presents the balances that are being held by bilateral agencies and the years in which those projects were completed. It shows that for projects completed up to and including 31 May 2009 (at least over the last 12 months) bilateral agencies had balances totalling US \$108,051 including support cost. No bilateral agencies hold balances for projects completed over two years ago.

Table 6

**BALANCES HELD BY BILATATERAL AGENCIES**

| <b>Year Completed</b>                              | <b>France<br/>(US \$)</b> | <b>Japan<br/>(US\$)</b> | <b>Total<br/>(US \$)</b> |
|--|---------------------------|-------------------------|--------------------------|
| 2007   | 0                         | 0                       | 0                        |
| 1 January 2008 to<br>31 May 2008                   | 0                         | 0                       | 0                        |
| <b>Projects<br/>completed over<br/>2 years ago</b> | <b>0</b>                  | <b>0</b>                | <b>0</b>                 |
| 1 June 2008 to<br>31 May 2009                      | 78,440                    | 17,879                  | 96,319                   |
| <b>Net Total</b>                                   | <b>78,440</b>             | <b>17,879</b>           | <b>96,319</b>            |
| <b>PSC</b>   | <b>9,408</b>              | <b>2,324</b>            | <b>11,732</b>            |
| <b>Total</b>                                       | <b>87,848</b>             | <b>20,203</b>           | <b>108,051</b>           |

29. The Government of France advised the Secretariat that it will not return the balance of US \$87,864 for the project in Morocco (MOR/FUM/29/INV/37) to the 61<sup>st</sup> Meeting as the project is pending financial completion.

30. The Government of Japan advised the Secretariat that it would not be returning any fund at the 61<sup>st</sup> Meeting and it would be using the unspent balance for future projects.

## COMMENTS

31. Annex II presents additional information on obligated and un-obligated balances by agency. Of the US \$6.7 million in projects with balances in this report, about US \$3.5 million is for MYAs. Of the US \$6.7 million; UNEP holds the largest amount of balances, with US \$2,264,545 in obligated balances followed by the World Bank with US \$2,052,501. UNEP large obligated amounts could be explained by the fact that UNEP is now obligating cash advances in addition to the obligated amount for the CAP project reported at this meeting.

32. The status of contributions and disbursements as of 30 May 2010 indicates that the Treasurer has an available balance amounting to US \$147,799,551 in cash and promissory notes. The total net balance returned by implementing agencies and bilateral agencies including agency support cost is US \$404,751. The total level of resources available for approvals by the Executive Committee at its 61<sup>st</sup> Meeting, after taking into account the Fund balance as contained in document UNEP/OzL.Pro/ExCom/61/3, and the total return from implementing agencies and bilateral agencies, is US \$148,204,302.

33. Considering the total level of funding for projects and activities being considered by the Executive Committee amounting to US \$42,286,954 plus agency supports costs of US \$3,495,353 as at 31 May 2010 there are sufficient resources available for approvals at the 61<sup>st</sup> Meeting.

## RECOMMENDATIONS

34. The Executive Committee may wish

(a) To note:

- (i) The report on balances and availability of resources contained in document UNEP/OzL.Pro/ExCom/61/4;
- (ii) The net level of funds being returned by the implementing agencies to the 61<sup>st</sup> Meeting is amounting to US \$156,455 against projects. This includes the return of US \$52,327 from UNDP, US \$3,250 from UNEP against the 2009 unspent Compliance Assistance Programme's budget, US \$26,351 from UNIDO and US \$74,257 from the World Bank;
- (iii) The net level of support costs being returned by the implementing agencies to the 61<sup>st</sup> Meeting is US \$221,184. This includes the return of US \$5,212 from UNDP, US \$282 from UNEP, US \$2,549 from UNIDO and US \$213,141 from the World Bank;
- (iv) The net level of funds and support costs being returned by the bilateral agencies to the 61<sup>st</sup> Meeting is US \$27,081. This includes a return in cash of US \$31 by the Government of Canada and of US \$27,081 by the Government of Spain;



- (v) That implementing agencies had balances totalling US \$3,930,493 excluding support costs from projects completed over two years ago. This includes US \$678,003 from UNDP, US \$867,421 from UNEP, US \$332,569 from UNIDO, and US \$2,052,501 from the World Bank;
  - (vi) That France had balances totalling US \$78,440 and Japan US \$20,203 including support costs; and
  - (vii) UNEP report on the application of the financial rules regarding obligated cash advances and the application of this rule starting 61<sup>st</sup> Meeting as contained in paragraph 19 of this document.
- (b) To request the Treasurer to follow-up with the Government of Spain on the cash transfer of the returned amount by the Government of Spain.
  - (c) To approve the transfer of US \$60,000 plus agency amount of US \$4,500 for the project in Sri Lanka (SRL/PHA/57/PRP/36) from the World Bank to UNDP.

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**Annex I**  
**DETAILS ON PROJECTS WITH RETURNED BALANCES FROM BILATERAL AGENCIES**

**CANADA**

| <b>Code</b>        | <b>Project Title</b>  | <b>Project costs returned (US\$)</b> | <b>Associated support costs (US\$)</b> | <b>Total</b> |
|--------------------|---|--------------------------------------|--|--------------|
| CHI/REF/35/TAS/147 | Implementation of the RMP: recovery and recycling programme | 30                                   | 0                                      | 30           |
| CHI/PHA/50/PRP/162 | Project preparation for a national phase-out plan           | 1                                    | 0                                      | 1            |
| <b>Total</b>       |   | 31                                   | 0                                      | 31           |

**SPAIN**

| <b>Code</b>        | <b>Project Title</b>   | <b>Project costs returned (US\$)</b> | <b>Associated support costs (US\$)</b> | <b>Total</b> |
|--------------------|--|--------------------------------------|--|--------------|
| BRA/FUM/46/INV/272 | Total phase-out of MB used in tobacco, flowers, ornamental plants, strawberries and other uses | 212                                  | 28                                     | 240          |
| MEX/FUM/42/TAS/118 | Technical assistance to comply with 2005 phase-out of 20% of methyl bromide                    | 24,181                               | 2,660                                  | 26,841       |
| <b>Total</b>       |  | 24,393                               | 2,688                                  | 27,081       |



Annex II

OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IMPLEMENTING AGENCY

UNDP

| Code               | Project Title  | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2009 (US\$) | Funds Disbursed as of 61 <sup>st</sup> Meeting (US\$) | Obligated Balance as of 61 <sup>st</sup> Meeting (US\$) | Unobligated Balance as of 61 <sup>st</sup> Meeting (US\$) | Why any unobligated balances could not be returned? | When they could be returned ? |
|--------------------|--|--------|-------------------------|---|---|---|---|---|-------------------------------|
| AFR/HAL/37/TAS/31  | Sectoral phase out programme: establishing a regional halon bank for West and Central Africa (Benin, Burkina Faso, Cameroon, Congo, Congo DR, and Guinea)  | CLO    |                         | 300,000   | 218,481   | 81,519  |   |   |                               |
| ARG/FOA/38/INV/132 | Terminal umbrella project for phaseout of the use of CFC-11 in the manufacture of polyurethane foam  | COM    | Dec-07                  | 1,324,843   | 1,307,223   | 17,620  |   |   |                               |
| BAH/PHA/50/INV/16  | Terminal phase-out management plan (first tranche)   | COM    | Dec-08                  | 272,500   | 272,322   | 178   |   |   |                               |
| BOL/REF/42/INV/25  | Terminal umbrella project for the commercial refrigeration manufacturing sector  | COM    | Dec-07                  | 88,840  | 88,710  | 130   |   |   |                               |
| BRA/SOL/48/PRP/276 | Project preparation for solvent and process agent sectors  | COM    | Dec-07                  | 50,000  | 40,574  | 9,426   |   |   |                               |
| CHI/FUM/32/INV/143 | Demonstration and phase-out project for methyl bromide soil fumigation for fruit tree production and replant   | COM    | Dec-07                  | 805,000   | 802,383   | 2,617   |   |   |                               |
| CHI/REF/42/PRP/155 | Project preparation for the formulation of commercial refrigeration project  | COM    | Dec-06                  | 32,000  | 31,671  | 329   |   |   |                               |
| COL/ARS/54/PRP/68  | Project preparation for a MDI investment project   | COM    | Dec-08                  | 30,000  | 18,272  | 11,728  |   |   |                               |
| COL/SEV/38/INS/59  | Renewal of the institutional strengthening (phase 4)   | COM    | Dec-05                  | 275,600   | 275,596   | 4   |   |   |                               |
| COL/SEV/45/INS/61  | Extension of the institutional strengthening project (phase V)   | COM    | Dec-07                  | 275,600   | 275,586   | 14  |   |   |                               |
| COS/PHA/48/PRP/35  | Project preparation for a terminal phase-out management plan in the refrigeration servicing sector   | COM    | Jul-07                  | 30,000  | 27,621  | 2,379   |   |   |                               |
| COS/REF/41/TAS/27  | Implementation of the RMP: incentive programme for the commercial, industrial, and fishing fleet refrigeration end-user sub-sector   | COM    | Dec-07                  | 200,000   | 198,988   | 1,012   |   |   |                               |
| COS/REF/41/TAS/28  | Implementation of the RMP: technical assistance for the refrigeration servicing sub-sector   | COM    | Dec-07                  | 180,000   | 179,483   | 517   |   |   |                               |
| COS/REF/41/TAS/31  | Implementation of the RMP: ensuring the effectiveness of all the project proposed with the RMP project   | COM    | Dec-07                  | 60,000  | 57,314  | 2,686   |   |   |                               |
| COS/REF/41/TRA/29  | Implementation of the RMP: technical assistance for certification and licensing of refrigeration technicians   | COM    | Dec-07                  | 100,000   | 99,203  | 797   |   |   |                               |
| COS/REF/41/TRA/30  | Implementation of the RMP: technical assistance for training of customs officers   | COM    | Dec-07                  | 90,000  | 88,996  | 1,004   |   |   |                               |
| CPR/REF/32/INV/367 | Phaseout of CFC-11 by conversion to cyclopentane technology and CFC-12 by conversion to isobutane (600a) technology in the manufacture of domestic freezers at Qingdao Haier No. 2 Freezer Plant | COM    | Nov-04                  | 788,359   | 774,016   | 14,343  |   |   |                               |
| CPR/SEV/44/INS/421 | Extension of institutional strengthening project (phase VI)  | COM    | Dec-06                  | 390,000   | 389,871   | 129   |   |   |                               |
| DJI/REF/37/TAS/07  | Implementation of the RMP: recovery and recycling  | COM    | Dec-07                  | 136,463   | 135,738   | 725   |   |   |                               |

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|--------------------|--|--------|-------------------------|---|---|---|---|---|-------------------------------|
| DOM/HAL/38/TAS/32  | National halon bank management plan development  | COM    | Dec-07                  | 40,000  | 33,505  | 6,495   |   |   |                               |
| DRC/FOA/37/INV/10  | Phasing out of CFC-11 in the manufacture of flexible slabstock foam at Bek SPRL by conversion to methylene chloride  | COM    | Dec-05                  | 165,670   | 139,965   | 25,705  |   |   |                               |
| DRC/FOA/41/INV/19  | Terminal umbrella project for the foam sector  | COM    | Dec-07                  | 640,820   | 604,898   | 35,922  |   |   |                               |
| DRC/REF/41/TAS/16  | Implementation of the RMP: centralized recovery and recycling of CFC-12 programme  | COM    | Dec-08                  | 437,102   | 325,930   | 111,172   |   |   |                               |
| GAB/REF/41/TAS/14  | Implementation of the RMP: monitoring the activities in the RMP  | COM    | Dec-07                  | 15,000  | 14,228  | 772   |   |   |                               |
| GHA/SEV/50/INS/25  | Extension of the institutional strengthening project (phase VII)   | COM    | Nov-08                  | 139,100   | 134,839   | 4,261   |   |   |                               |
| GUI/REF/45/TAS/15  | Implementation of the RMP: recovery and recycling programme (phase II)   | COM    | Oct-07                  | 49,890  | 43,879  | 6,011   |   |   |                               |
| IDS/ARS/44/INV/167 | National strategy for phasing out the use of CFC in the aerosol: conversion from CFC to hydrocarbon aerosol propellants at P.T Yulia   | COM    | Jun-06                  | 224,000   | 181,887   | 42,113  |   |   |                               |
| IDS/SEV/41/INS/159 | Extension of institutional strengthening project   | COM    | Dec-06                  | 271,245   | 270,542   | 703   |   |   |                               |
| IDS/SEV/47/INS/171 | Extension of institutional strengthening project (phase V)   | COM    | Dec-07                  | 271,245   | 271,144   | 101   |   |   |                               |
| IND/ARS/41/TAS/368 | MDI Transitional Strategy  | COM    | Jul-08                  | 30,000  | 0   | 30,000  |   |   |                               |
| IND/FOA/44/INV/384 | Sectoral phase-out plan for elimination of CFCs in the foam sector (third tranche)   | COM    | Dec-06                  | 1,500,000   | 1,499,771   | 229   |   |   |                               |
| IND/REF/44/INV/383 | Plan for phase-out of CFCs in the refrigeration manufacturing sector (third tranche)   | COM    | Dec-06                  | 250,000   | 247,681   | 2,319   |   |   |                               |
| IRA/PHA/42/INV/165 | National CFC phase-out plan: first tranche   | COM    | Dec-07                  | 770,000   | 758,043   | 11,957  |   |   |                               |
| IRA/REF/35/INV/133 | Conversion from CFC-11 to HCFC-141b technology and from CFC-12 to HFC-134a technology in the manufacture of domestic and commercial refrigeration equipment at Ghotb Jonoub Industrial Group | COM    | Apr-06                  | 170,125   | 167,551   | 2,574   |   |   |                               |
| IRA/SEV/53/INS/185 | Extension of the institutional strengthening project (phase VI, second year)   | COM    | Dec-08                  | 86,756  | 86,669  | 87  |   |   |                               |
| JAM/PHA/37/TAS/17  | Terminal phase-out management plan for CFCs: retrofitting/replacement programme  | COM    | Dec-07                  | 140,000   | 132,337   | 7,663   |   |   |                               |
| JAM/SOL/42/TAS/20  | Technical assistance umbrella project to phase out ODS solvent use   | COM    | Dec-06                  | 25,000  | 21,812  | 3,188   |   |   |                               |
| KYR/PHA/47/PRP/11  | Project preparation of a terminal phase-out management plan  | COM    | Apr-07                  | 30,000  | 29,092  | 908   |   |   |                               |
| KYR/REF/37/TAS/02  | Implementation of the RMP: awareness and incentive programme   | FIN    | Dec-07                  | 187,242   | 183,731   | 3,511   |   |   |                               |
| LEB/SEV/44/INS/59  | Extension of institutional strengthening project (phase IV)  | COM    | Jun-07                  | 155,090   | 152,255   | 2,835   |   |   |                               |
| LEB/SEV/50/INS/64  | Extension of the institutional strengthening project (phase V)   | COM    | Dec-08                  | 155,090   | 154,997   | 93  |   |   |                               |
| LIB/FOA/35/INV/15  | Phase out of CFC-11 by conversion to liquid carbon dioxide (LCD) in the manufacture of flexible polyurethane foam at El Houria Unit Plant  | COM    | Aug-06                  | 520,405   | 470,518   | 49,887  |   |   |                               |
| MAL/ARS/19/INV/85  | Umbrella project to phase out ODS at SMEs in the aerosol sector  | COM    | Dec-05                  | 1,486,660   | 1,337,586   | 149,074   |   |   |                               |
| MAL/FUM/29/DEM/129 | Alternatives to the use of methyl bromide on Malaysian timbers   | COM    | Jul-06                  | 230,000   | 221,922   | 8,078   |   |   |                               |
| MAL/SEV/38/INS/148 | Extension of institutional strengthening project   | COM    | Dec-05                  | 279,500   | 262,367   | 17,133  |   |   |                               |
| MAU/REF/41/TAS/11  | Implementation of the RMP: centralized recovery and recycling of CFC-12 programme  | COM    | Dec-07                  | 100,995   | 100,299   | 696   |   |   |                               |

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| MEX/HAL/35/TAS/104 | National halon management and banking programme  | COM    | Dec-07                  | 500,000   | 444,158   | 55,842  |   |   |                               |
| MLI/REF/45/TAS/16  | Implementation of the RMP update: supplementary training and spares to the national programme for recovery and recycling of refrigerants | COM    | Dec-07                  | 50,000  | 48,610  | 1,390   |   |   |                               |
| MLW/FUM/43/INV/21  | Phase-out of all non-essential and non-QPS methyl bromide (fourth tranche)   | COM    | Dec-06                  | 849,824   | 786,558   | 63,266  |   |   |                               |
| MOL/PHA/48/PRP/15  | Project preparation for a terminal phase-out management plan in the refrigeration servicing sector                                       | COM    | Dec-07                  | 12,000  | 9,619   | 2,381   |   |   |                               |
| NEP/PHA/50/PRP/20  | Project preparation for a terminal phase-out management plan in the refrigeration servicing sector                                       | COM    | Jul-07                  | 15,000  | 4,854   | 10,146  |   |   |                               |
| NIR/SEV/36/CPG/102 | Country programme update   | COM    | Dec-07                  | 241,493   | 231,204   | 10,289  |   |   |                               |
| NIR/SEV/40/INS/107 | Extension of institutional strengthening project   | COM    | Dec-06                  | 260,000   | 259,900   | 100   |   |   |                               |
| NIR/SEV/48/INS/114 | Extension of the institutional strengthening project (phase IV)  | COM    | Dec-07                  | 260,000   | 259,805   | 195   |   |   |                               |
| PAK/ARS/54/PRP/68  | Project preparation for a MDI investment project   | COM    | Nov-08                  | 60,000  | 47,154  | 12,846  |   |   |                               |
| PAR/PHA/47/PRP/16  | Project preparation of a terminal phase-out management plan  | COM    | Apr-07                  | 30,000  | 25,880  | 4,120   |   |   |                               |
| PAR/SOL/45/TAS/14  | Technical assistance for the phase-out of ODSs in the solvent sector   | COM    | Dec-08                  | 30,000  | 15,343  | 14,657  |   |   |                               |
| PRC/REF/41/TAS/11  | Implementation of the RMP: centralized recovery and recycling of CFC-12 programme  | COM    | Dec-08                  | 118,078   | 91,715  | 26,364  |   |   |                               |
| RWA/REF/41/TAS/08  | Implementation of the RMP: refrigeration manufacturing and centralized recovery and recycling of refrigerants                            | COM    | Dec-08                  | 118,758   | 103,116   | 15,642  |   |   |                               |
| SIL/HAL/45/PRP/10  | Project preparation for a halon sectoral phase-out plan  | COM    | Dec-06                  | 16,870  | 8,962   | 7,908   |   |   |                               |
| SIL/PHA/48/PRP/14  | Project preparation for a terminal phase-out management plan in the refrigeration servicing sector                                       | COM    | Dec-08                  | 12,000  | 10,639  | 1,361   |   |   |                               |
| SRL/FUM/27/DEM/13  | Alternatives to methyl bromide for eradication of tea nematodes in Sri Lanka   | COM    | Oct-07                  | 310,200   | 302,356   | 7,844   |   |   |                               |
| SRL/FUM/38/TAS/21  | Methyl bromide phase-out for all remaining uses excluding QPS applications   | COM    | Oct-07                  | 130,000   | 129,998   | 2   |   |   |                               |
| SRL/SEV/37/INS/20  | Renewal of institutional strengthening project (Phase 4)   | COM    | Aug-04                  | 134,056   | 131,378   | 2,678   |   |   |                               |
| SRL/SEV/50/INS/31  | Extension of the institutional strengthening project (phase VI)  | COM    | Nov-08                  | 134,056   | 132,761   | 1,295   |   |   |                               |
| STP/REF/44/TAS/10  | Implementation of the RMP: technical assistance for the refrigeration servicing sector   | COM    | Dec-08                  | 110,000   | 109,997   | 3   |   |   |                               |
| STV/PHA/47/INV/11  | Terminal phase out management plan for CFCs (first phase)  | COM    | Dec-08                  | 101,000   | 79,148  | 21,852  |   |   |                               |
| SUR/PHA/50/PRP/13  | Project preparation for a terminal phase-out management plan in the refrigeration servicing sector                                       | COM    | Dec-08                  | 15,000  | 12,500  | 2,500   |   |   |                               |
| SWA/PHA/53/PRP/09  | Preparation for a terminal phase-out management plan   | COM    | Dec-08                  | 15,000  | 9,909   | 5,091   |   |   |                               |
| TOG/REF/38/TAS/06  | Implementation of the RMP: incentive programme for the commercial and industrial end-user refrigeration sector                           | COM    | Dec-07                  | 110,000   | 106,932   | 3,068   |   |   |                               |
| TOG/REF/38/TAS/07  | Implementation of the RMP: recovery and recycling of refrigerants  | COM    | Dec-07                  | 150,000   | 149,425   | 575   |   |   |                               |
| TRI/PHA/49/INV/20  | Terminal phase-out management plan for CFCs (second tranche)   | COM    | Dec-08                  | 240,000   | 123,625   | 116,375   |   |   |                               |
| TRI/PHA/51/TAS/22  | Audit for an ongoing terminal phase-out management plan  | COM    | Dec-08                  | 20,000  | 12,739  | 7,261   |   |   |                               |
| TRI/SEV/32/INS/13  | Renewal of institutional strengthening (Phase II)  | COM    | Jan-03                  | 44,000  | 40,368  | 3,632   |   |   |                               |
| TRI/SEV/38/INS/15  | Extension of institutional strengthening project (phase 3)   | COM    | Dec-06                  | 57,200  | 56,693  | 507   |   |   |                               |

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| URT/PHA/50/PRP/21 | Project preparation for a terminal phase-out management plan in the refrigeration servicing sector                      | COM    | Dec-07                  | 12,000  | 8,760   | 3,240   |   |   |                               |
| URU/PHA/50/INV/46 | Terminal phase-out management plan for Annex A Group I Substances (first tranche)                                       | COM    | Dec-08                  | 240,000   | 230,483   | 9,517   |   |   |                               |
| YEM/REF/37/TAS/15 | Implementation of the RMP: national recovery and recycling programme for refrigerants in the commercial and MAC sectors | COM    | Dec-07                  | 1,472,730   | 1,403,516   | 69,214  |   |   |                               |
| <b>TOTAL</b>      | Total   |        |                         |   |   | 1,151,802   |   |   |                               |

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|--------------------|---|--------|-------------------------|---|---|---|---|---|------------------------------|
| AFG/SEV/43/CPG/02  | Preparation of the country programme/RMP project  | COM    | Oct-05                  | 48,077  | 41,845  | 6,232   | 0   |   |                              |
| ALB/PHA/39/TRA/07  | National ODS phase-out plan: training of trainers in good practices of refrigeration  | COM    | Jul-06                  | 75,620  | 72,262  | 0   | 3,358   | once the project is financially completed           |                              |
| ALB/SEV/49/INS/13  | Renewal of institutional strengthening project (phase II)   | COM    | Jun-08                  | 109,200   | 72,963  | 36,237  | 0   |   |                              |
| ALG/SEV/48/INS/64  | Extension of the institutional strengthening project (phase IV)   | COM    | Dec-08                  | 257,400   | 181,050   | 76,350  | 0   |   |                              |
| BDI/REF/41/TRA/15  | Implementation of the RMP: training of refrigeration technicians (phase II)   | COM    | Jul-06                  | 22,500  | 10,000  | 12,500  | 0   |   |                              |
| BDI/SEV/44/INS/18  | Extension of institutional strengthening project (phase III)  | COM    | Dec-06                  | 60,000  | 48,009  | 11,991  | 0   |   |                              |
| BEN/SEV/49/INS/17  | Renewal of institutional strengthening project (phase V)  | COM    | Dec-08                  | 60,000  | 32,501  | 27,499  | 0   |   |                              |
| BOT/SEV/41/INS/08  | Extension of institutional strengthening project: phase 2   | COM    | Feb-08                  | 78,173  | 44,980  | 33,193  | 0   |   |                              |
| CBI/SEV/50/INS/10  | Extension of the institutional strengthening project (phase II)   | COM    | Nov-08                  | 60,000  | 19,500  | 40,500  | 0   |   |                              |
| CKI/REF/44/TAS/01  | Implementation of the PIC strategy: training programme for refrigeration technicians including MAC recovery and recycling equipment | COM    | Nov-07                  | 14,000  | 10,723  | 3,277   | 0   |   |                              |
| CKI/SEV/44/INS/02  | Establishment of a National Compliance Centre (institutional strengthening)   | COM    | Jan-07                  | 15,000  | 9,000   | 6,000   | 0   |   |                              |
| CKI/SEV/50/INS/04  | Extension of the institutional strengthening project (phase I)  | COM    | Dec-08                  | 15,000  | 0   | 15,000  | 0   |   |                              |
| CPR/FUM/35/TAS/381 | Awareness raising workshop to support ratification of the Copenhagen Amendment  | COM    | Dec-04                  | 70,182  | 46,182  | 24,000  | 0   |   |                              |
| DRC/REF/32/TAS/03  | Assistance in developing the RMP project proposal   | COM    | Dec-03                  | 55,195  | 35,195  | 20,000  | 0   |   |                              |
| DRC/REF/41/TRA/15  | Implementation of the RMP: training programme for technicians in the refrigeration sector   | COM    | Dec-06                  | 115,000   | 107,221   | 7,779   | 0   |   |                              |
| DRK/PHA/49/TAS/44  | Implementation of the NPP: regulations, training programme and monitoring (second tranche)  | COM    | Mar-08                  | 163,400   | 116,893   | 46,507  | 0   |   |                              |
| DRK/REF/40/TAS/25  | Monitoring the activities in the refrigeration servicing subsector  | COM    | Dec-04                  | 10,000  | 5,000   | 5,000   | 0   |   |                              |
| DRK/SEV/41/INS/31  | Extension of institutional strengthening project: phase 2   | COM    | Dec-05                  | 123,552   | 94,173  | 29,379  | 0   |   |                              |
| FSM/SEV/36/INS/03  | Establishment of a National Compliance Centre (institutional strengthening)   | COM    | Jun-06                  | 34,000  | 31,239  | 2,761   | 0   |   |                              |



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| FSM/SEV/49/INS/04         | Renewal of institutional strengthening project (phase II)  | COM        | Jul-08                  | 11,333  | 0   | 11,333  | 0   |   |                              |
| GBS/REF/43/TRA/06         | Implementation of the RMP: training for customs officials, police, coast guards, border guards and commercial inspectors | COM        | Dec-07                  | 70,000  | 68,044  | 0   | 1,956   | once the project is financially completed           |                              |
| GBS/SEV/39/INS/01         | Establishment of ozone unit  | COM        | Jul-04                  | 30,000  | 0   | 30,000  | 0   |   |                              |
| GBS/SEV/39/PRP/03         | Formulation of the country programme/RMP   | COM        | Jul-04                  | 60,000  | 45,546  | 14,454  | 0   |   |                              |
| GLO/REF/46/PRP/264        | Project preparation in the chiller sector  | COM        | Feb-07                  | 40,000  | 31,452  | 8,548   | 0   |   |                              |
| GLO/SEV/45/TAS/262        | Assistance for regional awareness raising (2005)   | COM        | Dec-08                  | 200,000   | 130,742   | 69,258  | 0   |   |                              |
| <b>GLO/SEV/56/TAS/292</b> | <b>Compliance Assistance Programme: 2009 budget</b>  | <b>COM</b> | <b>Dec-09</b>           | <b>8,490,000</b>  | <b>7,676,076</b>                                      | <b>810,404</b>  | <b>0</b>  |   |                              |
| HAI/HAL/50/TAS/10         | Sectoral phase-out programme: Establishing a halon management plan   | COM        | Nov-08                  | 25,000  | 16,000  | 9,000   | 0   |   |                              |
| HON/PHA/51/PRP/23         | Project preparation for a terminal phase-out management plan   | COM        | Mar-08                  | 15,000  | 12,500  | 0   | 2,500   | once the project is financially completed           |                              |
| IND/REF/47/TAS/396        | National CFC consumption phase-out plan focusing on the refrigeration service sector: 2006 work programme                | COM        | Apr-07                  | 85,000  | 70,174  | 14,826  | 0   |   |                              |
| IND/REF/50/TAS/405        | National CFC consumption phase-out plan focusing on the refrigeration service sector: 2007 work programme                | COM        | Apr-08                  | 85,000  | 71,242  | 13,758  | 0   |   |                              |
| KYR/SEV/37/INS/05         | Establishment of the Ozone Unit  | COM        | Dec-04                  | 133,650   | 129,002   | 4,648   | 0   |   |                              |
| MAS/SEV/50/INS/05         | Extension of the institutional strengthening project (phase II)  | COM        | Nov-08                  | 30,000  | 0   | 30,000  | 0   |   |                              |
| MAU/REF/33/PRP/06         | Development of an RMP  | COM        | Dec-03                  | 60,000  | 21,919  | 38,081  | 0   |   |                              |
| MAU/REF/41/TRA/10         | Implementation of the RMP: training the trainers of refrigeration technicians  | COM        | Dec-07                  | 65,000  | 43,284  | 21,716  | 0   |   |                              |
| MDV/REF/38/TAS/06         | Implementation of the RMP: monitoring and review   | COM        | Aug-05                  | 15,000  | 1,044   | 13,956  | 0   |   |                              |
| MDV/REF/38/TRA/08         | Implementation of the RMP: training of customs officials and the Ozone Unit staff  | COM        | Nov-05                  | 35,000  | 28,612  | 6,388   | 0   |   |                              |
| MLI/PHA/53/TAS/23         | Terminal phase-out management plan for CFCs (first tranche)  | COM        | Mar-09                  | 120,000   | 114,412   | 5,588   | 0   |   |                              |
| MLW/PHA/53/TAS/24         | Terminal phase-out management plan for CFCs (first tranche)  | COM        | Mar-09                  | 95,000  | 90,000  | 5,000   | 0   |   |                              |
| MOL/PHA/48/PRP/16         | Project preparation for a terminal phase-out management plan   | COM        | Apr-08                  | 18,000  | 10,040  | 7,960   | 0   |   |                              |
| MOL/REF/44/TAS/11         | Implementation of the RMP: monitoring the activities in the RMP  | COM        | Apr-07                  | 19,885  | 15,651  | 4,234   | 0   |   |                              |
| MYA/REF/29/PRP/03         | Preparation of a refrigerant management plan   | COM        | Apr-05                  | 30,000  | 5,134   | 24,866  | 0   |   |                              |
| NAU/REF/44/TAS/01         | Implementation of the PIC strategy: assistance for enforcing ODS regulations and training programme for customs officers | COM        | Dec-08                  | 8,000   | 6,000   | 2,000   | 0   |   |                              |
| NAU/REF/44/TAS/03         | Implementation of the PIC strategy: training programme for refrigeration technicians                                     | COM        | Dec-07                  | 14,000  | 7,123   | 6,877   | 0   |   |                              |

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|-------------------|--|--------|-------------------------|---|---|---|---|---|------------------------------|
|                   | including MAC recovery and recycling equipment   |        |                         |   |   |   |   |   |                              |
| NIU/REF/44/TRA/03 | Implementation of the PIC strategy: assistance for enforcing ODS regulations and training programme for customs officers                             | COM    | Dec-08                  | 8,000   | 0   | 8,000   | 0   |   |                              |
| NIU/SEV/50/INS/04 | Extension of the institutional strengthening project (2nd year of phase I)   | COM    | Nov-08                  | 15,000  | 10,100  | 4,900   | 0   |   |                              |
| PAN/REF/29/TRA/13 | Refrigerant management plan: Training and certification programme in refrigeration   | COM    | Dec-08                  | 126,200   | 103,746   | 22,454  | 0   |   |                              |
| PAN/SEV/38/INS/17 | Renewal of institutional strengthening (phase 3)   | COM    | Jan-07                  | 149,500   | 83,604  | 65,896  | 0   |   |                              |
| PAR/REF/32/TAS/06 | Implementation of the RMP: Monitoring and control of ODS and ODS based equipment, and training of customs officers and other inspection officers     | COM    | Dec-08                  | 44,920  | 34,931  | 9,989   | 0   |   |                              |
| PAR/REF/32/TRA/07 | Implementation of the RMP: Train of trainers and training of refrigeration service technicians   | COM    | Dec-08                  | 168,020   | 138,411   | 29,609  | 0   |   |                              |
| PER/SEV/29/INS/27 | Renewal of institutional strengthening (Phase II)  | COM    | Jun-02                  | 102,700   | 57,990  | 14,608  | 30,102  | once the project is financially completed           |                              |
| PRC/SEV/45/INS/12 | Extension of the institutional strengthening project (phase IV)  | COM    | Apr-07                  | 60,000  | 36,061  | 23,939  | 0   |   |                              |
| RWA/PHA/53/TAS/13 | Terminal phase-out management plan for CFCs (first tranche)  | COM    | Mar-09                  | 110,000   | 80,000  | 30,000  | 0   |   |                              |
| RWA/REF/41/TAS/09 | Implementation of the RMP: monitoring the activities in the RMP  | COM    | Dec-08                  | 20,000  | 0   | 20,000  | 0   |   |                              |
| RWA/REF/41/TRA/06 | Implementation of the RMP: train the trainer programme for refrigeration service technicians   | COM    | Dec-06                  | 50,000  | 17,246  | 32,754  | 0   |   |                              |
| RWA/REF/41/TRA/07 | Implementation of the RMP: training programme for customs officers   | COM    | Dec-06                  | 50,000  | 10,732  | 39,268  | 0   |   |                              |
| RWA/SEV/50/INS/12 | Extension of the institutional strengthening project (phase II)  | COM    | Dec-08                  | 60,000  | 56,148  | 0   | 3,852   | once the project is financially completed           |                              |
| SEN/PHA/52/TAS/23 | Terminal phase-out management plan for CFCs (first tranche)  | COM    | Apr-09                  | 109,500   | 108,500   | 1,000   | 0   |   |                              |
| SIL/PHA/48/PRP/13 | Project preparation for a terminal phase-out management plan   | COM    | Dec-08                  | 18,000  | 13,014  | 4,986   | 0   |   |                              |
| SIL/REF/41/TAS/09 | Implementation of the RMP: monitoring the activities in the RMP  | COM    | Feb-08                  | 15,455  | 0   | 15,455  | 0   |   |                              |
| SIL/REF/41/TRA/04 | Implementation of the RMP: training of trainers in code of good refrigeration practices/establishment of national refrigeration demonstration centre | COM    | Dec-08                  | 119,460   | 79,997  | 39,463  | 0   |   |                              |
| SIL/REF/41/TRA/08 | Implementation of the RMP: customs training programme  | COM    | Jan-08                  | 41,768  | 32,014  | 9,754   | 0   |   |                              |
| SIL/SEV/35/TAS/01 | Formulation of national phase out strategy   | COM    | Dec-03                  | 40,000  | 15,000  | 25,000  | 0   |   |                              |
| SOI/SEV/36/INS/03 | Establishment of a National Compliance Centre  | COM    | Jun-06                  | 20,250  | 12,150  | 8,100   | 0   |   |                              |

| Code              | Project Title   | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2008 (US\$) | Funds Disbursed as of 60 <sup>th</sup> Meeting (US\$) | Obligated Balance as of 60 <sup>th</sup> Meeting (US\$) | Unobligated Balance as of 60 <sup>th</sup> Meeting (US\$) | Why any unobligated balances could not be returned? | When they could be returned? |
|-------------------|---|--------|-------------------------|---|---|---|---|---|------------------------------|
|                   | (institutional strengthening)   |        |                         |   |   |   |   |   |                              |
| SOI/SEV/49/INS/05 | Renewal of institutional strengthening project (phase II)                           | COM    | Dec-08                  | 6,833   | 0   | 6,833   | 0   |   |                              |
| SRL/REF/32/TRA/16 | Implementation of the RMP: Customs training programme                               | COM    | Apr-05                  | 87,000  | 45,367  | 41,633  | 0   |   |                              |
| STP/REF/44/TAS/09 | Implementation of the RMP: monitoring the activities in the RMP                     | COM    | Dec-07                  | 20,000  | 10,000  | 10,000  | 0   |   |                              |
| STP/REF/44/TRA/07 | Implementation of the RMP: training programme for custom officers                   | COM    | Dec-07                  | 45,000  | 21,399  | 23,601  | 0   |   |                              |
| STP/REF/44/TRA/08 | Implementation of the RMP: training programme for refrigeration service technicians | COM    | Dec-08                  | 55,000  | 17,067  | 37,933  | 0   |   |                              |
| STV/REF/44/PRP/08 | Preparation of refrigerant management plan update                                   | COM    | Nov-05                  | 20,000  | 16,750  | 0   | 3,250   | once the project is financially completed           |                              |
| TKM/SEV/46/INS/01 | Institutional strengthening project (phase I)                                       | COM    | Feb-08                  | 115,693   | 83,279  | 32,414  | 0   |   |                              |
| TOG/PHA/48/PRP/12 | Project preparation for a terminal phase-out management plan                        | COM    | Jun-08                  | 18,000  | 13,000  | 5,000   | 0   |   |                              |
| TTR/SEV/36/INS/03 | Establishment of a National Compliance Centre (institutional strengthening)         | COM    | Jun-06                  | 34,000  | 26,600  | 7,400   | 0   |   |                              |
| TTR/SEV/49/INS/04 | Renewal of institutional strengthening project (phase II)                           | COM    | Dec-08                  | 11,333  | 0   | 11,333  | 0   |   |                              |
| TUV/SEV/50/INS/05 | Extension of the institutional strengthening project (2nd year of phase II)         | COM    | Nov-08                  | 7,583   | 66  | 7,517   | 0   |   |                              |
| URT/PHA/50/PRP/20 | Project preparation for a terminal phase-out management plan                        | COM    | Nov-08                  | 17,000  | 3,000   | 14,000  | 0   |   |                              |
| VEN/SEV/34/TAS/90 | Review of ODS license system and Decree 3220 related to control of these substances | COM    | Dec-05                  | 80,000  | 35,500  | 44,500  | 0   |   |                              |
| YEM/REF/37/TAS/16 | Implementation of the RMP: establishment of regulations and legislation             | COM    | Dec-06                  | 25,000  | 20,000  | 5,000   | 0   |   |                              |
| YEM/REF/37/TRA/17 | Implementation of the RMP: training programme on good practices in refrigeration    | COM    | Dec-08                  | 189,500   | 179,500   | 10,000  | 0   |   |                              |
| ZAM/PHA/50/PRP/17 | Project preparation for a terminal phase-out management plan                        | COM    | Dec-07                  | 30,000  | 22,000  | 8,000   | 0   |   |                              |
| ZAM/PHA/53/TAS/18 | Terminal phase-out management plan for CFCs (first tranche)                         | COM    | Mar-09                  | 94,000  | 70,000  | 24,000  | 0   |   |                              |
| ZAM/SEV/44/INS/15 | Extension of institutional strengthening project (phase III)                        | COM    | Mar-09                  | 65,520  | 52,416  | 13,104  | 0   |   |                              |
| <b>TOTAL</b>      |   |        |                         |   |   | <b>2,264,544</b>  | <b>45,018</b>   |   |                              |

**UNIDO**

| Code               | Project Title   | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2009 (US\$) | Funds Disbursed as of 61 <sup>st</sup> Meeting (US\$) | Obligated Balance as of 61 <sup>st</sup> Meeting (US\$) | Unobligated Balance as of 61 <sup>st</sup> Meeting (US\$) | Why any unobligated balances could not be returned? | When they could be returned?* |
|--------------------|---|--------|-------------------------|---|---|---|---|---|-------------------------------|
| ALB/PHA/46/INV/11  | National ODS phase out plan (2nd tranche)   | COM    | Apr-08                  | 172,322   | 171,305   | 1,017   | 0   | MY  | n/a                           |
| ARG/PHA/42/INV/138 | National CFC phase-out plan (first tranche)   | COM    | Dec-08                  | 2,740,000   | 2,724,168   | 12,619  | 3,213   | MY  | n/a                           |
| BRA/FUM/46/INV/273 | Total phase-out of MB used in tobacco, flowers, ornamentals, strawberries and other uses  | COM    | Dec-08                  | 1,450,251   | 1,441,992   | 5,224   | 3,035   | 4   | 63                            |
| CMR/PHA/50/INV/26  | Terminal CFC/TCA phase-out management plan (first tranche)  | COM    | Dec-08                  | 600,000   | 584,266   | 15,197  | 537   | MY  | n/a                           |
| CPR/PRO/47/INV/436 | Sector plan for methyl bromide production sector (first tranche)  | COM    | Dec-08                  | 3,000,000   | 2,700,169   | 260,634   | 39,197  | MY  | n/a                           |
| CRO/PHA/42/TAS/22  | Terminal phase-out management plan: 2004 annual implementation programme  | COM    | Dec-05                  | 48,300  | 38,987  | 8,000   | 1,313   | MY  | n/a                           |
| CRO/PHA/46/TAS/26  | Terminal CFC phase-out management plan (third tranche)  | COM    | Dec-07                  | 35,000  | 30,055  | 0   | 4,945   | MY  | n/a                           |
| CRO/PHA/49/TAS/29  | Terminal CFC phase-out management plan (4th tranche)  | COM    | Dec-08                  | 20,200  | 18,100  | 2,100   | 0   | MY  | n/a                           |
| EGY/PHA/50/INV/93  | National CFC phase-out plan (second tranche)  | COM    | Mar-09                  | 1,200,000   | 856,436   | 340,468   | 3,096   | MY  | n/a                           |
| GUA/FUM/38/INV/29  | National phase out of methyl bromide  | COM    | Dec-08                  | 3,257,377   | 3,196,667   | 26,917  | 33,793  | 4   | 63                            |
| IVC/FUM/42/INV/19  | Phase-out the use of methyl bromide commodities and storage fumigation  | COM    | Dec-08                  | 222,210   | 202,534   | 46  | 19,630  | 4   | 63                            |
| KYR/HAL/48/TAS/12  | Halon phase-out programme   | COM    | Dec-08                  | 50,000  | 44,501  | 3,475   | 2,024   | 4   | 63                            |
| LEB/FUM/41/INV/54  | Phase-out of methyl bromide for soil fumigation in strawberry production (third tranche)  | COM    | Dec-08                  | 450,000   | 411,279   | 21,169  | 17,552  | MY  | n/a                           |
| MDN/SEV/48/INS/22  | Extension of the institutional strengthening project (phase V)  | COM    | Dec-08                  | 132,347   | 131,220   | 1,127   | 0   | 4   | 62                            |
| MEX/PHA/50/INV/130 | National CFC phase-out plan: 2006 work programme  | COM    | Jul-08                  | 299,500   | 292,089   | 6,687   | 724   | MY  | n/a                           |
| MOG/SEV/51/INS/02  | Establishment of the ozone unit   | COM    | Dec-08                  | 30,000  | 21,888  | 7,982   | 130   | 3   | 63                            |
| NIR/PHA/49/INV/115 | Terminal ODS phase-out umbrella project in the solvent sector (3rd tranche)   | COM    | Dec-07                  | 425,000   | 412,731   | 8,679   | 3,590   | MY  | n/a                           |
| PAK/PAG/35/INV/42  | Conversion of carbon tetrachloride as process solvent to 1,2-dichloroethane at Himont Chemicals Ltd.  | COM    | Oct-08                  | 485,701   | 481,998   | 3,240   | 463   | 4   | 63                            |
| PAK/PHA/41/INV/57  | Sector phase-out plan of CTC  | COM    | Jul-07                  | 1,200,000   | 1,196,562   | 2,896   | 542   | MY  | n/a                           |
| PAK/PHA/44/INV/62  | Sector phase-out plan of CTC (second tranche)   | COM    | Jul-07                  | 1,300,000   | 1,286,884   | 6,566   | 6,550   | MY  | n/a                           |
| PAK/REF/44/TAS/61  | Implementation of the RMP (institutional framework, customs empowerment, training service technicians, recovery and recycling) (second tranche) | COM    | May-07                  | 534,200   | 531,063   | 2,471   | 666   | MY  | n/a                           |
| PAK/REF/47/INV/64  | Implementation of the RMP (institutional framework, customs empowerment, training service technicians, recovery and recycling) (third tranche)  | COM    | Sep-08                  | 414,300   | 412,879   | 1,133   | 288   | MY  | n/a                           |
| ROM/PHA/45/INV/30  | National CFC phase-out plan (first tranche)   | COM    | Mar-07                  | 159,050   | 152,355   | 5,385   | 1,310   | MY  | n/a                           |

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|-------------------|---|--------|-------------------------|---|---|---|---|---|-------------------------------|
| ROM/PRO/47/INV/32 | Sector plan for production sector   | COM    | Dec-06                  | 3,200,000   | 3,161,668   | 26,968  | 11,364  | MY  | n/a                           |
| ROM/PRO/50/INV/35 | Sector plan for production sector (second tranche)  | COM    | Jun-07                  | 900,000   | 701,546   | 190,000   | 8,454   | MY  | n/a                           |
| ROM/SEV/45/INS/29 | Extension of institutional strengthening project: phase II  | COM    | Dec-07                  | 145,984   | 141,576   | 4,165   | 243   | 4   | 63                            |
| SEN/PHA/48/PRP/22 | Preparation of a terminal phase-out management plan   | COM    | Apr-08                  | 15,000  | 11,957  | 3,041   | 2   | 4   | 63                            |
| SUD/PHA/44/INV/18 | National CFC/CTC phase-out plan (first tranche)   | COM    | Dec-06                  | 499,480   | 490,839   | 8,536   | 105   | MY  | n/a                           |
| TUR/FUM/41/INV/82 | Phase-out of methyl bromide in protected tomato, cucumber and carnation crops (second tranche)  | COM    | Dec-08                  | 1,000,000   | 997,323   | 2,599   | 78  | MY  | n/a                           |
| YUG/FOA/35/INV/14 | Phase out of CFC-11 by conversion to n-pentane technology in the production of continuous rigid polyurethane foam insulating panels at Prva Iskra-Fim Co. | COM    | Dec-06                  | 475,728   | 449,968   | 23,700  | 2,060   | 3   | 63                            |
| ZAM/FUM/54/PRP/20 | Project preparation in the fumigants sector (soil fumigation)   | COM    | Dec-08                  | 35,000  | 25,233  | 8,832   | 935   | 3   | 62                            |
| ZIM/FUM/50/INV/36 | Total phase-out of methyl bromide used in grain fumigation  | COM    | Dec-08                  | 192,073   | 183,135   | 8,639   | 299   | 3   | 62                            |
| ZIM/FUM/51/INV/37 | Total phase-out of methyl bromide used in tobacco seedlings (second tranche)  | COM    | Dec-08                  | 1,862,486   | 1,858,666   | 3,818   | 2   | MY  | n/a                           |
| <b>Total</b>      |   |        |                         |   |   | <b>1,023,330</b>  | <b>166,140</b>  |   |                               |

2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues

MY = Multiyear

**WORLD BANK**

| Code               | Project Title   | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2009 (US\$) | Funds Disbursed as of 61 <sup>st</sup> Meeting (US\$) | Obligated Balance as of 61 <sup>st</sup> Meeting (US\$) | Unobligated Balance as of 61 <sup>st</sup> Meeting (US\$) | Why any unobligated balances could not be returned/disbursed? | When they could be returned/disbursed? |
|--------------------|---|--------|-------------------------|---|---|---|---|---|--|
| PHI/PHA/38/INV/69  | National CFC phase out plan: manufacturing sector (first tranche)         | COM    | Dec-03                  | 2,858,473   | 2,334,585   | 523,888   |   |   |  |
| PHI/PHA/41/INV/71  | National CFC phase-out plan (manufacturing sector): 2004 annual programme | COM    | Dec-04                  | 1,877,418   | 1,641,867   | 235,551   |   |   |  |
| THA/PHA/41/INV/140 | National CFC phase-out plan: 2003 annual programme                        | COM    | Mar-04                  | 4,011,846   | 3,056,328   | 955,518   |   |   |  |
| THA/PHA/42/INV/141 | National CFC phase-out plan: 2004 annual programme                        | COM    | Apr-05                  | 1,315,400   | 977,856   | 337,544   |   |   |  |
| Total              |   |        |                         |   |   | 2,052,501   |   |   |  |

**FRANCE**

| Code              | Project Title   | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2009 (US\$) | Funds Disbursed as of 61 <sup>st</sup> Meeting (US\$) | Balance as of 61 <sup>st</sup> Meeting (US\$) | Unobligated Balance as of 61 <sup>st</sup> Meeting (US\$) | Why any unobligated balances could not be returned/disbursed? | When they could be returned/disbursed? |
|-------------------|---|--------|-------------------------|---|---|---|---|---|--|
| MOR/FUM/29/INV/37 | Phase-out of methyl bromide use in the cut flower and banana production | COM    | Jul-08                  | 1,006,652   | 928,212   | 78,440  |   |   |  |
| Total             |   |        |                         |   |   | 78,440  |   |   |  |

**JAPAN**

| Code               | Project Title   | Status | Date Completed (Actual) | Approved Funding plus Adjustments as of 31 December 2009 (US\$) | Funds Disbursed as of 61 <sup>st</sup> Meeting (US\$) | Balance as of 61 <sup>st</sup> Meeting (US\$) | Unobligated Balance as of 61 <sup>st</sup> Meeting (US\$) | Why any unobligated balances could not be returned/disbursed? | When they could be returned/disbursed? |
|--------------------|---|--------|-------------------------|---|---|---|---|---|--|
| NIR/SEV/38/TAS/104 | Assistance for a national information, education and communication campaign for compliance with the Montreal Protocol | COM    | Oct-08                  | 68,000  | 50,121  | 17,879  |   |   |  |
| Total              |   |        |                         |   |   | 17,879  |   |   |  |