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执行蒙特利尔议定书
多边基金执行委员会
第三十六次会议
2002 年 3 月 20 日至 22 日，蒙特利尔

项目提案：印度

本文件载有基金秘书处关于以下项目的评论和建议：

生产

- 逐渐淘汰 CFC 生产行业：2002 年工作方案 世界银行

制冷

- 为 Godrej-GE Appliances Limitedd 所有权改变和设备费用增拨资金 世界银行

项目评价表

印度

行业： 生产 本行业的 ODS 消费量（199 年）： 不适用

次级行业成本效益阈值： 不适用

项目名称：

(a) 逐渐淘汰 CFC 生产行业：2002 年工作方案

项目数据	结束 CFC 生产
企业消费量（ODP 吨）	
项目影响（ODP 吨）	1,883.00
项目期限（月）	12
原申请经费数额（美元）	6,000,000
最后项目经费（美元）：	
增支资本费用(a)	
酌处资金(b)	
增支经营费用(c)	
项目费用总额（a+b+c）	
地方所有权（%）	100%
出口比重（%）	0%
申请经费数额（美元）	6,000,000
成本效益值（美元/公斤）	
对应出资是否已经确认？	
国家协调机构	环境和森林部
执行机构	世界银行

秘书处的建议	
建议供资额（美元）	
项目作用（吨 ODP）	
成本效益值（美元/公斤）	
执行机构支助费（美元）	
多边基金的费用总额（美元）	

项目说明

1. 执行委员会在 1999 年 11 月的第 29 次会议上原则上批准为在印度逐渐减少和停止所有 CFC 生产提供 8,200 万美元。执行时间为 10 年，根据 ODS 减少指标来确定每年的付款额。世界银行被选定为该行业方案执行机构，请它在执行期间向每年的第一次会议提交年度工作计划。

2. 因此，世界银行向2002年的第36次会议提交关于执行印度逐渐淘汰CFC生产部门计划的年度方案（见附件一）。世界银行还同2002年度工作方案一道提交了关于2001年度工作方案执行情况的核查报告（见附件二），因为第29次会议批准的《协定》规定，“付款的条件是独立核实并维持所完成的商定生产减少量”。

2002年工作方案

3. 2002年度工作方案首先概述了2001年工作方案的执行情况。这一部分说明实现2001年CFC减少目标的情况：《协定》中把2001年印度的CFC允许生产量定为18,824公吨（比2000年的生产量20,706公吨减少1,882公吨），而这一年的实际生产量是18,693公吨，低于所定的指标。在基金向2001年工作方案支付的1,100万美元中，1,073万美元按四家企业各自完成减少指标的进展情况分阶段付给这些企业，27万美元留作进行技术援助活动的经费。是通过一种生产许可证制度来管理减少生产的实施工作。这种制度得到政府1999年颁发的生产配额法令的支持。

4. 第二部分叙述了2002年工作方案的目標和活动。《协定》中为2002年确定的CFC生产限额为16,941公吨，因而需要从2001年的18,693公吨减少1,752公吨。将通过继续采用配额方法实现这一目标。还将努力编写出口/进口准则草案，由工业界同政府一道编写行动计划来管制非法贸易，并对ODS的生产者以及批发商和用户进行注册/重新注册。此外，工作方案列出了将在2002年展开的技术援助活动，包括培训、操作管理信息系统和提供公众认识活动。为2002年工作方案申请的资金是600万美元，其中585万美元计划用来支付四家企业进一步减少CFC生产的费用，为技术援助方案保留15万美元。此外，世界银行还申请2002年的工作方案支助费。

2001年生产情况核查报告

5. 由联合王国的一名特许化学家和印度的一名特许化学家于2002年1月进行核查工作，1999年和2000年的核查也是由该小组进行的。核查报告中有一段摘要，说明这四家企业采用的生产工艺、同国家审计小组的相互配合、审计方法简介以及审计结果。随后报告扼要叙述了每一家工厂的生产布局、产品及其原料来源、生产数据、为确定特性而分析的成品的样品、查明的各种问题以及配额的遵守情况。最后，报告使用为核查ODS生产淘汰情况批准的格式，提供关于运作天数、原材料消费量和CFC生产吨数的数据。

6. 该小组在其结论中连续第二年指出，印度的CFC国内市场缩小的速度超过了其生产淘汰的速度，因此生产者更加注重出口。报告认为，每家工厂上报的生产吨数都是正确的，低于各自的配额。

秘书处的评论和建议

评论

2002年度方案

7. 提交的报告清楚地表明CFC的生产指标与《协定》规定的指标一致，报告并叙述了协助完成指标的政策手段。政府努力制订了一项行动计划来控制该国面临的不断增加的非法贸易问题。2002年的技术援助方案在扩大，但没有关于该方案的财务报告，核查工作不涉及方案的这一部分。有必要了解世界银行正在进行的监督工作，特别是提交财务报告的频率和进行审计工作的机构。给技术援助方案的拨款总额是从原则上核准的8,200万美元中拨出200 万美元。

2001年生产核查报告

核查ODS生产淘汰情况所使用准则的一致性问题

8. 第33/47号决定请世界银行在2001年的核查报告中说明对于按照核定准则中所述具体步骤使用的方法是否适当这个问题进行讨论的情况；并在2001年的核查报告中分别提供关于生产、CTC和AHF消耗量比例以及CFC-11和CFC-12用量的数据。

9. 报告中试图说明审计所使用的方法，但说明太简短，未能说明这四家工厂记录生产情况时所采用的具体方式、每家工厂采用的方式是否适当、对每家工厂采用何种测定方法、所遇到的问题 and 解决问题的办法。

10. 报告未说明以何种方式根据原料消费量来证实CFC生产量。关于 Navin 的HF库存和SRF的CTC和HF库存的数据尤其可看出这一缺陷。对于这两家工厂，根据库存变化情况计算的消费量都高于根据CFC生产计算的原料消费量。可能工厂把这些化学品用于其他用途或出售这些化学品，但没有关于这些使用和销售的的数据，也没有用来证实原料使用情况和使其收支相符的方法。在这种情况下，不能象报告中试图做的那样用库存的变化来证实CFC的生产量。以下是这两家工厂的有关数据的例子：

Navin

原料	月份	根据 CFC 生产量(公吨) 计算的消费量	根据库存变化(公 吨)计算的消费量	差额(公吨)
HF	1 月	140.7	382	242
	2 月	98.4	428	330

SRF

原料	月份	根据 CFC 生产量(公吨) 计算的消费量	根据库存变化(公 吨)计算的消费量	差额(公吨)
CTC	1 月	527	735	208
	2 月	339	696	357
HF	1 月	119.5	286	167
	2 月	84.7	374	289.3

对世界银行管理费的审查

11. 《协定》规定，“世界银行同意担任本项目执行机构，为期三年，每年收取的管理费为所涉期间支付的项目费用的8%”。2002年将是这个方案的第四年，应对世界银行收取的管理费进行审查，以便确定 a) 管理费率和 b) 将确定的管理费涵盖的期间。

建议

12. 秘书处请世界银行澄清原料消耗数据不一致的问题，以及说明采用何种方法来证实原料消耗和CFC生产。一旦收到这些资料后将把建议转送执行委员会。

印度

为GODREJ-GE APPLIANCE LIMITED (GGEAL)所有权改变增拨资金

项目说明

13. GGEAL项目是分为两个阶段批准的。第一阶段 — 泡沫塑料生产改用环戊烷技术是1996年10月在执行委员会第20次会议上批准的，费用为2,691,570美元，拟淘汰568 ODP吨。项目的第一阶段业已执行，并已向秘书处提交了项目完成报告。

14. 项目的第二阶段是2000年3月在执行委员会第30次会议上批准的，费用为2,050,000美元，把制冷剂改为使用异丁烷，拟淘汰71.7 ODP吨。在计算符合条件的供资数额时考虑到当时的地方所有权为60%。自那时以来所有权发生了变化，目前该公司是100%地方所有。公司的名称从GGEAL改为GAL。

15. 世界银行已提出请求，为GAL增加拨款1,366,667美元，以反映第5条国家所有权增加40%这一事实。

16. 第二阶段的技术转换费用将达3,416,667美元，GGEAL技术转换费用总额将达6,108,237美元，成本效益为9.55美元，这是按照关于分阶段执行的项目的第19/9号决定计算的。

秘书处的评论

17. 第19/38号决定具体规定了由于所有权改变而应改变为实施项目所核准的资金的情况。然而，这项决定只限于第5条所有权减少的情况。在概览文件（UNEP/OZL.PRO/EXCOM/36/17）中讨论了关于第5条实体所占比例扩大而使所有权改变的政策问题。

18. 赠款协定本身的签署日期和内容，以及确切的项目执行情况（包括获得资本设备的情况）对确定第19/38号决定是否适用或是否可能对所处理的案子作出新的决定十分重要。秘书处已请世界银行提供与GGEAL的赠款协定有关的更多的资料。至今尚未收到这些资料。将把这一情况通知项目审查小组委员会。

秘书处的建议

19. 建议对该项目进行个别审议

INDIA

CFC Production Sector Gradual Phaseout Project
(ODS III)

2002 Annual Work Program

February 2002

New Delhi Office
South Asia Environment and Social Unit
World Bank

INDIA

**CFC PRODUCTION SECTOR
GRADUAL PHASEOUT PROJECT (ODS III)**

CY2002 ANNUAL PROGRAM

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ANNEX II – Annual Production Phaseout Targets and Annual Grant Tranches

ANNEX II - MoEF Letter Confirming ODS Production Levels

INDIA

**CFC PRODUCTION SECTOR
GRADUAL PHASEOUT PROJECT (ODS III)**

CY2002 ANNUAL PROGRAM

A. INTRODUCTION

Through the implementation of the CY2001 Annual Program of the *CFC Production Sector Gradual Phaseout Project*, India has met its 2001 CFC production quota level of 18,824 metric tons(MT).

In accordance with Decision 29/65 of the Executive Committee of the Multilateral Fund, the World Bank, as the implementing agency, is submitting an Annual Program for the period "1 January - 31 December 2002", for consideration at the March 2002 meeting of the Executive Committee. This Annual Program has been prepared in cooperation with the Ministry of Environment and Forests (MoEF), Government of India, United Nations Environment Programme (UNEP) and the Project Management Unit (PMU).

This document verifies the successful implementation of the CY2001 Annual Program by India and details the planned program and activities for 2002, and is being submitted for approval and release of the fourth tranche of funds, amounting to US\$ 6.00 million, for the implementation of the CY2002 Annual Program.

Year	Agreed Schedule		Actual		Annual Funding Level (US\$ million)
	CFC Production not exceeding (MT)	Phaseout Amount (MT)	Verified CFC Production (MT)	Phaseout Amount (MT)*	
1999	22,588	-	22,411	-	12.0
2000	20,706	1,882	20,407	2,004	11.0
2001	18,824	1,882	18,693	1,714	11.0
2002	16,941	1,883			6.0
2003	15,058	1,883			6.0
2004	13,176	1,882			6.0
2005	11,294	1,882			6.0
2006	7,342	3,952			6.0
2007	3,389	3,953			6.0
2008	2,259	1,130			6.0
2009	1,130	1,129			6.0
2010	0	1,130			6.0
Total Funding					82.0

* Difference between the previous year and the actual year.

B. CY2001 ANNUAL PROGRAM ACHIEVEMENTS

B.1 ODS Phase-out and Disbursement

CFC production in CY2001 amounted to 18,693MT, reflecting a reduction of 8.4% (1,714MT) from the previous year. Disbursements to CFC producers amounted to US\$9.66 million, reflecting 90% of the CY2001 tranche of US\$11 million, allocated for enterprise compensation. The first disbursement of US\$ 379,398, (of which US\$ 270,000 came from the 2001 AP), was made to UNEP for the TA component.

Year	Production Phase-out		Grant Tranches (US\$ m)	
	Target (MT)	Achieved	Allocation (US\$ million)	Status of Disbursements
2001	18,824	The independent Audit Teams appointed by MoEF and WB separately verified CFC production in CY2001. Total production of CFCs was ascertained by both teams as 18,693MT.	11.0	- US\$9.66 million (in two installments of 60% and 30%) disbursed to the four CFC producers. - US\$1.07 million (remaining 10%) to be disbursed when the 2001 production has been confirmed by the independent audit to being within the limits given by the agreement - US\$0.27 million of the 2001 AP was disbursed to UNEP DTIE

B.2 Enterprise-Level CFC Production Phaseout targets (MT)

At the enterprise level, the performance with regard to meeting the quota allocations for CY2001, is summarized in the following table.

Name of company	2001 (Metric Tons)	
	Quota	Actual verified production
SRF Limited	5,536	5,518
Gujarat Fluorochemicals	6,722	6,615
Navin Fluorine (Mafatlal)	4,960	4,959
Chemplast Sanmar Limited	1,606	1,601
TOTAL	18,824	18,693

** No quota trading was done between the enterprises in 2001*

The following table reflects the quota achievements by the 4 beneficiary enterprises between 1999 and 2001:

Name of company	1999 (Metric Tons)			2000 (Metric Tons)			2001 (Metric Tons)	
	Quota (Q)	Quota adjusted for trades (QA)	Achieved (A)	Quota (Q)	Quota adjusted for trades (QA)	Achieved (A)	Quota (Q)	Achieved (A)
SRF Limited	6,644	6,271	6,267	6,090	6,146	6,053	5,536	5,518
Gujarat Fluorochemical	8,067	7,482	7,415	7,395	7,482	7,352	6,722	6,615
Navin Fluorine (Mafatlal)	5,951	7,335	7,244	5,455	5,249	5,179	4,960	4,959
Chemplast Sanmar Limited	1,926	1,500	1,485	1,766	1,829	1,823	1,606	1,601
TOTAL	22,588	22,588	22,411 (99%)	20,706	20,706	20,407 (98.5%)	18,824	18,693 (99%)

B.3 Policy Measures

As detailed in CY2001 Annual Program, number of policy measures were adopted and implemented during the course of the year as summarized below.

Legislation	Related Activity	Date
Production Quota Regulation	Production Quota licenses issued for 2001 Receipt of quarterly reports on CFC production by beneficiary enterprises Receipt of annual trading of quotas from enterprises	Jan 2001 Information on quarterly basis is received from enterprises No annual quota trading reported.
ODS Rules (Regulations)	Re-registration of CFC producers Review of import –export licenses Registration of CFC stockists and dealers	Applicable December 2001 Done by July 2001
	Extension of registration dates for ODS consuming industries	Notified as on Dec 2001

B.4 Technical Assistance Activities

To ensure the timely establishment of the PMU's business operations and procedures and to initiate technical assistance activities, the PMU was staffed by professional staff contracted from a management consulting firm since February 2001. As of December 2001, recruitment of staff members has been completed. Recruitment of a regular PMU Coordinator is under active consideration by MoEF.

Activities undertaken by the PMU in 2001 are detailed in table below:

Activity	Key Actions	Date
Training	- Stakeholder workshop conducted successfully and issues resolved through subsequent discussions with industry - Training of PMU officials - Assistance in formulation of training strategy for enforcement officers (UNEP)	March 2001 Nov 2001 April 2001 onwards
MIS Development	- Consultant recruited to develop MIS - Modules developed and MIS ready for implementation - Data inputting started	June 2001 Dec 2001 Dec 2001
Public Awareness	- Public Awareness and Technical Assistance Strategy finalized - State level awareness workshops in 18 states conducted - Formalities regarding identification/recruitment of Public Awareness Agency underway	Aug 2001 March 2001 onwards Nov-Dec
Other Activities	- Assistance in formulation of Service sector strategy (GOI/GTZ) - Cooperation with UNEP in organizing training of international trainers and customs officials	Feb – May 2001 Nov 2001
Establishment of PMU and related activities	- Internal project document between UNEP and MoEF to initiate activities - Project document between UNEP and MoEF signed - First tranche of funds to PMU disbursed from UNEP - Appointment of Audit coordinator - Appointment of MIS coordinator and support staff - Procurement, installation and registration of PMU equipment - Registration of PMU as a separate legal entity - Formulation of PMU Procedures Manual - Technical study on Market based instruments initiated	Feb 2001 July 2001 Sept 2001 Sept 2001 Dec 2001 Dec. 2001 June 2001 In process

B.5 Monitoring and Reporting Activities

The reporting mechanism is detailed below:

Report	Submitted by	Target Date	Comments
Progress report	UNEP	July 2001 Jan 2002	Detailed reports received and reviewed during supervisions in Aug 2001 and Jan 2002;
Financial Audit	UNEP	June 2002	-
Disbursement Report	IDBI	July 2001 Jan 2002	Satisfactory report received July 2001
Financial Audit	IDBI	Sept 2001	Satisfactory report received Oct 2001
Performance Audit	Auditor/ MoEF	Jan 2002	Preliminary note received
Technical Audit	Auditor/ MoEF	July 2001 Jan 2002	The audit team conducted audits and submitted their reports on time
Technical Audit	Auditor/ WB	Jan 2002	Report received and audit team verified that total CFC production was within the specified quota for 2001.
Supervision report	WB	Jan 2001 Aug 2001 Jan 2002	Project implementation rated as satisfactory. Overall implementation and performance targets and objectives as agreed in CY2001 Annual Program were satisfactorily met.

C. CY2002 ANNUAL PROGRAM: OBJECTIVES AND ACTIVITIES

C.1 ODS Phase-out Objectives and Disbursement Allocation

The objective of the CY2002 Annual Program is to ensure that CFC production does not exceed **16,941MT**. The Bank, on behalf of India, is requesting the release of the fourth installment of **US\$6.00 million**, to achieve this objective. These funds will be disbursed to the four CFC producers for reducing production in accordance with the annual production quotas established for CY2002. No additional funds are being requested for CY2002 for the TA component from the Multilateral Fund, as there are sufficient funds available to cover planned activities in CY2002.

C.2 Enterprise-Level CFC production phase-out targets (MT)

In accordance with the Production Quota Order, the four CFC producers have submitted applications for the CY2002 quota. Given that CFC production is well within the CY2001 quotas, quotas will be issued to each enterprise by January 31, 2002, as follows:

Name of company	2002 Quota (MT) (before trades)
SRF Limited	4982
Gujarat Fluorochemicals	6050
Navin Fluorine (Mafatlal)	4464
Chemplast Sanmar Limited	1445
Total	16,941

C.3 Policy Measures

Activity	Key Actions	Target Dates
Production Quota licence	Applications for a CY2002 Production Quota license received from all four CFC producers will be examined by MoEF for issuance of licenses.	No later than January 31, 2002.
Trade policy guidelines	Prepare draft export-import policy guidelines for discussion with industry	March 2002
Monitoring of illegal trade	1. Review of actions taken by industry and GOI with regard to steps taken to control illegal trade 2. Prepare plan of action based on the discussions and inputs	Feb 2002 March 2002
Registration of producers	Applications submitted for re-registration of each CFC producer, as required by the Ozone Rules, will be examined by MoEF and processed for renewal of registration.	Between Oct 2001 and April 2002.
Implementation of other provisions of ODS Rules.	1. Applications for registrations from sellers, stockists, dealers and buyers of CFC will be examined and submitted to Ozone Cell, MOEF. 2. Applications for import and export of CFCs will be examined by PMU. Based on PMU's recommendation, the Ozone Cell will submit recommendations for issuance of bulk licenses for export by CFC producers and licenses for import to Directorate General of Foreign Trade (DGFT). DGFT will track use of bulk licenses through quarterly reports from producers.	July 2001 - June 2002 Throughout the year for import and export license, as and when received

C.4 Technical Assistance Activities

Proposed technical assistance activities to be undertaken during 2002 are summarized in the following table. These activities have been decided based on the priorities of the Government of India with regard to national ODS phaseout:

Activity	Key Actions	Target Dates	Funds (US\$ '000)
Awareness of ODS phaseout	- Monitor and review implementation of national level public awareness activities being undertaken by Communications Agency - Organize and implement public awareness workshops in remaining 10 states - Develop regional action plans based on feedback at state level workshops - Organize national follow-up workshop with state focal points on progress of implementation of regulations and other ODS phaseout activities - Develop a concept note on establishment of national networking system at zonal level - Develop and disseminate technical information on CFC and ODS phaseout	2002 April 2002 June 2002 July 2002 Feb 2002	171
MIS Implementation	- Database maintenance and reporting - Develop and implement online quarterly data reporting by CFC producing enterprises - Development and implementation of Internet based data retrieval and reporting system - Implementation of information system for facilitating chiller sector phaseout strategy (<i>to be linked with WB chiller study database</i>) - MIS module replication for CFC production phaseout projects in other A5 countries	2002 July 2002 June 2002 June 2002 August 2002	20
Training/ Workshops	- Training of PMU staff members on CY2002 Annual Program and MIS implementation. - Workshop for CFC producers on implementation issues faced in CY2001 - Training of enforcement officers (at trainer level) - Capacity building of state level nodal officers on Montreal Protocol implementation activities. - Interactive sessions with small and medium scale enterprises institutes (SISIs) and their beneficiaries on ODS phaseout. - Workshop on cleaner production practices for CFC producers - Preliminary discussions with CFC producers on Environment management Plan (EMP) and closure procedures	Mar 2002 Mar 2002 June - Oct 2002 May – Nov 2002 May – Nov 2002 April 2002 Oct 2002	23
Operations of PMU	- Implement ongoing PMU operations - Half-yearly technical audits of CFC producing enterprises	2002 June 2002 & Jan 2003	175

Activity	Key Actions	Target Dates	Funds (US\$ '000)
Studies	2-3 studies to be chosen and initiated in 2002: ▪ Study on overall economic and social impact of production sector phaseout ▪ Market study on preparedness of refrigeration food processing industry ▪ Study on implementation effectiveness of ODS phaseout projects ▪ Evaluation of effectiveness of public awareness strategy	To be determined	80
Other activities	▪ Ongoing monitoring of implementation of CFC production phaseout project. ▪ Preparation of draft CFC import-export policy	2002 Mar 2002	-
Total			469*

* Of which US\$ 0.15 is available from the 2002 annual program

C.5 Monitoring And Reporting Activities

The monitoring and reporting schedule for CY2002 will be undertaken in accordance with the reporting mechanism specified in Section B.5 above.

ANNEX I

ANNUAL PRODUCTION PHASEOUT TARGETS AND ANNUAL GRANT TRANCHEs

[illegible]

MoEF Letter Confirming ODS Production Levels

PP 28:52AM WORLD BANK N,DELHI

Dr.S.Sathapathy
Joint Director (OC)



0064/2
भारत सरकार
पर्यावरण एवं वन मन्त्रालय
ओज़ोन सेल
Government of India
Ministry of Environment and Forests
Ozone Cell

P.No.35/5/2001-OC
17 January, 2002

Dear Mr. Rahill,

An independent audit team visited the CFC producing enterprises, M/s.SRF Ltd., M/s. Gujarat Fluorochemicals Ltd., M/s. Navin fluorine Industries and M/s. Chemplast Sanmar Ltd. and verified the CFC production level in C.Y.2001. As per the audit report, it is confirmed that all the four CFC producing enterprises have met their production target and as a whole the production target at country level has been achieved.

The CFC Production Sector Gradual phase-out Project: CY 2002 Annual Programme is also enclosed for submission to the 36th Executive Committee meeting, in March 2002.

With regards,

Yours sincerely

(Dr.S.Sathapathy)

Mr.Bill H Rahill
Sr.Environmental Specialist
World Bank New Delhi Office, Room 337,
Phone: 461-7241 Extn.337
Fax: 4619393

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INDIA

CFC Production Phase-out Project

Report on 2001 Production Verification Mission
7 - 17 January 2002

Audit Team :-

Mukund M Chitale
Chartered Accountant

Brian D Joyner
Chartered Chemist

Mukund M Chitale & Partners
Vile Parle (E)
Mumbai - 400 057
India

Regulatory & Technical Resources
Easton in Gordano
Bristol BS20 0JD
U K

India CFC Production Phase-out Project

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mmc / bdj / 01 / 2002

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India CFC Production Phase-out Project

Verification Mission 7 – 17 January 2002

0111/4

Executive Summary

The audit team of Mr M M Chitale, Chartered Accountant, and Mr B D Joyner, Chartered Chemist, visited the four CFC-producing enterprises during the period 8-14 January 2002 to perform a technical and financial audit of the third stage of the India CFC – Phaseout Project. The complete schedule for phase-out by 2010 is appended as Annex A.

The team was able to verify the CFC production figures provided by the enterprises by means of the audit methodology detailed later in this report. Having assessed the comprehensive data given to us, and carried out spot-checks on a random basis, we are satisfied that the production figures provided by the enterprises are correct according to the information and explanations made available to us by the enterprises.

Summary of CFC Production 1/1/2001 to 31/12/2001

(All figures rounded to nearest tonne)

CFCs	CSL 11,12	GFL 11,12	NFI 11,12,113,113a	SRF 11,12	INDIA Total
Quota	1606	6722	4960	5536	18824
Open. Stock	24	57	234	337	652
Gross Prod	1805	6700	5032	5602**	18939
Losses	4	85	71	84**	244
Net Prod.	1601	6614	4958	5518	18691
Acq. Stock	Nil	34	53	54	141
Sales	1577	6664	5052	5749	19042
Close Stk.	48	41	193	161	443
% of Quota	99.69	98.41	99.98	99.67	99.30

** Gross Production and Losses are calculated assuming 1.5% on the Net Production measurement

The net aggregated total of CFC production for the calendar year 2001 was 18,691 tonnes, satisfying the country quota requirement by a margin of 131 tonnes.

M M Chitale**B D Joyner**

mmc / bdj / 01 / 2002

India CFC Production Phase-out Project

0111/5

AUDIT REPORT**Introduction**

The manufacture and use of chlorofluorocarbons (CFCs) – in refrigeration / air conditioning, in insulation foams, as specialized solvents, as aerosol propellants, etc. – is controlled under the provisions of the Montreal Protocol, the international treaty designed for the protection of the earth's ozone layer. In Article 5 countries, production is scheduled to be totally phased out by 2010. India is a signatory to the Protocol, and CFC production is under the control of the Ozone Cell of the Ministry of Environment and Forests (MoEF).

The four CFC producers in India, through their trade organization REGMA, have an agreement with MoEF under which each enterprise is allocated an annual CFC production quota. " Production " is defined as net saleable production, this definition having been agreed between MoEF, REGMA and The World Bank in January 2001. Compliance with production quota targets is monitored on behalf of MoEF on a six-monthly basis by an audit team from the National Chemical Laboratory, Pune, and annually on behalf of The World Bank by an external audit team. This is the annual report of the World Bank team for 2001.

Production Process

All four producers operate the same type of chemical reaction process, in which carbon tetrachloride (CTC) is reacted with anhydrous hydrogen fluoride (AHF) using an antimony chlorofluoride catalyst. This results in a mixture of CFC 11 and CFC 12 (CFCs are commonly referred to by a numbering system for simplicity). The crude reaction product mixture is purified by chemical treatment and separated into the two components by distillation. There are minor differences in plant engineering and layout but this brief process description is applicable to all four production sites.

HCFC 22 is produced by a similar process, in which chloroform (CFM) is used instead of CTC, and all plants in India are designed to be able to produce HCFC 22 in addition to CFCs 11 and 12. Changing production from CFCs 11/12 to HCFC 22, or reverting from 22 to 11/12, is generally referred to as "swing" operation. Controls on CFC production have resulted in market price increases to the extent that HCFC 22, which is normally more expensive than CFC 12, is now less valuable, and a key feature of the audit process is to ensure that the production periods and quantities of CFC production are transparent and verifiable.

Liaison with NCL

Following the enterprise visits a correlation discussion was held between the NCL and World Bank teams, with representatives of UNEP and the PMU present. The outcome of both audits were found to be in agreement. It was also agreed that Customs seizures of CFCs should be notified to MoEF , and material disposed of only to producers within India, never to traders. A mechanism needs to be defined for this.

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India CFC Production Phase-out Project

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Audit Methodology

The following data are examined :-

- Raw materials purchases and receipt records;
- Production and stock records, transfers to packaging/dispatch;
- Packaging and despatch records;
- Sales records, domestic and export;
- Production plant daily log books;
- Process and Quality Control analytical records;
- Monthly records prepared for Customs & Excise.

From the operational pattern of the plant, dates are selected at random during both CFC and HCFC production periods. The production log books and laboratory analytical records are cross-correlated for these sample days. Samples are requested to be taken from selected storage tanks and packaged product cylinders, and the analysis of these samples witnessed in the laboratory. The analytical results (chromatograph traces) are added to the enterprise data presentations contained in the Annexes to the full report report held in the World Bank, New Delhi Office.

Market Factors

All enterprises commented that the more valuable domestic market for CFCs is shrinking faster than the scheduled phasedown in production as a result of Government initiatives to reduce consumption, forcing them to look increasingly to export markets where they face strong competition, in particular from material produced in China. In addition, there is a world-wide surplus of HCFC 22 such that market prices barely cover production costs and utilizing spare production capacity to produce additional HCFC 22 is unattractive.

It seems very likely that the first step in production rationalization will occur within the next couple of years.

Environmental Issues

Apart from leakage or spillage of chemicals, the main potential for environmental contamination arises from the occasional need to dispose of highly corrosive antimony halide catalyst when it has reached the end of useful working life. With local variations in details, the general procedure is to slurry the catalyst in an alkaline solution for neutralization, then add sufficient lime to complete neutralization and form a semi-solid material. This is allowed to dry out in a settling pond, then bagged or bulked up and taken to dumping sites licensed by the local State Pollution Control Boards. This is a fully acceptable procedure.

India CFC Production Phase-out Project

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Technical and Financial Audit Report

Enterprise Chemplast Sanmar Limited

Office Address 8 Cathedral Road, Chennai 600 086
Tel. No. (044) 827 - 3333
Fax. No. (044)

Plant Address Plant No. 1, Mettur Dam R.S
636 402, Tamil Nadu

Contact Person Mr V Ramachandran
Executive Vice President

Tel. No. 91-4298-30382
Fax. No. 91-4298-30394
E-mail VRCN@SANMARGROUP.COM

CSL Personnel R Somayaji Asst. General Manager
K Kumar Senior Sales Manager
S Vasudevan Senior Chemist

Audit Team M M Chitale
B D Joyner

Date 9 January 2002

Plant Details

CFC and AHF production was established in 1988 on an existing CSL site at Mettur Dam (there are now four CSL sites altogether at Mettur Dam). CTC and CFM come from a neighbouring site although material is also imported for import/export duty reasons. AHF is purchased domestically.

The plant has duplicate reaction systems, one reserved for CFCs and the other for HCFC 22. These are connected to a single purification / distillation system, and a swing from CFC to HCFC is achieved by stopping feeds of raw materials to one reaction system, purging the purification / distillation train of previous product, then starting feeds of raw materials to the other reaction system.

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India CFC Production Phase-out Project

0111/8

Production Details

Production Quota for 2001	MT	1606
Production Quota Traded	MT	Nil
Plant Operation Days	CFCs 11/12	241
	HCFC 22	79
	Not operating	45
Production of CFCs	MT	1601

Percentage of Quota Used 99.69 %

	1999	2000	2001	Industry Norm.
Ratio CFC 11 : CFC 12	23:77	27:73	25:75	40 to 80 % CFC 12
Use of CTC in CFC 11/12 T/T	1.40	1.36	1.41	1.31
Use of AHF in CFC 11/12 T/T	0.33	0.32	0.34	0.31

Calculated use in CFC		11	12
CTC	T/T	1.26	1.46
AHF	T/T	0.18	0.39

Samples Analysis

During their audit on 6 Jan. 2002 the NCL team had requested the analysis of samples from two storage tanks and one cylinder, all of HCFC 22. Copies of the results were given to us. We chose to have one storage tank of CFC 11 and one cylinder of CFC 12 analysed in order that the complete set of chromatograms would demonstrate the usefulness of gas chromatographic analysis for identifying CFCs 11 and 12, and HCFC 22.

Future Strategy

Facing quota limits of 1445 MT in 2002, and 1284 MT in 2003, with the probability that the HCFC 22 market will not improve, Chemplast intend to review their situation mid-2003 and decide whether or not it is worth continuing.

Compliance

With production of 1601 tonnes against their quota of 1606 tonnes, Chemplast Sanmar were in compliance with their quota limit.

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India CFC Production Phase-out Project

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Technical and Financial Audit Report

Enterprise Gujarat Fluorochemicals Limited

Office Address ABS Towers, 2nd Floor, Old Padra Road,
Vadodara 390 007, Gujarat
Tel. No. (91) 265 330-057
Fax. No. (91) 265 310-312

Plant Address 16/3, 28 & 27, Village Ranjitnagar,
Taluka Ghogamba, District Panchmahals, Gujarat

Contact Person Deepak Asher Vice President, Corporate Finance
Tel. No. (91) 265 351-207
Fax. No. (91) 265 310-312
E-mail deepakashersatvam.net.in

GFL Personnel Deepak Asher Vice President, Corporate Finance
D K Sachdeva Vice President, Operations
Joseph Titus Chief General Manager
R J Gujjar Senior Manager, Accounts

Audit Team M M Chitale
B D Joyner

Date 10 January 2002

Plant Details

Production of AHF, CFCs 11 / 12 and HCFC 22 was established in 1989 on a "greenfield" site as part of a State initiative to bring industry to rural areas. All of the AHF produced is for on-site consumption. CTC and CFM are purchased from domestic producers, and by import for duty reasons. The CFC / HCFC plant has a single reactor feeding into a single purification / distillation system. The reactor is connected to two catalyst holding tanks, one for CFC catalyst and one for HCFC catalyst. The procedure for changing from CFC to HCFC production is to stop feeds of CTC and AHF to the reactor, discharge the CFC catalyst to its holding tank, purge the purification / distillation system of previous products, transfer the HCFC catalyst from its holding tank into the reactor and start feeds of CFM and AHF.

Nameplate Capacity	TPA	19000
Verified Capacity (1998)	TPA	18975

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India CFC Production Phase-out Project

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Production Details

Production Quota for 2001	MT	6722
Production Quota Traded	MT	Nil
Plant Operation Days	CFCs 11/12	149
	HCFC 22	177
	Not operating	39
Production of CFCs	MT	6615
Percentage of Quota Used		98.41 %
Ratio of CFC 11:CFC 12	1999 2000 2001 Industry Norm	23:77 27:73 13:87* 40-80% CFC12
Use of CTC in CFCs 11/12 T/T	1.30 1.27 1.30	1.32
Use of AHF in CFCs 11/12 T/T	0.32 0.32 0.35	0.33
* Such a high proportion of CFC 12 is achieved by recycling CFC 11.		
Calculated use in CFC	11 12	
CTC T/T	1.18	1.34
AHF T/T	0.17	0.38

Environmental

During the first part of the year a CTC storage tank at Kandla port was found to be leaking. When all the contents were removed to permit inspection / repair a shortage of 42 tonnes was found. This matter was verified by independent surveyors and a copy of their report had been given to the NCL team in July. They had recommended that any future such event should be reported to the MoEF and to the local PCB.

This recommendation is endorsed.

Processing Illegal Imports

During the year GFL took possession of a total of 269 export-type cylinders of refrigerant that had been seized by Customs as an illegal import. 37 of these cylinders were found to contain water instead of refrigerant. The remaining 232 cylinders (13.6 kg) were discharged into the purification / distillation system for reprocessing, yielding 3 tonnes of CFC 12 which is shown as a purchase.

Samples - A single cylinder of HCFC 22 was chosen to complement the five samples analysed for the NCL team.

Compliance

With production of 6615 tonnes , GFL were comfortably within their quota limit of 6722 tonnes.

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011/11

Technical and Financial Audit Report

Enterprise Navin Fluorine Industries
Chemicals Division of Mafatlal Industries Limited

Office Address Mafatlal Chambers – A, 2nd Floor
N.M. Joshi Marg, Lower Parel (E), Mumbai-400 013
Tel.No. (22) 527 – 4003

Plant Address Bhestan – 395023, Surat, Gujarat
Tel. No. 890325 – 329
Fax. No. 0261 – 890288

Contact Person P Roychowdhury General Manager – Accounts
Tel. No. (22) 527 – 4003
Fax. No. (22) 524 – 0421
E-mail p.roychowdhury@mafnav.com

Navin Personnel P Roychowdhury General Manager – Accounts
V K Mathur Sen. General Manager – Works
Ketan Sablok Dep. Manager – Accounts
S Srinivas Plants Manager

Audit Team M M Chitale
B D Joyner

Date 11 January 2002

Plant Details

Navin have two completely separate production units, both capable of swing operation between CFCs 11/12 and HCFC 22 production, although for the past two years they have been able to dedicate one unit for each of CFCs and HCFC. The first unit dates from 1967, the second from 1995, and they are referred to as Line 1 and Line 2 respectively.

Also, a single refrigeration unit provides cooling for both sets of distillation equipment so the best economy of power usage is achieved by operating both lines at the same time. Apart from minor variations, this was achieved for most of the year, but high stocks of HCFC 22, combined with low demand for the product, has meant that this unit has not operated since mid-November.

AHF is produced on site for CFC / HCFC production and for sale. CTC and CFM are purchased from both domestic and import sources.

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India CFC Production Phase-out Project

0111/12

There is also a small (660 tpa) CFC 113 plant. This had not been operated for some time when the Validation Mission took place in December 1998 , so this capacity was not verified. Records seem to show that the maximum quantity that has ever been produced in a single year is 214 tonnes, so this can be regarded as the Verified Capacity.

The pattern of operation on this unit is to produce a quantity of crude (impure and unsaleable) CFC 113 , then to purify it to useable quality in batches as needed. Because of its impure state the crude CFC 113 can be regarded as material " in process " and only the purified material counted as Production for audit purposes. On a technical and quality basis this is a reasonable procedure provided that accurate and transparent records are maintained. This requirement has been emphasised to Navin.

However, a much simpler system would be to count Production of crude CFC 113 as part of the CFC Production Quota, since then subsequent production of CFC 113a, or CFC 114a, need not be counted. The only requirement would be for Navin to provide documentary evidence of sale of CFC 113a as a chemical intermediate, or of in-house use as a chemical intermediate. In both cases, such use would count as a credit against the Quota. This suggestion is to be recommended to NFI by NCL, the Ozone Cell and the PMU.

Nameplate capacity CFCs 11 / 12	TPA	19800
Verified capacity (1998)	TPA	13448
Nameplate capacity CFC 113	TPA	660
" Verified " capacity	TPA	214

Production Details

Production Quota for 2001	MT	4960	
Production Quota Traded	MT	Nil	
Plant Operation days	Line 1	CFCs 11/12	198
		Not operating	167
	Line 2	HCFC 22	195
		Not operating	166
	113 unit	CFC 113	10
Production of CFCs 11 / 12	MT	4944	
Production of CFC 113	MT	15	
Percentage of Quota used		99.98 %	

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India CFC Production Phase-out Project

Ratio CFC 11:CFC 12		1999	2000	2001	Industry Norm
		29:71	28:72	24:76	40-80% CFC 12
Use of CTC in CFC 11/12	T/T	1.29	1.31	1.30	1.31
Use of AHF in CFC 11/12	T/T	0.31	0.31	0.32	0.31
Calculated use in CFC			11	12	
CTC	T/T		1.18	1.34	
AHF	T/T		0.17	0.37	

Production of CFC 113a for Chemical Intermediate Use

An activity unique to NFI out of the four producers is that they have a process for converting CFC 113 into CFC 113a, a version that is much more useful for onward chemical conversion into other materials (chemical intermediate use). Both CFC 113 and CFC 113a are listed as ODP substances, but the Montreal Protocol permits continuing production of CFCs for chemical intermediate use, since in the conversion process the CFCs are "destroyed" and no longer have an ODP.

On this basis, that quantity of CFC 113 which is converted via CFC 113a into some other non-ODP material is not counted as part of a quota.

Because of uncertainty of how to regard CFC 113a in 2000, the holding of CFC 113a was not counted as part of the CFCs stock at the end of 2000, but is now shown as part of the opening stock at the start of 2001.

NFI described an experimental programme in which CFC 113a is fluorinated to CFC 114a, which is then used as a feedstock for production of HFC 134a.

This is showing promise, and a quantity of 5.5 tonnes of CFC 113a has been consumed so far. Chemically, this is certainly a possible route to make HFC 134a but it is essential in future that laboratory records are made available to verify the consumption. On the basis of the discussion the consumption of the quantity of 5.5 tonnes was accepted.

It was emphasised to NFI that rigorous evidence of use as a chemical intermediate would be needed to justify the appropriate quantity of CFC as outside the quota. At the end of any accounting period stocks of CFC 113 and CFC 113a should be counted as part of quota until subsequent conversion to a chemical intermediate resulted in a credit against quota.

Environmental

During the first part of the year a quantity of 22.88 tonnes of CTC was lost in an accident to a road tanker, and is verifiable in the records. The NCL team had noted in July that this incident had not been reported to a local or State PCB, and felt that this action should have been taken.

This comment is endorsed.

mmc/bdj/01/2002

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India CFC Production Phase-out Project

01/11/14

Samples Analysis

During their audit on 9 Jan. the NCL team had selected an export cylinder of HCFC 22 for identification analysis, a copy of which was given us. We selected samples from a storage tank of CFC 12 and a cylinder of HCFC 22. All results showed compliance with the stated identities.

Compliance

Accepting the doubt about the 5.5 tonnes of CFC 113a consumed, Navin are marginally inside their quota, having production of 4958 tonnes against a quota of 4960 tonnes.

mmpc / bdj / 01 / 2002

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India CFC Production Phase-out Project

0111/15

Technical and Financial Audit Report

Enterprise SRF Limited (Fluorochemicals Division)

Office Address A-16, Aruna Asaf Ali Marg, Qutab Industrial Area
New Delhi 110 067
Tel. No. (91) 11 685 7141

Plant Address Village Jhiwana, Tehsil Tizara, Alwar District,
Rajasthan 301 019
Tel. No. 01493 - 20288
Fax. No. 01493 - 21125

Contact Person Rajdeep Anand Sen. Vice President

SRF Personnel V K Trehan Dep. General Manager - Works
R Kaul Chief Manager-International Trade
K Chalam Sen. Manager (CMS & Filling Plant)
S K Sharma Manager - Technical Accounts
L Sharma Chief Manager (Ref. Gases & HF)

Audit Team M M Chitale
B D Joyner

Date 14 January 2002

Plant Details

Established in 1989, the site produces both AHF and chloromethanes, both for use on site and for sale. CTC and CFM are also imported for duty reasons. The refrigerant gases plant has twin reactors, one for CFCs and the other for HCFC 22, both feeding into a single purification / distillation system. Thus only CFCs or HCFC 22 can be produced at any one time. Changeover is achieved by shutting down one reactor, purging the rectification system and starting up the other reactor.

SRF do not currently measure Gross Production, but work back from the filling / packaging weights to arrive at a total of product produced. They have experimented with mass Flow Meters in line to their product storage tanks but found them very unreliable because of cavitation problems, a common problem with liquefied gases. Discussion revealed that they have a number of small daily product make tanks, mounted on load cells, and it was suggested that they look into the feasibility of totaling up the daily production quantities to arrive at a figure for Gross Production.

mrra / bdj / 01 / 2002

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India CFC Production Phase-out Project

Measurements of actual losses during cylinder filling show losses ranging from 0.8 to 0.9 % , so it was agreed that an overall loss figure of 1.5 % should be used.

Nameplate Capacity	TPA	25000
Verified Capacity (1998)	TPA	26771

Production Details

Production Quota for 2001	MT	5536
Production Quota Traded	MT	Nil
Plant Operation days	CFCs 11/12	97
	HCFC 22	137
	Not operating	131

Production of CFCs	MT	5518
--------------------	----	------

Percentage of Quota Used		99.67
--------------------------	--	-------

	1999	2000	2001	Industry Norm
Ratio CFC 11:CFC 12	29:71	35:65	38:62	40-60% CFC 12
Use of CTC in CFC 11/12 T/T	1.29	1.27	1.26	1.30
Use of AHF in CFC 11/12 T/T	0.31	0.29	0.29	0.29
Calculated use in CFC		11	12	
CTC T/T		1.18	1.34	
AHF T/T		0.16	0.37	

Processing Illegal Imports

During the year SRF accepted a total of 1100 x 13.6 kg export-type cylinders (light gauge, non-refillable) of CFC 12 seized by Customs as an illegal import. In purchasing this material, SRF were required to give two assurances :-

- that the material would be re-exported
- that the cylinders, which are not allowed for domestic use, would be destroyed

We were shown correspondence between SRF and the Controller of Explosives verifying destruction of the cylinders. The reclaimed material (14.96 tonnes) in a separate storage tank and it is shown in the records as a purchase. It is hard to understand why the material has not already been re-exported given that over 70 % of SRF's production of CFC 12 is exported.

India CFC Production Phase-out Project

0111/17

Samples Analysis

Export-type cylinders of CFC 12 and HCFC 22 selected for analysis, the NCL team having chosen an HCFC 22 cylinder but no copy of the analysis was available. The respective materials were correctly identified and the chromatograms added to the data pack provided by SRF.

Compliance

With a total production of 5518 tonnes, SRF are comfortably below their Quota Target of 5536 tonnes.

mmc / bdj / 01 / 2002

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0111/18

INDIA

CFC Production Phase-out Schedule

YEAR	Reduction from Baseline %	Quota Target MT	Actual Production MT	Quota %
1999	Freeze	22589	22411	98.21
2000	8.33	20706	20407	98.56
2001	16.66	18824	18693	99.30
2002	25.00	16941		
2003	33.33	15058		
2004	41.66	13176		
2005	50.00	11294		
2006	67.50	7382		
2007	85.00	3389		
2008	90.00	2259		
2009	95.00	1130		
2010	100.00	0		

Note : The total production capacity verified in 1998 was 61253 MT

Annex I

0111/19

Questionnaire for
ODS Production Phase Out Verification (Including Gradual Closure)

A. Plant Identification

Name of Enterprise : CHEMPLAST SANMAR LIMITED
 Plant Ref. Number* :
 Sector Plan #* :
 SRI # * :
 Address of the Plant : PLANT NO.1, METTUR DAM R.S.
 636 402, TAMILNADU, INDIA
 Contact person(s) and Functional Title : MR V RAMACHANDRAN
 EXE VICE PRESIDENT
 Telephone Number : 91-4298-30382 TO 85
 Fax Number : 91-4298-30394
 E-mail Address : VRCN@SANMARGROUP.COM

B. Verification

BRIAN. D. JOYNER, MUKUND. M. CHITALE

Team Composition

Leader

Name : Brian D. Joyner.

Functional Title : Chartered chemist.

Member(s)

Name : Mukund M. Chitale.

Functional Title : Chartered accountant

Date of Plant Visit : 9.1.2002

Duration of Visit : 9AM to 5Pm

*As applicable, e.g. SRI# for China's CFC plants.

M. R. Somayaji
M. R. SOMAYAJI
 ASST. GENERAL MANAGER
 CHEMPLAST SANMAR LTD PLANT-I.
 METTUR DAM - 636 402.
 SALEM (Dt) INDIA.

0111/20²

C. PLANT HISTORY

Date of construction						
ODS Products	No. of Lines	Capacity in Baseline Year*	Production **			
			Baseline Year*	Year 1 1999	Year 2 2000	Year 3 2001
CFC-11				1484.974	1823.072	1600.976
CFC-12						
CFC-13						
CFC-113						
CFC-114/115						
Raw materials Production ***						
HF						
CTC						

* The year from which data is used for approving the ODS production phase out project.

** Till the year prior to verification.

*** This applies to plants where production of either HF or CTC or both is integrated.

D. PLANT ACTIVITY IN THE YEAR VERIFIED

I. Plant for complete closure : Not applicable

No of CFC 11/12 lines closed :

Date of CFC production ceased :

Date of dismantling completed :

Verification of destruction of key components by : [Name of certifying body]

Reactor tank(s) dismantled and destroyed : Yes/No

Control and monitoring equipment dismantled and destroyed : Yes/No

Pipes dismantled and destroyed : Yes/No

Utilities dismantled and destroyed : Yes/No

01/11/21

Evidence of destruction (photos or videos)

Chance of resuming production : Yes/No

Assessment by the verification team to be included in the verification report

II. PLANT FOR GRADUAL CLOSURE

Annual CFC-11/12 quotas, production, sales and stocks since the baseline year*
(Please use one table for each CFC product)

in MT				
CFC Products (CFC-11)	Baseline Year*	Year 1 1999**	Year 2 2000**	Year 3 2001**
Quota				
Opening Stock at beginning of year		83.672	9.253	1.672
Production		334.609	484.522	405.354
Purchases***				NII
Stocks returned				NII
Sales###		408.892	475.480	364.235
Closing Stock at end of year*		9.253	1.672	42.831

* The year from which data is used to approve the ODS production phase out project.

** Till the year of the verification

*** Any external purchases including seized CFCs from customs, are to be included in this.

For the years 1999 and 2000, Sales figures do not include Metrosol and Samples.

Annual HF/CFC and CTC/CFC ratios in MT

Ratio	Baseline year	Year 1 1999*	Year 2 2000*	Year 3 2001*	Year 4 2002	Year 5 2003
CFC-11						
HF/CFC-11 ratio		0.189	0.168	0.176		
CTC/CFC-11		1.251	1.221	1.263		
CFC-12						
HF/CFC-12		0.381	0.379	0.395		
CTC/CFC-12		1.454	1.417	1.464		

* Till the year of the verification.

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Operational days per year

Type of production	Baseline Year	Year 1 1999	Year 2 2000	Year 3 2001*	Year 4	Year 5	Year 6
CFC 11		171	231	241			
CFC 12		171	231	241			

* Till the year of the verification

Monthly CFC-11 Production and Raw Material consumption*

Month	CFC-11	No. of operating days	CFC-11 Production MT	CTC/ CFC-11 Ratio MT	CTC Opening Stock MT	CTC procured or added to stock MT	CTC Closing Stock MT
Jan-01		31	59.069	1.274	290.958	231.670	22.097
Feb-01		28	66.425	1.246	222.097	270.870	186.788
Mar-01		26	58.955	1.214	186.788	193.960	70.702
Apr-01		17	35.026	1.253	70.702	150.270	40.883
May-01		28	47.482	1.236	40.883	270.720	40.701
Jun-01		14	26.524	1.280	40.701	120.470	31.400
Jul-01		21	30.289	1.255	31.400	180.020	32.167
Aug-01		22	33.397	1.242	32.167	191.980	39.506
Sep-01		6	0.000	0.000	39.506	55.440	72.824
Oct-01		27	36.774	1.420	72.824	218.230	102.700
Nov-01		21	11.473	1.231	102.700	146.580	59.489
Dec-01		0	0.000	0.000	59.489	0.000	59.489

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CFC Production and HF consumption

Month	CFC-11	No. of operating days	CFC-11 Production MT	HF/ CFC-11 Ratio MT	HF Opening Stock MT	HF procured or added to stock MT	HF Closing Stock MT
Jan-01		31	59.069	0.171	30.201	75.000	35.770
Feb-01		28	66.425	0.175	35.770	75.600	38.360
Mar-01		26	58.955	0.168	38.360	75.600	27.050
Apr-01		17	35.026	0.169	27.050	79.200	32.630
May-01		28	47.462	0.174	32.630	68.400	34.440
Jun-01		14	26.524	0.173	34.440	57.600	33.769
Jul-01		21	30.289	0.189	33.769	97.200	61.865
Aug-01		22	33.397	0.171	61.865	3.600	6.508
Sep-01		6	0.000	0.000	6.508	75.600	47.044
Oct-01		27	36.774	0.218	47.044	43.200	43.898
Nov-01		21	11.473	0.166	43.898	81.200	41.266
Dec-01		0	0.000	0.000	41.266	46.800	57.624

Similar tables should be provided for CFC-12 and CFC-113

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Evidence of destruction (photos or videos)

Chance of resuming production : Yes/No

Assessment by the verification team to be included in the verification report

II. PLANT FOR GRADUAL CLOSURE

Annual CFC-11/12 quotas, production, sales and stocks since the baseline year*
(Please use one table for each CFC product)

in MT

CFC Products (CFC-12)	Baseline Year*	Year 1 1999**	Year 2 2000**	Year 3 2001**
Quota				
Opening Stock at beginning of year		96.996	3.584	21.903
Production		1150.365	1338.547	1195.582
Purchases***				Nil
Stocks returned				Nil
Sales ###		1243.468	1291.883	1212.665
Closing Stock at end of year*		3.584	21.903	4.820

* The year from which data is used to approve the ODS production phase out project.

** Till the year of the verification

*** Any external purchases including seized CFCs from customs, are to be included in this.

For the years 1999 and 2000, Sales figures do not include Mettropol and Samples.

Annual HF/CFC and CTC/CFC ratios

in MT

Ratio	Baseline year	Year 1 1999*	Year 2 2000*	Year 3 2001*	Year 4 2002	Year 5 2003
CFC-11						
HF/CFC-11 ratio		0.169	0.166	0.176		
CTC/CFC-11		1.251	1.221	1.283		
CFC-12						
HF/CFC-12		0.381	0.379	0.385		
CTC/CFC-12		1.454	1.417	1.464		

* Till the year of the verification.

Operational days per year

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Type of production	Baseline Year	Year 1 1999	Year 2 2000	Year 3 2001*	Year 4	Year 5	Year 6
CFC 11		171	231	241			
CFC 12		171	231	241			

* Till the year of the verification

Monthly CFC-12 Production and Raw Material consumption*

Month	CFC-12	No. of operating days	CFC-12 Production MT	CTC/ CFC-12 Ratio MT	CTC Opening Stock MT	CTC procured or added to stock MT	CTC Closing Stock MT
Jan-01		31	152.272	1.479	290.958	231.870	222.097
Feb-01		28	154.476	1.447	222.097	270.970	186.788
Mar-01		26	169.324	1.409	186.788	193.960	70.702
Apr-01		17	93.858	1.454	70.702	150.270	40.883
May-01		28	148.045	1.434	40.883	270.720	40.701
Jun-01		14	64.496	1.486	40.701	120.470	31.400
Jul-01		21	96.914	1.457	31.400	180.020	32.167
Aug-01		22	99.302	1.442	32.167	191.980	39.506
Sep-01		6	6.114	3.618	39.506	55.440	72.824
Oct-01		27	89.867	1.515	72.824	218.230	102.700
Nov-01		21	121.114	1.450	102.700	146.580	59.489
Dec-01		0	0.000	0.000	59.489	0.000	59.489

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CFC Production and HF consumption

Month	CFC-12	No. of operating days	CFC-12 Production MT	HF/ CFC-12 Ratio MT	HF Opening Stock MT	HF procured or added to stock MT	HF Closing Stock MT
Jan-01		31	152.272	0.389	30.201	75.000	35.770
Feb-01		28	154.476	0.397	35.770	75.600	38.360
Mar-01		26	169.324	0.380	38.360	75.600	27.050
Apr-01		17	93.658	0.383	27.050	79.200	32.630
May-01		28	148.045	0.394	32.630	68.400	34.440
Jun-01		14	64.496	0.392	34.440	57.600	33.769
Jul-01		21	96.914	0.393	33.769	97.200	61.865
Aug-01		22	99.302	0.388	61.865	3.600	6.508
Sep-01		8	6.114	0.834	6.508	75.600	47.044
Oct-01		27	89.867	0.426	47.044	43.200	43.898
Nov-01		21	121.114	0.388	43.898	61.200	41.266
Dec-01		0	0.000	0.000	41.266	46.800	57.624

Annexure I

Questionnaire for ODS Production Phase Out Verification (including Gradual Closure)

A Plant Identification

Name of enterprise Gujarat Fluorochemicals Limited
 Plant Ref Number
 Sector Plan
 SRI
 Address of the plant 16/3, 26 & 27, Village Ranjilnagar, Taluka Ghoghamba, District Panchmahals, Gujarat, India 389380
 Contact person(s) Deepak Asher
 and functional title Vice President (Corporate Finance)
 Telephone Number + 91 (265) 351-207 / 330-057
 Fax Number + 91 (265) 310-312
 Email address deepakasher@satyam.net.in

B Verification

Team composition

Leader

Name

Mr Brian Joyner

Functional Title

Member(s)

Name

Mr Mukund Chitale

Functional Title

Date of visit

10th January, 2002.

Duration of visit

One day

C Plant History

Date of construction 01st October, 1983 (commencement of commercial production)

ODS products	No of lines	Capacity in baseline year	Production			
			Baseline year	Year 1 (1999)	Year 2 (2000)	Year 3 (2001)
CFC11	one	19000	6067	1608	1559	847
CFC12				5607	5793	5768

Raw Material Production	No of lines	Capacity in baseline year	Production			
			Baseline year	Year 1 (1999)	Year 2 (2000)	Year 3 (2001)
HF	one	4600		4360	5457	5204

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D Plant activity in the year verified

i Plant for complete closure

Not applicable

ii Plant for gradual closure

Annual CFC11/12 quotas, production, sales & stocks since baseline year (please use one table for each CFC product)

CFC products (CFC11)	Baseline Year	Year 1 (1999)	Year 2 (2000)	Year 3 (2001)
Quota	8067	7482	7482	6722
Opening stock		250	4	22
Production		1808	1558	847
Purchases		0	0	0
Stock returned		4	20	3
Sales		-2058	-1582	-866
Closing stock		4	22	6

CFC products (CFC12)	Baseline Year	Year 1 (1999)	Year 2 (2000)	Year 3 (2001)
Quota	as given alongside			
Opening stock		508	65	35
Production		5807	5793	5768
Purchases		0	0	3
Stock returned		9	17	28
Sales		-6053	-5840	-5798
Closing stock		65	35	36

Annual HFC/CFC and CTC/CFC ratios

Ratio (CFC11)	Baseline Year	Year 1 (1999)	Year 2 (2000)	Year 3 (2001)
HF / CFC11		0.164	0.165	0.165
CTC / CFC11		1.175	1.174	1.179

Ratio (CFC12)	Baseline Year	Year 1 (1999)	Year 2 (2000)	Year 3 (2001)
HF / CFC12		0.372	0.375	0.375
CTC / CFC12		1.335	1.334	1.340

HF and CTC consumption is segregated between CFC11 and CFC12 based on industry accepted norms.

Operational days per year

Type of Production	Baseline Year	Year 1 (1999)	Year 2 (2000)	Year 3 (2001)
CFC11 / CFC12		166	153	149
HCFC22		177	164	177
Charge moved/shut down		22	29	39
Total		365	346	365

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Monthly CFC11/12 production and raw material consumption

CFC production and CTC consumption

Month	No. of operating days	CFC11 production	CFC12 production	CTC/ CFC11 ratio	CTC/ CFC12 ratio	CTC opening stock	CTC procured/ added	CTC consumed	CTC closing stock
Jan	30	295	1027	1.208	1.373	1794	189	-1765	218
Feb	0	2	-1	0.000	0.000	218	0	0	218
Mar	29	180	1047	1.168	1.327	218	1621	-1601	438
Apr	7	0	321	1.162	1.320	438	1060	-424	1064
May	26	112	1135	1.172	1.331	1064	1401	-1642	823
Jun	4	37	146	1.217	1.382	823	991	-246	1568
July	20	58	829	1.171	1.331	1568	0	-1171	397
August	7	86	250	1.195	1.368	397	1080	-443	1044
September	15	2	662	1.142	1.297	1044	1046	-648	1242
October	8	75	234	1.170	1.330	1242	0	-388	844
November	3	0	128	1.171	1.331	844	0	-171	673
December	0	0	0	0.000	0.000	673	1050	-16	1707

CFC production and AHF consumption

Month	No. of operating days	CFC11 production	CFC12 production	HF/ CFC11 ratio	HF/ CFC12 ratio	HF opening stock	HF procured/ added	HF consumed	HF closing stock
Jan				0.164	0.374	79	432	-433	78
Feb				0.000	0.000	78	463	-473	58
Mar				0.166	0.378	58	476	-426	108
Apr				0.166	0.378	108	347	-352	103
May				0.166	0.377	103	438	-447	94
Jun				0.167	0.380	94	365	-430	29
July			as above	0.162	0.370	29	521	-454	96
August				0.168	0.382	96	468	-455	107
September				0.183	0.370	107	419	-442	84
October				0.166	0.378	84	333	-360	27
November				0.167	0.379	27	538	-479	86
December				0.000	0.000	86	446	-441	91

01/1/28



01/1/20

NAVIN FLUORINE INDUSTRIES

(CHEMICAL DIVISION OF MAFATLAL INDUSTRIES LTD)

FACTORY : BHUSTAN, SURAT - 395 023,
GUJARAT, INDIA.

TEL : 690325-329, 691033-035, 690250, 690595

FAX : 0261-690288, TELEX: 0188-210 NFI IN

GRAM : 'NAVINCHEM' SURAT.

Annex-I

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Annexure - I

Questionnaire for ODS Production Phase Out Verification (Including Gradual Closure)

A. Plant Identification

Name of Enterprise : NAVIN FLUORINE INDUSTRIES
Chemicals Division Of Mafatlal Industries Limited
Plant Ref. Number * :
Sector Plan # * :
SRI # * :
Address of the Plant : Bhustan - 395023, Surat, India
Contact person(s) and Functional Title : Mr Partha Roychowdhury
General Manager - Accounts
Telephone Number : +91(22) 5274003
Fax Number : +91(22) 5240421
E-mail Address : p_roychowdhury@mafnav.com

B. Verification

Team Composition :

Leader :

Name :

Functional Title :

Members(s) :

Name :

Functional Title :

Name :

Functional Title :

Date of Plant Visit : 11 th January, 2002

Duration of Visit : 9:00 a.m - 5:00 p.m

* As applicable eg. SRI # for China's CFC plants.



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NAVIN FLUORINE INDUSTRIES

(CHEMICAL DIVISION OF MAFATLAL INDUSTRIES LTD)

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C. Plant History

Date of construction :						
ODS Products	No. of Lines	Capacity in		Production **		
		Baseline Year *	Baseline Year *	Year 1 1999	Year 2 2000	Year 3 2001
CFC-11				2077.066	1432.230	1175.340
CFC-12				5124.272	3741.500	3768.730
CFC - 11 / 12 (Combined)	2	13488.000	5952.000	7201.338	5173.730	4944.070
CFC-13						
CFC-113	1	660.000			5.485	14.841
CFC-113a						0.301
CFC-114/115						
Raw Materials						
Production ***						
HF (for CFC)				2235.900	1611.900	1591.100
CTC						

Note : CFC - 11 / 12 Capacity in baseline year is Verified Capacity.

CFC - 113 Capacity in baseline year is Nominal Capacity.

Line 2 is operating only HCFC 22.

- * The year from which data is used for approving the ODS production phase out project.
- ** Till the year prior to the verification
- *** This applies to plants where production of either HF or CTC or both is integrated.

D. Plant Activity in the Year Verified**1. Plans for complete Closure : (Not Applicable)**

No. of CFC-11/12 lines closed	:	
Date of CFC production ceased	:	
Date of dismantling completed	:	
Verification of destruction of key components by [Name of certifying body]	:	
Reactor tank(s) dismantled and destroyed	:	Yes/No
Control and monitoring equipment dismantled and destroyed	:	Yes/No
Pipes dismantled and destroyed	:	Yes/No
Utilities dismantled and destroyed	:	Yes/No
Chance of resuming production	:	Yes/No
Assessment by the verification team to be included in the verification report	:	



01/11/3

NAVIN FLUORINE INDUSTRIES

(CHEMICAL DIVISION OF MAFATLAL INDUSTRIES LTD)

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GRAM : 'NAVINCHEM' SURAT.

II. Plant for gradual closure

Annual CFC-11/12, CFC-113 quotas, production, sales and stocks since the baseline year *
(Please use one table for each CFC product)

CFC Products (CFC-11, CFC-12, CFC-113, CFC-113a)	Baseline Year *	Year 1 # 1999	Year 2 # 2000	Year 3 ** 2001
Quota	5952.000	7335.000	5249.000	4960.000
Opening Stock at beginning of year		763.966	280.468	233.514
Net Saleable Production		7201.338	5179.215	4959.212
Purchases ***				
Sales		7604.030	5252.190	5000.143
Closing stock at end of year		280.468	207.493	192.581

Note : Quota in Year 1, Year 2 & Year 3 is after trading of quota with other producers.

* The year from which date is used to approve the ODS production phase out project.

** Till the year of the verification.

*** Any external purchases including seized CFCs from customs, are to be included in this.

Opening and Closing Stocks do not include CFC-113a stocks.

Annual HF / CFC and CTC / CFC ratios

Ratio	Baseline Year	Year 1 1999	Year 2 2000	Year 3 2001	Year 4	Year 5	Year
CFC-11		2077.066	1432.230	1175.340			
HF / CFC-11		0.163	0.163	0.166			
CTC / CFC-11		1.174	1.181	1.184			
CFC-12		5124.272	3741.500	3768.730			
HF / CFC-12		0.370	0.365	0.367			
CTC / CFC-12		1.346	1.349	1.342			

* Till the year of the verification



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NAVIN FLUORINE INDUSTRIES

CHEMICAL DIVISION OF MAFATLAL INDUSTRIES LTD.

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Annual HF / CFC and PCF / CFC ratios

Ratio	Baseline Year	Year 1 1999	Year 2 2000	Year 3 2001	Year 4	Year 5	Year 6*
CFC-113 (Ratio) (As Proposed)				17.587			
HF/CFC-113				0.436			
PCF/CFC-113				1.110			
CFC-113							
HF/CFC-113				N/A			
PCF/CFC-113				N/A			
CFC-113a							
HF/CFC-113a				N/A			
PCF/CFC-113a				N/A			

* Till the year of the verification

Operational days per year

Type of Production	Baseline Year	Year 1 1999	Year 2 2000	Year 3 2001	Year 4	Year 5	Year 6*
CFC-113		275.34	180.46	197.74			
CFC-113a			6.80	10.00			
CFC-113b				2.00			

* Till the year of the verification

Monthly CFC-11/12 production and raw material consumption

CFC-11 Production and CTC consumption

Month	CFC-11	No. of Operating days	CFC-11 Production	Filling / Handling Loss	Net Soluble Production	CTC / CFC-11 Ratio	CTC Opening Stock	CTC Produced or added	CTC Closing Stock	CTC Consumption in CFC-11
January		31.003	164,000	1,900	162,100	1.166	790,378	288,790	403,068	189,000
February		12.858	77,000	3,140	73,860	1.201	403,068	104,263	103,620	88,070
March		20.250	119,000	2,200	116,800	1.173	103,620	132,140	446,170	137,028
April		15.000	76,000	2,000	74,000	1.173	446,170	140,400	128,060	26,810
May		20.000	102,700	3,040	99,660	1.179	128,060	120,250	487,420	187,170
June		20.000	108,300	3,800	104,500	1.193	487,420	126,215	1089,735	124,930
July		26.030	92,500	3,000	89,500	1.190	1089,735	124,580	484,515	106,510
August		11.600	73,500	2,550	70,950	1.192	484,515	1067,035	1163,380	44,520
September		15.499	122,000	3,250	118,750	1.183	1163,380	1264,643	1809,693	140,320
October		8.210	45,000	2,280	42,720	1.215	1809,693	97,040	1399,133	51,200
November		17.792	161,000	4,180	156,820	1.186	1399,133	1160,772	2107,910	185,690
December		6.500	8,000	1,620	6,380	1.444	2107,910	29,460	2042,970	9,710
Total		197.764	1289,300	33,850	1255,450	1.186		7950,182		1291,980

CFC-11 Production and HF consumption

Month	CFC-11	No. of operating days	CFC-11 Production	Filling / Handling Loss	Net Soluble Production	HF / CFC-11 Ratio	HF Opening Stock	HF Produced or added	HF Closing Stock	HF Consumption in CFC-11
January		31.003	164,000	1,900	162,100	0.164	143			



01/1/24

NAVIN FLUORINE INDUSTRIES

(CHEMICAL DIVISION OF MAFATLAL INDUSTRIES LTD.)

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GRAM : "NAVINCHEM" SURAT.

CFC - 12 Production and CTC consumption

Month	No. of Operating days	CFC-12 Production	Filling / Head Loss / Other Issues	Net Saleable Production	CTC / CFC-12 Ratio	CTC Opening Stock	CTC Produced or added	CTC Closing Stock	CTC Consumption in CFC
January	As CFC 12 is	310,000	1,620	308,380	1.337	790,378	229,790	403,068	412
February	produced in the	233,000	3,500	229,500	1.349	403,068	1046,262	1036,130	309
March	same plant as	439,000	2,000	437,000	1.335	1036,130	132,140	446,170	58
April	CFC 11 thus we	284,000	3,540	280,460	1.343	446,170	1403,400	1383,060	376
May	will have	620,700	5,280	615,420	1.347	1383,060	120,260	487,420	825
June	common	373,000	3,000	370,000	1.340	487,420	1226,215	1089,735	494
July	operating days.	467,900	6,100	461,800	1.345	1089,735	126,580	484,515	621
August	Refer CFC 11.	227,000	2,540	224,460	1.340	484,515	1067,035	1162,350	300
September		304,000	2,060	301,940	1.337	1162,350	1204,643	1805,693	403
October		185,500	2,400	183,100	1.347	1805,693	97,040	1599,133	246
November		354,000	2,280	351,720	1.339	1599,133	1169,277	2107,910	471
December		7,000	2,050	4,950	1.877	2107,910	29,460	2062,970	9
Total		3805,100	36,370	3768,730	1.342		7853,102		5059

CFC - 12 Production and CTC consumption

Month	No. of operating days	CFC-12 Production	Filling / Head Loss / Other Issues	Net Saleable Production	HF/ CFC-12 Ratio	HF Opening Stock	HF Produced/ or added	HF Closing Stock	HF Consumption in CFC
January	As CFC 12 is	310,000	1,620	308,380	0.371	143,907	332,000	93,957	114
February	produced in the	233,000	3,500	229,500	0.375	93,957	454,000	119,244	85
March	same plant as	439,000	2,000	437,000	0.374	119,244	504,000	88,752	163
April	CFC 11 thus we	284,000	3,540	280,460	0.370	88,752	900,000	129,396	103
May	will have	620,700	5,280	615,420	0.369	129,396	738,000	77,574	227
June	common	373,000	3,000	370,000	0.369	77,574	716,000	70,682	136
July	operating days.	467,900	6,100	461,800	0.369	70,682	798,000	79,111	179
August	Refer CFC 11.	227,000	2,540	224,460	0.369	99,111	714,000	121,092	82
September		304,000	2,060	301,940	0.369	121,092	720,000	96,599	111
October		185,500	2,400	183,100	0.368	96,599	713,000	58,946	67
November		354,000	2,280	351,720	0.368	58,946	657,000	79,624	129
December		7,000	2,050	4,950	0.523	79,624	482,000	40,140	2
Total		3805,100	36,370	3768,730	0.367		7638,000		1395



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NAVIN FLUORINE INDUSTRIES

(CHEMICAL DIVISION OF MAFATLAL INDUSTRIES)

FACTORY : BHESTAN, SURAT - 395 023,

GUJARAT, INDIA

TEL : 690325-329, 691033-035, 890250, 690351

FAX : 0261-690288, TELEX: 0188-210 NFI IN

GRAM : "NAVINCHEM" SURAT.

CFC - 113 Crude (In Process) Production and PCE consumption

Month	CFC-113 Crude In Process	Production	PCE/ CFC-113 Ratio	PCE Opening Stock	PCE Procured or added	PCE Closing Stock	PCE Consump. in CFC-113
January				21.946		21.946	
February				21.946		21.946	
March				21.946		21.946	
April		17.587	1.110	21.946		2.416	19.530
May				2.416		2.416	
June				2.416		2.416	
July				2.416		2.416	
August				2.416		2.416	
September				2.416		2.416	
October				2.416		2.416	
November				2.416		2.416	
December				2.416		2.416	
Total		17.587			0.000		19.530

CFC - 113 Crude (In Process) Production and HF consumption

Month	CFC-113 Crude In Process	Production	HF/ CFC-113 Ratio	HF/ Opening Stock	HF/ Procured or added	HF/ Closing Stock	HF/ Consump. in CFC-113
January				143.907	332.000	93.957	
February				93.957	454.000	119.244	
March				119.244	504.000	88.752	
April		17.587	0.426	88.752	900.000	129.530	7.491
May				129.536	738.000	77.574	
June				77.574	716.000	70.682	
July				70.682	708.000	93.111	
August				93.111	714.000	121.092	
September				121.092	720.000	96.599	
October				96.599	713.000	58.946	
November				58.946	657.000	73.624	
December				73.624	482.000	40.140	
Total		17.587			7638.000		7.491



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NAVIN FLUORINE INDUSTRIES

(CHEMICAL DIVISION OF MAFATLAL INDUSTRIES LTD.)

FACTORY : BHESTAN, SURAT - 385 023,
GUJARAT, INDIA
TEL. : 690325-329, 691033-035, 690250, 690595
FAX : 0261-690288, TELEX: 0188-210 NFI IN
GRAM : "NAVINCHEM" SURAT.

CFC - 113 Pure Production and PCE consumption

Month	CFC-113 Pure	No. of Operating days	CFC-113 Production	PCE/ CFC-113 Ratio	PCE Opening Stock	PCE Procured or added	PCE Closing Stock
January		0.10	0.090				
February		0.50	0.525				
March		5.00	5.446				
April		0.05	0.035				
May		2.00	5.420				
June		0.25	0.385				
July		0.10	0.070				
August		0.50	0.665				
September		0.00	0.000				
October		0.50	0.525				
November		0.00	0.000				
December		1.00	1.680				
Total		10.000	14.841	0.000	0.000	0.000	0.000

Not Applicable

Does not Consume PCE

CFC - 113 Pure Production and HF consumption

Month	CFC-113 Pure	No. of Operating days	CFC-113 Production	HF/ CFC-113 Ratio	HF/ Opening Stock	HF/ Procured or added	HF/ Closing Stock
January		0.100	0.090				
February		0.500	0.525				
March		5.000	5.446				
April		0.050	0.035				
May		2.000	5.420				
June		0.250	0.385				
July		0.100	0.070				
August		0.500	0.665				
September		0.000	0.000				
October		0.500	0.525				
November		0.000	0.000				
December		1.000	1.680				
Total		10.000	14.841	0.000	0.000	0.000	0.000

Not Applicable

Does not Consume HF



0111/37

NAVIN FLUORINE INDUSTRIES

(CHEMICAL DIVISION OF MAFATLAL INDUSTRIES LTD.)

FACTORY : BHESTAN, SURAT - 395 023,

GUJARAT, INDIA,

TEL : 880325-377, 881033-035, 880250, 880595,

FAX : 0261-880288, TELEX: 0188-210 NFI IN

GRAM : 'NAVINCHEM' SURAT.

CFC - 113a Production and PCE consumption

Month	CFC-113a	No. of Operating days	CFC-113 Production	Filling / Hand Loss / Other Issues	Net Saleable Production	PCE/ CFC-113 Ratio	PCE Opening Stock	PCE Procured or added	PCE Closing Stock
January					0.000				
February					0.000				
March		2.00	1.171	0.870	0.301				
April					0.000				
May					0.000				
June					0.000				
July					0.000				
August					0.000				
September					0.000				
October					0.000				
November					0.000				
December					0.000				
Total		2.000	1.171	0.870	0.301	0.000	0.000	0.000	0.00

Not Applicable

Does not Consume PCE

CFC - 113a Production and HF consumption

Month	CFC-113 Pure	No. of Operating days	CFC-113 Production	Filling / Hand Loss / Other Issues	Net Saleable Production	HF/ CFC-113 Ratio	HF Opening Stock	HF Procured or added	HF Closing Stock
January					0.000				
February					0.000				
March		2.000	1.171	0.870	0.301				
April					0.000				
May					0.000				
June					0.000				
July					0.000				
August					0.000				
September					0.000				
October					0.000				
November					0.000				
December					0.000				
Total		2.000	1.171	0.870	0.301	0.000	0.000	0.000	0.00

Not Applicable

Does not Consume HF

01/11/2002

Questionnaire for
ODS Production Phase Out verification (including gradual closure)

A. Plant Identification

Name of Enterprise : SRF Limited
Plant Ref. No. :
Sector Plan No. :
SRI No. :
Address of the plant : Village Jhivana, Tehsil Tizara, Distt. Alwar
Rajasthan, India
Contact person(s) and Functional Title : Rajdeep Anand, Sr. Vice President
Telephone No. : 91-11-685 7141/6857231
Fax No. : 91-11-685 7139/685 4260
e-mail No. : rajdeepanand@ srf-limited.com

B. Verification

Team composition : Mr. Brian Joyner
Leader
Name : Mr. Brian Joyner
Functional title :
Member(s)
Name : Mr. Mukund Chitale
Functional title :
Date of plant visit : January 14, 2002
Duration of plant visit : 10:00 AM - 5:00 PM

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C. Plant History

Date of construction :

ODS Products	No. of lines	Capacity in Baseline year*	Production**			
			Baseline year*	Year 1 1999	Year 2 2000	Year 3 2001
CFC-11	One line common to CFC and HCFC.	25000 TPA				
CFC-12				6267.732	6053.045	5818.113
CFC-13	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
CFC-113	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
CFC-114/115	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
Raw materials production***						
HF	One				4838	4489
CTC	One				7039	4635

Quota figures given are after trading

* The year from which data is used for approving the ODS production phase out project

** Till the year prior to the verification

*** This applies to plants where production of either HF or CTC or both is integrated

D. Plant activity in the verified

I. Plant for complete closure : Not applicable

No. of CFC-11/12 lines closed :

Date of CFC production ceased :

Date of dismantling completed :

Verification of destruction of key components by :

(Name of certifying body)

Reactor tanks(s) dismantled or destroyed :

Yes/No

Control and monitoring equipment dismantled and destroyed :

Yes/No

Pipes dismantled and destroyed :

Yes/No

Utilities dismantled and destroyed :

Yes/No

Annex I
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Evidence of destruction (Photos or
videos)

Chance of resuming production

Yes/No

Assessment by the verification team to
be included in the verification report**II. Plant for gradual closure**Annual CFC-11/12 Quotas, production, sales and stocks since the baseline year*
(Please use one table for each CFC product)

CFC-11	Baseline year*	Year 1 1999	Year 2* 2000	Year 3 2001
Quota#	6643	6271	6146	5536
Opening stock at beginning of year			218	126
Production			2157	2086
Sales			2256	2197
Purchases			0	0
Sales return			6.766	5.81
Closing stock at the end of the year			126	21

CFC-12	Baseline year*	Year 1 1999	Year 2* 2000	Year 3 2001
Quota#				
Opening stock at beginning of year			299	211
Production			3896	3432
Sales			3986	3551
Sales return			0.657	33
Purchases			0	14.96
Closing stock at the end of the year			211	140

Quota figure is combined for CFC-11 and CFC-12

For 1999, combined figure for CFCs is provided

* The year from which date is used to approve the ODS production phase out project

** Till the year of verification

Annual HF/CFC and CTC/CFC ratios

CFC-11	Baseline year*	Year 1 1999	Year 2* 2000	Year 3 2001
HF/CFC-11 ratio		0.161	0.161	0.163
CTC/CFC-11 ratio		1.18	1.173	1.181
CFC-12				
HF/CFC-12 ratio		0.366	0.362	0.367
CTC/CFC-12 ratio		1.336	1.332	1.341

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Operational days per year

Type of production	Baseline year	Year 1 1999	Year 2 2000	Year 3 2001			
CFC-11		115	104	97			
CFC-12		115	104	97			

Monthly CFC-11 production and raw material consumption* (CY 2001)

Month	CFC-11	No. of operating days	CFC-11 production	CTC/CFC-11 ratio	CTC opg stock	CTC* procured or added to stock	CTC clg stock
January		7.16	149.159	1.151	826.813	839.066	930.276
February		4.84	59.725	1.163	930.276	874.879	1108.29
March		8.39	99.46	1.157	1108.28	743.914	933.516
April		4.75	118.87	1.204	933.516	938.99	1116.933
May		11.39	236.75	1.184	1116.933	922.22	814.704
June		11.82	360.52	1.493	814.704	1066.46	613.142
July		11.51	282.058	1.179	613.142	1047.589	535.922
August		8.63	98.581	1.206	535.922	1109.982	770.614
September		9.48	178.744	1.178	770.614	1182.393	1038.019
October		12.27	316.616	1.172	1038.019	561.882	650.625
November		5.31	142.967	1.179	650.625	812.297	972.878
December		1.92	42.209	1.335	972.678	639.793	1151.916

* Includes CTC production and procurement

Annex I
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CFC production and HF consumption

Month	CFC-11	No. of operating days	CFC-11 production	HF/CFC-11 ratio	HF opg stock	HF procured or added to stock	HF clg stock
January		7.16	149.159	0.159	48.98	246.028	8.26
February		4.84	59.726	0.164	8.26	373.404	7.32
March		8.39	99.48	0.163	7.32	495.962	19.86
April		4.75	118.87	0.185	19.86	503.392	29.11
May		11.39	236.75	0.163	29.11	490.697	41.48
June		11.82	360.52	0.184	41.48	536.571	60.68
July		11.51	282.058	0.161	60.68	447.341	47.6
August		8.63	98.561	0.165	47.6	264.491	18.38
September		9.48	178.744	0.16	18.38	343.393	47.89
October		12.27	316.618	0.162	47.89	350.091	39.44
November		5.31	142.967	0.163	39.44	353.913	39.967
December		1.92	42.209	0.197	39.967	63.242	20.92

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CFC production and HF consumption

Month	CFC-12	No. of operating days	CFC-12 production	CTC/CFC-12 ratio	CTC opg stock	CTC procured or added to stock*	CTC clg stock
January		7.16	274.393	1.306	828.813	839.066	930.278
February		4.84	208.821	1.321	930.278	874.879	1108.29
March		8.39	399.352	1.313	1108.29	743.914	933.516
April		4.75	197.99	1.367	933.516	938.99	1116.933
May		11.39	499.593	1.344	1116.933	922.22	814.704
June		11.82	420.233	1.355	814.704	1066.46	613.142
July		11.51	364.879	1.339	613.142	1047.589	535.922
August		8.63	342.274	1.37	535.922	1109.982	770.614
September		9.48	340.485	1.335	770.614	1182.393	1038.019
October		12.27	261.571	1.331	1038.019	561.882	650.625
November		5.31	97.888	1.338	650.625	812.297	972.678
December		1.92	24.014	1.516	972.678	639.793	1151.916

* Includes CTC production and procurement.

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CFC production and HF consumption

Month	CFC-12	No. of operating days	CFC-12 production	HF/CFC-12 ratio	HF opg stock	HF procured or added to stock	HF ckg stock
January		7.16	274.383	0.350	48.98	246.026	8.28
February		4.84	209.821	0.369	8.28	373.404	7.32
March		8.39	399.352	0.367	7.32	495.962	19.86
April		4.75	197.99	0.371	19.86	503.392	29.11
May		11.39	499.593	0.369	29.11	490.697	41.48
June		11.82	420.233	0.369	41.48	536.571	60.68
July		11.51	364.879	0.363	60.68	447.341	47.6
August		8.63	342.274	0.372	47.6	264.491	18.38
September		9.48	340.465	0.362	18.38	343.393	47.89
October		12.27	281.571	0.366	47.89	350.091	39.44
November		5.31	97.888	0.369	39.44	353.913	39.967
December		1.92	24.014	0.444	39.967	83.242	20.92