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**DISCREPANCY BETWEEN DATA REPORTED TO
THE OZONE AND FUND SECRETARIATS :
Reconciliation and Harmonization of the Two Parallel Streams of Data Reporting**

This document includes:

- Data Reporting by Article 5 Parties to the Ozone Secretariat and the Fund Secretariat

Introduction

1. At its 20th Meeting, the Executive Committee, following consideration of the Secretariat's report on discrepancy between the data reported by a Party to the Ozone Secretariat and the data presented by the Party to the Executive Committee of the Multilateral Fund, requested the Secretariat to prepare for the Committee's consideration at its Twenty-second Meeting a revised paper that would clearly describe the two parallel streams of data reporting that existed under the Montreal Protocol, bearing in mind any decision that might be taken on the matter by the Eighth Meeting of the Parties. It also decided that there was a need to explore ways to reconcile and harmonize the two streams in order, on the one hand, to avoid duplication of work and reporting, and, on the other, to ensure greater transparency of data provided. **(Decision 20/37).**
2. This paper is being presented in response to the above Decision. The paper describes data reporting to the two Secretariats. In document UNEP/OzL.Pro/ExCom/22/70 a new format for reporting data on progress of implementation of country programmes has been submitted to the Executive Committee partly in response to the second part of Decision 20/37. The new format was an outcome of consultations between the two Secretariats.
3. The Eighth Meeting of the Parties to the Montreal Protocol decided as follows:
 - (a) To request the Ozone Secretariat to prepare a report which delineates all of the reporting mandates required by the Protocol and all of the reporting requests made in the decisions of the Parties. In preparing this report, the Secretariat should seek the views of Parties on which reporting provisions are essential for assessing compliance and which may no longer be necessary;
 - (b) To request the Implementation Committee to review the report referred to above, consider which reporting provisions are essential for assessing compliance and which may no longer be necessary, and make recommendations to the Ninth Meeting of the Parties on potential ways to streamline the reporting requirements of the Montreal Protocol. In carrying out its work, the Implementation Committee should also consider proposals for streamlining that may be submitted by the Parties;
4. The Fund and Ozone Secretariats jointly reviewed the data reporting to them. Following this review, which was facilitated by a number of recommendations from some Parties, the reporting format for reporting data under various Articles of the Protocol and Decisions of the Parties was revised and streamlined to make reporting easier. This new format is currently under consideration. The review also included streamlining the reporting format for the purposes of the Multilateral Fund to ensure that data reported to both Secretariats for the same year are similar.

DATA REPORTING BY ARTICLE 5 PARTIES TO THE OZONE SECRETARIAT AND THE FUND SECRETARIAT

Data Reporting to the Ozone Secretariat

The Main Characteristics of Reporting of Data to Ozone Secretariat

5. Base years for baseline data are specific for specific groups of controlled substance and are defined under the Montreal Protocol as follows:

	<u>Baseline Year</u>
Annex A	1986
Annex B	1989
Annex C	1989
Annex E	1991

6. For countries operating under Article 5 Paragraph 1 of the Protocol (Article 5 Parties) the baseline for purposes of complying with the phase-out provisions applicable to them have the following base years:

	<u>Baseline Year</u>
Annex A	Average of 1995-1997
Annex B	Average of 1998-2000
Annex C	2015
Annex E	Average of 1995-1998

7. Currently, all Parties are required to report inter alia, the following data information to the Ozone Secretariat.

- 1986, 1989 and 1991 Baseline data for the various groups of substances.
- Annual production data for Annex A, B and C substances, and data on feedstocks and quantities destroyed, imports from and export to Parties and non-Parties.
- Annual imports and exports of substances in Group II of Annex A and Group 1 of Annex C that have been recycled.
- Annual report on imports of controlled substances to be used as feedstocks.
- Annual production, export or import of methyl bromide and the quantities used for quarantine and preshipment purposes.
- Annual report on actual quantities of ozone-depleting substances destroyed, calculated on basis of destruction efficiency of the facility employed.

- Annual report on import and export of recycled and used controlled substances.
- Annual list of types, quantities and destinations of exports of ozone-depleting substances.
- From Parties supplying ODS, a summary of requests received from Article 5(1) Parties for exports to meet their basic domestic needs.
- Actions to adopt legislative and administrative measures, including labeling of products and equipment, to regulate the export and import of products and equipment containing substances listed in annexes A and B and of technology used in manufacturing of such products and equipment - in order to avert the adverse impact of obsolete products/equipment.
- Every two years, summary of activities pursuant to Article 9 (promoting research, development and exchange of information regarding best technologies, possible alternatives, and costs and benefits of relevant control strategies).

8. The deadline for reporting the data to the Ozone Secretariat is nine months after the end of the year to which the data relate, i.e. 30 September of each year. Baseline data should be reported within three months of a country becoming a Party to the Protocol.

Reporting format

9. Reporting of quantitative data for the base years as well as annual reporting of data are made on ten (10) separate data forms which provide lists of all the controlled substances annexed to the Protocol or the information required by Decisions of the Parties. Data are provided in metric tonnes. The Ozone Secretariat deducts for each Party the exemptions due to it as reported (e.g. exemptions for feedstock, preshipment and quarantine, etc.). Calculated levels of consumption for each party is assessed based on the net data.

Use of the data

10. Calculated levels of production, imports and exports, and of consumption determined from the reported data are used:

- (a) to determine compliance of the Party with the Montreal Protocol;
- (b) to determine the status of a developing country as a Party operating under paragraph 1 of Article 5 of the Protocol;
- (c) by the Parties to assess global impact of the control measures on the ozone layer, and;
- (d) to determine control measures that may be necessary.

Data Reporting to the Fund Secretariat

The Main Characteristics of Reporting of Data to the Fund Secretariat

Base year for Baseline data

11. Under the data reporting to the Fund Secretariat the base year is considered as the year for which data broken down by substance and user sector (usually based on survey conducted specifically for that purpose) on consumption (and production) have been provided in the country programme. This is the basis of the government's assessment of future consumption (and production) of ODS identified in the country programme and its strategy and action plan for phasing them out. Since country programmes are prepared only after a country becomes a Party to the Protocol, base year differs from country to country and will be the same only for countries whose country programmes were prepared in the same year and where the same year was used as the base year.

12. Currently, countries with approved country programmes are requested to provide annually inter alia information on planned dates of complete phase out of ODS for Annex A and B substances, current total consumption and consumption at the time of approval of the country programme, funds approved for country activities and amount disbursed, administrative and supportive actions taken and lists of ongoing activities as well as future activities for which funding will be required.

13. The deadline for submitting the report to the Fund Secretariat is three (3) months after the end of the year to which the data relate, i.e. 31 March of each year.

Reporting format

14. Information is provided by use of a questionnaire with attached tables for providing a list of activities undertaken towards the phase-out of ODS, activities under preparation or proposed to be undertaken.

Use of the data

15. Information available from the reports should assist in the monitoring of progress of phase-out in each country. With the introduction of business planning into the Multilateral Fund operations, information from the reports would assist in forward planning. Thus, information from the reports would indicate:

- (a) Verification of a country's Article 5 status
- (b) Status of phase-out of ODS consumption in each country;
- (c) Impact of Multilateral Fund activities on the phase-out of ODS in each country;

- (d) Sectors of ODS consumption where progress has been made, and sectors that require some consideration;
- (e) Areas where the Executive Committee and its implementing agencies need to direct attention in the business plans. The data should assist implementing agencies to identify countries and sectors to be included in their subsequent or future business plans;
- (f) Efforts made by governments in promoting the phase-out.

Conclusion

16. Information reported to the Ozone Secretariat and that reported to the Fund Secretariat serve different purposes. Hence, although the total consumption reported for any given year to the two Secretariats should be the same, the level of detail of the information would be different and suited to the purpose for which the information is being provided. The draft new format being proposed in another document for consideration of the Executive Committee is aimed at harmonizing the consumption data reported to the Secretariats for each year while at the same time giving the details required for the Executive Committee to evaluate and monitor progress of actions taken in Article 5 countries in line with the strategies in their country programmes.